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TRANSCRANIAL DIRECT CURRENT STIMULATION (tDCS) OVER THE RIGHT DORSOLATERAL PREFRONTAL CORTEX AFFECTS STIMULUS CONFLICT BUT NOT RESPONSE CONFLICT

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- Abstract—When the human brain encounters a conflict. 11 performance is often impaired. Two tasks that are widely used to induce and measure conflict-related interference are the Eriksen flanker task, whereby the visual target stimulus is flanked by congruent or incongruent distractors, and the Simon task, where the location of the required spatial response is either congruent or incongruent with the location of the target stimulus. Interestingly, both tasks share the characteristic of inducing response conflict but only the flanker task induces stimulus conflict. We used a non-invasive brain stimulation technique to explore the role of the right dorsolateral prefrontal cortex (DLPFC) in dealing with conflict in the Eriksen flanker and Simon tasks. In different sessions, participants received anodal, cathodal, or sham transcranial direct current stimulation (tDCS) (2 mA, 20 min) on the right DLPFC while performing these tasks. The results indicate that cathodal tDCS over the right DLPFC increased the flanker interference effect while having no impact on the Simon effect. This finding provides empirical support for the role of the right DLPFC in stimulus-stimulus rather than stimulus-response conflict, which suggests the existence of multiple, domain-specific control mechanisms underlying conflict resolution. In addition, methodologically, the study also demonstrates the way in which brain stimulation techniques can reveal subtle yet important differences between experimental paradigms that are often assumed to tap into a single process. © 2016 Published by Elsevier Ltd. on behalf of IBRO.

Key words: brain stimulation, tDCS, Eriksen flanker effect, Simon effect, DLPFC, cognitive control.

INTRODUCTION

A robust finding from experimental psychology is that when the human brain encounters a conflict, the

efficiency of its performance suffers noticeably. Various 16 experimental conflict paradigms have provided ample 17 evidence demonstrating that irrelevant, incongruent 18 information affects individuals' response time and 19 accuracy. This is evident in the flanker task introduced 20 by Eriksen and Eriksen (1974), which shows slow and 21 less accurate response to central visual target stimuli 22 when these are flanked by stimuli that are incongruent 23 with the target. Systematic experimentation has revealed 24 two sources of conflict in this task, one related to the 25 incongruence between the flankers and the target and 26 one related to the incongruence between the response 27 signaled by the flankers and the response signaled by 28 the target (Wendt et al., 2007). Hence, the flanker effect 29 reflects stimulus conflict and response conflict. Another 30 extensively studied paradigm is the Simon task (Simon 31 and Small, 1969), where responses to a non-spatial stim-32 ulus feature are slower and more error-prone when the 33 location of the response is spatially incongruent to the 34 location of the stimulus. Given the non-spatial nature of 35 the relevant stimulus feature, this effect does not rely on 36 stimulus conflict but on response conflict only (Hommel, 37 2011; Kornblum, 1992). 38

It has been suggested that when conflict (in 39 incongruent trials) is detected, a cognitive control 40 mechanism is engaged so to reduce and deal with the 41 conflict according to the task's requirements (Botvinick 42 et al., 2001). While the flanker task and the Simon task 43 have often been used to explore conflict-related 44 cognitive control mechanisms, the fact that they show 45 comparable behavioral outcomes does not necessarily 46 imply the same neural mechanisms. Previous imaging 47 studies have associated conflict resolution with the 48 dorsolateral prefrontal cortex (DLPFC; Durston et al., 49 2003) and specifically in the right hemisphere (Egner, 50 2008, 2011; Egner and Hirsch, 2005; Kerns et al., 51 2004). However, imaging studies provide only correla-52 tional evidence for associations between cognitive func-53 tions and brain regions, which calls for additional 54 evidence from studies using methods that allow for causal 55 inferences. A non-invasive, safe method that allows for 56 such inferences is transcranial direct current stimulation 57 (tDCS). By inducing either positive (anodal) or negative 58 (cathodal) intracranial current flow on a specific brain 59 region, and thus affecting its excitability, brain functions 60 can be temporarily and reversibly modulated (Nitsche 61 and Paulus, 2001). A number of tDCS studies have pro-62 vided evidence for a role of the right DLPFC in cognitive 63

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Abbreviations: DLPFC, dorsolateral prefrontal cortex; PPC, posterior parietal cortex; RTs, reaction times; tDCS, transcranial direct current stimulation.

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control mechanisms; for instance, tDCS stimulation over 64 the right rather than the left DLPFC reduced cognitive 65 control of stimulus-response binding (Zmigrod et al., 66 2014). In addition, modulation of performances in a Go/ 67 NoGo task after stimulation over the right DLPFC was 68 reported by Beeli et al. (2008). These observations sug-69 gest an involvement of the right DLPFC in cognitive con-70 71 trol functions.

The aim of the present study was to examine the role 72 of the right prefrontal cortex in the cognitive control of 73 conflict by means of tDCS. We were particularly 74 interested in testing whether the flanker task and the 75 Simon task would be equally affected. Comparable 76 effects on both tasks would indicate a role of the right 77 DLPFC in dealing with response conflict while a 78 selective effect on the flanker task would indicate a role 79 in dealing with stimulus conflict. 80

EXPERIMENTAL PROCEDURES

82 Experimental design

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A randomized sham-controlled within-subject design 83 experiment was conducted on healthy volunteers. The 84 experiment comprised of three sessions of tDCS 85 (anodal, cathodal, and sham) over the right DLPFC with 86 the order of the sessions being counterbalanced across 87 participants. The interval between the different sessions 88 was at least 48 h, in order to minimize carryover effects. 89 The study conformed to the ethical standards of the 90 declaration of Helsinki and was approved by the Ethics 91 Committee of Leiden University. 92

93 Participants

Fourteen Leiden University students (eight women; mean 94 age = 20 years; age range: 18-24 years) took part in the 95 experiment for course credits or a financial reward. The 96 participants were naïve to the experimental procedure 97 and method as well as to the purpose of the study. All 98 participants were right handed as assessed by the 99 Edinburgh Inventory (Oldfield, 1971) with normal or 100 corrected-to-normal vision. Exclusion criteria included: 101 history of psychiatric disorders, drug abuse, active medi-102 103 cation, pregnancy, or susceptibility to seizures. Participants gave their written informed consent to participate 104 in the study. 105

106 Stimuli and procedure

Eriksen flanker task. An extended version of the 107 108 flanker task was adapted from Davelaar (2008). The stim-109 uli were composed of seven characters; the middle char-110 acter was a right or a left arrow. There were four types of stimuli: congruent (> > > > > > > > >, all the characters 111 are pointing to the same direction); incongruent 112 (< < > < < < < < <) the flanker characters are pointing to 113 the one direction and the target middle one is pointing 114 to the other direction): neutral (= = = > = = =); and 115 no-go (xxx > xxx). The participants were asked to 116 respond to the middle character of the stimulus with "z" 117 or "/" to the left or right arrow with the index finger in each 118

hand respectively, however, they had to withhold their119response when a no-go trial appeared. In each trial, after120a blank fixation of 1000 ms, the stimulus appeared for up121to 2000 ms, and in the case of a missing or incorrect122response a feedback tone was played for 500 ms.123

Simon task. The Simon task was performed during a 124 10-min session in which participants were asked to 125 discriminate the color of a circular stimulus (blue or 126 green) which was presented to the left or right of a 127 central fixation point. Both colors and locations 128 appeared with equal frequency across the experiment, 129 and the color and location of the circle varied randomly 130 throughout. The participants were instructed to respond 131 to the color of the stimulus regardless of its spatial 132 location with the index finger of each hand, where the 133 response keys were "p" and "q". The mapping between 134 color and response key was counterbalanced across 135 participants. Each trial began with a fixation point 136 (lasting 1000 ms) followed by the stimulus (1500 ms), 137 and in the case of an error or lack of response, a 138 feedback error tone was played. 139

Procedure

After reading and signing the informed consent form, 141 each session started with tDCS stimulation lasting for 142 5 min, followed by the participants' completion of the 143 Erikson flanker task and the Simon task in a 144 counterbalanced fashion (see Fig. 1). Before each task, 145 instructions and a practice session were given. The 146 flanker task contained 16 practice trials followed by 192 147 experimental trials. In the Simon task, there were eight 148 training trials and 120 experimental trials. At the end of 149 last session, the participants answered a the 150 questionnaire (Adverse Effects Questionnaire (Brunoni 151 et al., 2011)) regarding their experience during and after 152 the tDCS sessions. 153

Transcranial direct current stimulation. tDCS was 154 delivered by means of a DC Brain Stimulator Plus 155 (NeuroConn, Ilmenau, Germany) and was applied 156 through a saline-soaked pair of surface sponge 157 electrodes (5 \times 7 cm). The active electrode was placed 158 over F4, a location atop the right DLPFC, according to 159 the international 10-20 system for EEG electrode 160 placement: the reference electrode was placed over the 161 contralateral supraorbital area. The stimulation lasted 162 20 min with a constant current of 2 mA and with a 15-s 163 fade-in and fade-out. For sham stimulation, the 164 electrodes were placed at the same position but the 165 stimulator was automatically turned off after 15 s of 166 stimulation. 167

RESULTS

All participants completed the three sessions without 169 major complaints or discomfort as measured by the 170 tDCS Adverse Effects Questionnaire (Brunoni et al., 171 2011). To compare the effect of the stimulation over the 172 right DLPFC across the two tasks, mean reaction times 173 (RTs) of correct responses and percentage of accuracy 174

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* Order of tasks counterbalanced across participants

Fig. 1. Overall experimental design (A), Eriksen flanker task paradigm (B), and Simon task paradigm (C). Each session started with tDCS stimulation (anodal, cathodal or sham) after 5 min participants performed the flanker task and the Simon task in a counterbalanced fashion. Before each task, instructions and a practice session were given. On both tasks an auditory feedback was presented to incorrect responses.

Table 1. Means reaction time in millisecond and percentage of accuracy in flanker task and Simon task as a function of brain stimulation and congruency. Standard errors are shown in parentheses

			Brain stimulation		
			Anodal	Cathodal	Sham
Flanker trials	Reaction time	Congruent	548 (20)	546 (19)	516 (15)
		Incongruent	702 (31)	726 (35)	660 (20)
	Accuracy	Congruent	0.99 (.001)	0.99 (.004)	0.99 (.002)
		Incongruent	0.96 (.009)	0.93 (.017)	0.94 (.014)
Simon trials	Reaction time	Congruent	448 (16)	453 (11)	444 (11)
		Incongruent	487 (16)	486 (11)	466 (11)
	Accuracy	Congruent	0.97 (.008)	0.96 (.010)	0.97 (.008)
		Incongruent	0.95 (.012)	0.95 (.009)	0.95 (.008)

were analyzed per participant for congruent and incongruent trials in each task for each stimulation session.
Repeated measures ANOVAs were performed on flanker
trials and Simon trials, both on RTs and accuracy rate
with stimulation type (anodal, cathodal, or sham) and con-

gruency (congruent, vs. incongruent) as within-subject factors (Table 1).

As expected, main effects of congruency were observed for flanker trials in terms of RTs, F(1,13) = 102.355, p < .0001, $\eta_p^2 = .887$, and accuracy, F

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 $(1,13) = 26.278, p < .0001, \eta_p^2 = .768,$ replicating the 185 Eriksen flanker effect. Similar main effects of 186 congruency were observed for Simon trials in RTs, F 187 $(1,13) = 43.051, p < .0001, \eta_p^2 = .768$, and accuracy, F $(1,13) = 15.097, p < .005, \eta_p^2 = .537$, replicating the 188 189 Simon effect. Moreover, there was a main effect of 190 stimulation in the performance of flanker trials in terms 191 of RTs, F(2,26) = 3.747, p < .05, $\eta_p^2 = .224$. A multiple 192 comparisons Bonferroni test showed a significant 193 difference (p = .014) between the performance in 194 cathodal stimulation (M = 625 ms) and sham stimulation 195 (M = 588 ms), suggesting a modulating effect during 196 cathodal stimulation of the right DLPFC in the flanker 197 198 task. No significant stimulation effect was found in 199 accuracy. In addition, there was a close to significant interaction between stimulation and congruency in the 200 performance of flanker trials in terms of RTs, 201 $F(2,26) = 3.262, p = .054, \eta_p^2 = .201$. As revealed by 202 further analyses, split by congruency, a significant main 203 204 effect of stimulation was observed only in the incongruent trials, F(2,26) = 4.12, p < .05, $\eta_p^2 = .241$. 205 Multiple comparisons Bonferroni tests showed a 206 significant difference (p = .012) between cathodal 207 stimulation and sham (see Fig. 2), suggesting a 208 stimulation effect during cathodal tDCS over the right 209 210 DLPFC on the incongruent trials in the Erikson flanker 211 task.

212 In order to assess the effect of brain stimulation on the 213 interference effects in both tasks, we calculated these effects by subtracting RT during congruent trials from 214 those of incongruent trials (RTs of incongruent - RTs of 215 congruent trials). Repeated measures ANOVAs were 216 performed on the interference effect with stimulation 217 type (anodal, cathodal, or sham) and task (Flanker, vs. 218 Simon) as within-subject factors. As expected, a main 219 220 effect of task was observed, F(1,13) = 74.364, p < .0001, $\eta_p^2 = .851$; the flanker effect was larger than 221 Simon effect. In addition, there was a main effect of 222 stimulation, F(2,26) = 4.442, p < .05, $\eta_p^2 = .255$. A 223 multiple comparisons Bonferroni test showed a 224 225 significant difference (p = .33) in the interference effect between cathodal and sham stimulation. Moreover, 226 there was a significant interaction between task and 227



Fig. 2. Mean reaction time in millisecond with error bars for congruent trials and incongruent trials in Eriksen flanker and Simon task as a function of tDCS stimulation (anodal, cathodal, & sham) over the right DLPFC. p < .05.

stimulation: F(2,26) = 3.653, p < .05, $\eta_p^2 = .219.$ 228 Further analyses, split by task, revealed a significant 229 difference in the flanker task, F(2,26) = 5.267, p < .05, 230 η_{p}^{2} = .288, but not in the Simon task, F(2,26) = 1.55, 231 NS. A multiple comparisons Bonferroni test in flanker 232 task showed a significant difference (p = .035) between 233 cathodal and sham stimulation (see Fig. 3). From a 234 methodological perspective. as suggested bv 235 Nieuwenhuis and colleagues (2011), this interaction 236 demonstrates that indeed the cathodal stimulation over 237 the right DLPFC affects only the performance on the flan-238 ker task and not the performance on the Simon task. 239

DISCUSSION

The aim of this study was to examine the involvement of 241 the right DLPFC in conflict situations, either in the case of 242 combined stimulus and response conflict (Eriksen flanker 243 task) or in the case of response conflict only (Simon task). 244 The results are clear: while the flanker effect was 245 mediated by cathodal stimulation over the right DLPFC 246 (reflected in a larger flanker effect), there was no 247 stimulation effect on performance in the Simon task 248 (Fig. 3), which was further confirmed by a significant 249 interaction between task and stimulation. This suggests 250 that the right DLPFC is involved in conflict situations 251 arising mainly from stimulus-stimulus incompatibility 252 rather than conflict in stimulus-response incompatibility, 253 to the degree to which DLPFC activity was affected by 254 our method and montage. 255

The observation that cathodal simulation over the right 256 DLPFC increased, rather than decreased, the flanker 257 interference effect (see Fig. 3) suggests that cathodal 258 stimulation impaired the efficiency of conflict resolution 259 induced by stimulus-stimulus incompatibility. Moreover, 260 it was found that cathodal stimulation affects the 261 incongruent trials more so than the congruent trials (see 262 Fig. 2), indicating that to a large extent the cathodal 263 tDCS was specifically influencing trials requiring 264 attentional inhibition of task-irrelevant features. Hence, 265 reducing cortical excitability by means of cathodal 266 stimulation led to inefficient inhibition of irrelevant 267 stimuli. The prefrontal cortex has long been implicated 268 with cognitive control functions (Miller, 2000; Miller and 269 Cohen, 2001) with different sub-regions involved in dis-270 tinct aspects of cognitive control (Ridderinkhof et al., 271 2004). In particular, it has been suggested that the 272 DLPFC plays a key role in inhibitory control over sensory 273 processing by suppressing irrelevant information, as cap-274 tured by the distractibility hypothesis of prefrontal function 275 (Bartus and Levere, 1977; Knight et al., 1989, 1999). 276 Empirical evidence can be found in numerous methodolo-277 gies, including animal studies (Bartus and Levere, 1977), 278 neurophysiological studies with patients who suffer from 279 damage to the DLPFC (Knight et al., 1989, 1999; 280 Yamaguchi and Knight, 1990), as well as in schizophrenic 281 patients (Freedman et al., 1983) who exhibit altered 282 DLPFC function (Weinberger et al., 1986, 1992). In a sim-283 ilar vein, it can be postulated that the cathodal stimulation 284 over the DLPFC disrupts the suppression of the irrelevant 285 information, which contributes to a slower performance in 286

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Fig. 3. Interference effect (RTs incongruent trials minus RTS congruent trials) with error bars for Eriksen flanker and Simon task as a function of tDCS stimulation (anodal, cathodal, & sham) over the right DLPFC.

the incongruent flanker trials. This finding thereby provides additional support to the distractibility hypothesis in the context of a healthy population experiencing a temporary, non-invasive reversible lesion in the form of tDCS.

In comparison to other brain stimulation studies, this 292 finding is in line with previous research underscoring the 293 importance of cathodal stimulation for cognitive 294 functions. It complements the work of Bellaïche et al. 295 296 (2013), who found that cathodal, but not anodal or sham, 297 stimulation over the medial prefrontal cortex in the Erik-298 sen flanker task affects the error monitoring system. In addition, stimulating the right posterior parietal cortex 299 (PPC) with cathodal rather than anodal tDCS modulates 300 the flanker effect both in low and high-loaded scenes. 301 (Weiss and Lavidor, 2012). Interestingly, it was found that 302 that cathodal PPC stimulation facilitated flanker process-303 ing, implying that cathodal stimulation over the PPC can 304 enhance attentional resources. In relation to the present 305 study, this might indicate the relevance of frontal-306 parietal networks, and their responsiveness to cathodal 307 stimulation, to cognitive control in stimulus-stimulus 308 incompatibility contexts. Furthermore, Beeli 309 and 310 colleagues (2008) reported a greater number of false alarms in a Go/NoGo task after cathodal stimulation over 311 the right DLPFC, highlighting the importance of cathodal 312 stimulation in brain stimulation protocols that examine 313 cognitive control functions. A recent review by Olk and 314 colleagues (2015) of TMS studies that investigate cogni-315 tive control demonstrated that different frontal and parietal 316 317 cortical regions are implicated in attentional control and response selection in the Eriksen flanker and Simon 318 tasks. This is in accordance with the present tDCS 319 results, as well as with Keye and colleagues' (2009) 320 finding that individual differences in cognitive control are 321 task-specific rather than representing a domain-general 322 control mechanism. This provides support to Egner and 323 324 colleagues' (2007, 2008) claim that there are multiple conflict-specific control mechanisms underlying these 325 paradigms rather than a unitary, domain-general mecha-326

nism as sometimes assumed (e.g. Botvinick et al., 327 2001; Freitas et al., 2007; Niendam et al., 2012; 328 Verbruggen et al., 2005). 329

To summarize, the present findings suggest three 330 conclusions: First, conflict paradigms such as the Eriksen 331 flanker and Simon tasks are tapping into multiple cognitive 332 control mechanisms rather than one unitary domain-333 general system. Second, the DLPFC seems to play an 334 important role in resolving stimulus-stimulus conflict, 335 possibly through suppression of the irrelevant sensory 336 information. And third, from a more methodological 337 perspective, cathodal stimulation over the right DLPFC 338 appears to impede the inhibitory modulation of sensory 339 processing in healthy participants otherwise observed with 340 prefrontal patients or people with schizophrenia, 341 suggesting a useful non-invasive method that creates a 342 temporary reversible lesion to study prefrontal functions 343 and brain mechanisms. Continuing investigations along 344 these lines will facilitate better understandings of the 345 appropriate conceptual fractionation of these cognitive 346 control mechanisms as well as their neural underpinnings 347 and plasticity in response to interventional techniques and 348 brain stimulation. 349

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We have no relevant financial or non-financial relationship 351 or potential conflicts of interest to disclose. 352

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