Policy Exception Form



**DOCUMENT CONTROL PAGE**

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**REVIEWERS**

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**APPROVERS**

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| **DOC\_ID** | **DOC Version#** | **DOC Link** | **Comments** |
| SCG/BASEINS/005/1.0 |  |  | Base Template Instructions |
| SCG/PXF/008/1.0 |  |  | Policy Exception Form |
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# **1) Request for Policy Exception**

The Policy Exception allows one to manage the process of granting and expiring exceptions to policies and control standards and thereby maintain transparency of policy violations. Following activities are associated with policy exceptions

* Identify impacted control standards and compensating control procedures.
* Evaluate exception requests for approval.
* Grant exceptions for a specific period of time, and notify proper personnel as expiration dates approach.
* Enable management to track granted exceptions, facilitating periodic reviews of exceptions and their impact
* Enable reporting on exceptions across the enterprise, monitoring them by control, department, or severity to visualize the impact of policy exceptions on the business and its compliance posture.

[Policy Exception form below]

# **2) Policy Exception Form**

All fields are MANDATORY

|  |  |
| --- | --- |
| Policy Exception Number | EX-205478 |
| Policy Exception ITSM URL | [URL where Policy exceptions are tracked centrally] [This may be any tool e.g. Service Now, RSA Archer or any SharePoint link where all Policy Exceptions are tracked. |
| Applicant | [Employee Name] [Employee Email Address] |
| System/Application / Service for which the Policy Exception is requested | e.g. Web Application Server Hostname , DB Server Name, Web Application Name , Physical Location [e.g. Cubicle Number /Room Number] |
| Business Criticality of the System/Application/Service | CRITICAL , HIGH , MEDIUM , LOW |
| Policy Document Name and ID | e.g. **Document Name:** SCG\_PHYSICAL-SECURITY-POLICY\_007\_V1.0.docx **Document ID :** SCG/PSP/007/1.0 |
| Exception is raised for which Item under Policy document | e.g. 4.2) Standard , Item number 5 |
| Buiseness Justification | Due to limited resources as of now IT team CANNOT retain CCTV footage for 3 Months , They need an exception for **6 Months** to apply for the requirements , extend the resources , Test and Deploy. After 6 Months , CCTV footage would be stored for **3 Months of timeline**. |
| Risk Score / 25 | 10 [MEDIUM RISK] [For more details , Kindly refer Risk Management Policy **Document ID:** SCG/RMF/015/1.0 ] |
| Existing mitigations | Alternate Cameras are installed on Temporary basis to capture footage for 45 Days. |
| Policy Exception Approval attachment and Date | PFA email from Head of IT team. [Attach Email here] Date : 18-JUNE-2025 |
| Policy Exception Approved by Security Head [Approval attachment and Date | PFA approval email from Security Head. [Attach Email here]: Date : 18-JUNE-2025 |
| Policy Exception Valid untill | 17-DECEMBER-2025 |



[**https://www.linkedin.com/in/aj57/**](https://www.linkedin.com/in/aj57/)

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