Goal-directed actions

Bernhard Hommel

Leiden University
Cognitive Psychology Unit & Leiden Institute for Brain and Cognition
Leiden, The Netherlands

Submitted September 11, 2015

In: Oxford Handbook of Causal Reasoning

Correspondence:
Bernhard Hommel
Leiden University
Department of Psychology
Cognitive Psychology Unit
Wassenaarseweg 52
2333 AK Leiden, The Netherlands
e-mail: hommel@fsw.leidenuniv.nl

INTRODUCTION

As various contributions to this handbook discuss, humans can reason about causeeffect relationships in rather abstract ways, but they can also extract information about such relationships from visual events they perceive (White, in press). And yet, the arguably most direct experience of causality emerges from one's own action. It is through actions that we can change our environment and express ourselves from the first months of our lives on (Rochat, 2001). Actions also have a social function that provides the attentive observer with information about mental causation, that is, about the fact that human actions are caused by, and thus expressing internal goals. Given that we cannot directly access the goals of other individuals, their actions are often the only clues to their goals we have. Some authors have even argued that the same applies to ourselves: we may often learn about our own goals only by observing the actions they generate (Wegner, 2002). In any case, in order to understand how personal causation works, we need to understand how goals translate into goal-directed behavior. Accordingly, this chapter addresses how humans initiate and control intentional, goal-directed actions. In some sense, the term "goal-directed action" is a pleonasm, because actions are considered to differ from mere movements in their goal-directedness—a characteristic that mere movements do not possess. Hence, at least overt actions can be considered goal-directed movements, which means that the term action is sufficient to express goal-directedness. Covert, purely mental actions (e.g., O'Brien & Soteriou, 2009) are more difficult to define. As mental actions are arguably derived from, and thus simulations of overt actions (e.g., Grafton, 2009; Vygotsky, 1934/1986), I will restrict the discussions in this chapter to overt actions and the way they are controlled.

In any case, in order to meet the criterion of being directed towards a goal, a given process would need to rely and be conditional on some sort of knowledge about the relationship

between this process and some future event, and it would need to be activated as the consequence or side effect of activating a representation of this future event (Dickinson & Balleine, 1994). Among other things, this means that, as William James (1890; p. 487) has put it, "... if, in voluntary action properly so-called, the act must be foreseen, it follows that no creature not endowed with divinatory power can perform an act voluntarily for the first time". That is, before someone can carry out a goal-directed process, he or she must have acquired some knowledge about the fact that a particular event—the representation of which must be included in the goal representation—can in fact be created by carrying out that process. Unless we assume that humans are born with a particular set of concrete goals—a possibility for which no empirical support has been provided so far—learning about which processes can lead to which effects in the internal or external world must precede the ability to perform goal-directed activities.

FROM MOVEMENT TO ACTION

The importance of many basic cognitive abilities often becomes clear only if they are impaired, declining, or absent—be it through degeneration, accidents, or the lack of sufficient development. Particularly instructive for a deeper understanding of goal-directed action is the behavior of a newborn child. This behavior shows frequent and dramatic changes of activity levels, rapid successions of phases of highest degrees of liveliness and sleepiness. But even the most active phases show very little, if any, expression of what we call goal-directed action. Instead, we can see numerous examples of relatively rigid reflexes, including the rooting and sucking reflexes that help the child to engage in breast-feeding, the stepping reflex that facilitates the acquisition of walking, and grasping reflex that supports the exploration of the object world. As the frontal lobe of the human brain—which is required to generate more

complex action plans—develops, almost all basic reflexes disappear, but only after having left many important traces of the child's sensorimotor experience with its environment.

According to ideomotor theory (Lotze, 1851; Harless, 1862; James, 1890; Hommel, 2009; for an overview, see Stock & Stock, 2004) and Piaget's (1946) approach to cognitive development, sensorimotor interactions allow the child to acquire information about the contingencies between its movements and their impact on the environment. Storing these contingencies is considered to be the first step in generating a cognitive representation of one's world, with an emphasis on one's own opportunities to actively change it. Accordingly, these contingencies provide the database necessary to generate goal-directed action, i.e., movements that are driven by some anticipation about their outcome.

Turning movements into actions thus requires some sort of anticipation of the likely outcomes of a given movement and a selection of the movement based on this anticipation (two aspects that I will discuss in more detail under ACTION SELECTION AND PREDICTION). The ability to select a movement based on its likely outcomes requires the integration of movement codes (i.e., cognitive/neural codes that generate movements) and action-effect codes (i.e., cognitive/neural codes that represent to-be-expected movement outcomes). According to ideomotor theory, this integration emerges through Hebbian learning (what fires together wires together): the agent starts with some motor babbling (the execution of more or less random movements or reflexes), registers the sensory feedback that these movements generate, and associates the motor patterns underlying the movements with representations of the feedback. The resulting associations are bidirectional, so that from now on the activation of the movement leads to an anticipation of its outcome (i.e., to the priming of the outcome representations) and the activation of the outcome representations can activate the movement pattern resulting in such outcomes. Hence, the agent can now intentionally activate particular movements by simply

activating the representations of wanted outcomes, e.g., by actively imagining them. In other words, ideas can now lead to motor behavior and movements become goal-directed actions.

The recent years have provided considerable evidence supporting this scenario (for overviews, see Hommel, 2009; Shin, Proctor & Capaldi, 2010). Infants, children, and adults were shown to pick up action-effect contingencies on the fly, irrespective of the current action goal, and to create bidirectional associations between the underlying movement patterns in the representations of the effects (e.g., Elsner & Hommel, 2001; Kray, Eenshuistra, Kerstner, Weidema & Hommel, 2006; Verschoor, Weidema, Biro & Hommel, 2010; for an overview, see Hommel, 2009; Hommel & Elsner, 2009). Representations of action effects are not just acquired, they could also be shown to be involved in action selection. For instance, presenting people with action effects before or during action selection interferes with selecting an action producing another effect (Hommel, 1996) and selecting actions with mutually incompatible action effects (such as pressing the left key to produce an event on the right side) is less efficient than selecting actions with compatible effects (Kunde, 2001). This suggests that the sensory consequences of actions are considered when and in the process of selecting them. This is consistent with findings from brain-imaging studies, which show that presenting people with possible action effects tends to activate the action producing them (Elsner at al., 2002; Melcher et al., 2008) and that preparing for particular actions leads to the activation of brain areas that are involved in perceiving the sensory effects of these actions (Kühn, Keizer, Rombouts & Hommel, 2011).

ACTION AND HABIT

Heraclitus reminded us that we cannot step into the same river twice, which means that events that look the same at the surface often keep changing in the underlying structure. This

certainly holds for actions, which keep changing in character and the way they are controlled with every execution. What will become a goal-directed action often begins with rather uncoordinated, sometimes explorative movements. This is true for the motor babbling of infants, which is often only constrained by the available reflexes and the activity level of the agent, but it is also true for the adult learner of a particular skill. The first moves of a beginning dancer or skier are only weakly hinting at the intended direction, and the too many degrees of freedom of the limbs are often strategically "frozen" to reduce the demands on control processes (Bernstein, 1967). As the motor adjustments become more efficient, predicted and actual action effects become more similar which, as described above, turns movement into actual action.

But the changes in controlling actions do not end here. Exercising an action is known to reduce its control demands and to free up cognitive resources: the action or skill becomes automatic. Automaticity is a rather problematic term that has defeated all attempts to define it properly (Bargh, 1997; Hommel, 2000). Originally, it was intended to indicate the lack of endogenous control over the behavior that highly overlearned stimuli are able to trigger (Shiffrin & Schneider, 1977). A famous example is the Stroop effect (Stroop, 1935): the fact that people find it difficult to ignore incongruent meanings of color words the color of which they are intending to name has been taken to suggest the automaticity of word reading. Another example is the Simon effect (Simon & Rudell, 1967): the finding that people are slower and less accurate in responding to stimuli the location of which does not correspond to the location of the correct response has been taken to imply automaticity of location processing.

However, there are reasons to doubt that action-in using tendencies are truly automatic in the original sense (Hommel, 2000). For instance, participants in a Stroop task are being asked to respond to words and to articulate color names, which implies that they have established a cognitive set that is likely to draw attention to color names. Accordingly, what seems to be an

automatic process is likely to reflect the consequences of intentional task preparation. Indeed, it has been suggested that action control rarely operates online (Bargh, 1989; Exner, 1879). Rather, people intentionally prepare possible actions and delegate their control to external stimuli—which can produce response conflict under very artificial conditions like the Stroop task but frees up precious cognitive resources to "look ahead" even further.

Nevertheless, it is true that overlearned actions become habits, which goes hand-in-hand with a change in the way they are controlled (Gershman, in press). For instance, overlearned actions tend to be efficient, to occur outside of awareness, and to be stimulus-driven and ballistic (i.e., difficult to stop once they are triggered)(Bargh, 1994). Stimulus-driven actions seem to be mediated by different neural structures than more goal-driven actions (Passingham, 1997) and they are less sensitive to sensory action effects (Herwig, Prinz & Waszak, 2007) and reward (e.g., Watson, Wiers, Hommel & de Wit, 2014).

The lack of reward-sensitivity of overlearned actions has been taken to imply that they no longer should be considered true goal-directed actions (Dickinson & Balleine, 2009). According to this perspective, the desire to realize a particular outcome is a defining criterion of goal-directed actions, and the lack of sensitivity to action-contingent reward must be considered a lack of desire. While this account is consistent with some philosophical definitions of human action, it is rather problematic if applied to systems-level accounts of action control. For instance, there is evidence that even overlearned actions are based on outcomeanticipations: Band et al. (2009) had participants engage in a complicated stimulus-response mapping task, in which each of the four responses produced a particular auditory outcome. Each response produced one specific outcome in the majority of the trials but another outcome, or a number of outcomes in the remaining trials. Even though the outcomes were entirely irrelevant to the task, actions that produced unexpected outcomes triggered electrophysiological

components similar to the feedback-related negativity that is observed if agents are told that their action was incorrect. This suggests that even stimulus-driven actions are accompanied by anticipations about their consequences, which makes them goal-directed. Moreover, recent findings provide evidence that, consistent with White (1959), the mere production of particular action outcomes is rewarding (Eitam, Kennedy & Higgins, 2013), which suggests that the elimination of external reward does not necessarily imply the absence of any reward. In particular, the available evidence seems to suggest that the main reward for acquiring an action comes from the match between anticipation and actual outcome (Wolpert & Ghahramani, 2000) rather than receiving some added external reinforcers. If so, goal-directed actions are producing their own reward to the degree that the intended, or at least anticipated effect is actually produced.

PREPARATION AND EXECUTION

The previous section may be taken to imply that a given action can only be either intentional/effortful or automatic but not both. However, while this may be true for one given act, process, or procedure, it does not seem to capture the essence of everyday actions, which rather seem to rely on complex interactions between intentional and automatic processes. This has been clearly fleshed out by Exner (1879), who reported his introspection during a simple reaction time experiment. Preparing for a task, so he argues, involves some sort of self-automatization: one establishes a mental state that allows the later arriving stimulus to trigger the assigned reaction automatically. On the one hand, the entire action would be intentional, as it was the intentional preparation that allowed the stimulus to trigger the action. On the other hand, however, the action itself can be considered automatic, as it does not require some additional process to translate the stimulus into overt movement. Hence, goals and intentions

allow us to prepare our cognitive system in such a way that further processing can be more or less entirely stimulus- or environmentally driven (Bargh, 1989, 1997)—a process that creates what Woodworth (1938) has called "prepared reflexes".

As pointed out above, this logic applies to many psychological phenomena, such as the Stroop effect that involves automatic word-reading that however was enabled by the intention to utter color words in response to presented color words. A particularly convincing demonstration for the Simon effect stems from Valle-Inclán and Redondo (1998). The Simon effect consists in the observation that responding to non-spatial stimuli is easier and more efficient if the location of the stimulus corresponds to the location of the response (Simon & Rudell, 1967). This has been attributed to the automatic priming of responses by spatially corresponding stimuli, and there is indeed electrophysiological evidence that processing a lateralized or otherwise spatial stimulus activates cortical areas involved in planning movements with the corresponding hand (Eimer, 1995; Sommer, Leuthold & Hermanutz, 1993). Valle-Inclán and Redondo (1998) were able to replicate this observation in a condition in which they presented the relevant stimulus-response mapping before the stimulus—a finding that would commonly be interpreted as demonstrating automaticity. And yet, the authors did not find automatic action activation in a condition where the stimulus-response mapping appeared after the stimulus. This means that implementing the stimulus-response mapping is a precondition of automaticity, suggesting that automatic action activation is actually a "prepared reflex".

How do people implement prepared reflexes? The implementation is commonly attributed to executive-control processes but how the preparation works is still under investigation. At least three kinds of preparation processes seem to exist. First, preparing the cognitive system for goal-directed movements seems to include the establishment of stimulus-

response links. Task-switching studies have revealed that implementing such links takes considerable time (Allport, Styles & Hsieh, 1994; Monsell, 2003) and disabling them is difficult, as they are rather inert and affect subsequent processing (Allport et al., 1994; Hommel & Eglau, 2002). There is also evidence that maintaining stimulus-response links requires substantial cognitive effort (de Jong, Berendsen & Cools, 1999), which in longer periods of task execution can induce goal forgetting (Altmann & Gray, 2002). Second, preparing for a task often involves the pre-activation of possible actions. This is particularly likely if only a few action alternatives are relevant, while larger numbers of action alternatives are likely to prevent pre-activation and to and use more cognitive response-selection strategies. And third, preparing for a task has been shown to include the attentional focusing on relevant stimuli (Bekkering & Neggers, 2002) and the priming of task-relevant stimulus dimensions (Hommel, 2010; Memelink & Hommel, 2013). For instance, while preparing for a grasping action increases attention to the shape of visual stimuli, preparing for a pointing action attracts attention to location information (Fagioli, Hommel & Schubotz, 2007).

These last two observations are likely to relate to another aspect of the interaction between off-line preparation and online execution. There is considerable neuroscientific evidence that visually guided actions emerge from the interaction of two separate and dissociable stimulus-response routes. While earlier approaches have characterized these pathways in terms the particular stimulus information they provide (what vs. where), Milner and Goodale (1995) have emphasize the off-line versus online character of these pathways. According to their reasoning, humans and other primates have a ventral off-line channel for processing information that allows the identification of objects and other events and a dorsal online channel for providing real-time environmental information about location, intensity, and other rather low-level aspects of objects and events. Later approaches have criticized this

approach for underestimating the interaction between the two channels (Glover, 2004) and for relating them to perception and action (rather than to action and sensorimotor processing), respectively (Hommel, Müsseler, Aschersleben & Prinz, 2001a, b). However, the basic idea that human action emerges from the interaction of preparatory off-line processing and sensorimotor online processing has been widely embraced.

One problem with the original idea of two entirely independent channels was that the sensorimotor online channel was assumed to have no access to memory and higher-level processes, which raises the question how it can be used to control flexible goal-directed actions. However, this problem can be tackled by assuming that off-line preparation not only preactivates the relevant action systems into which sensorimotor processing would need to feed, but also selects the input provided to online sensorimotor processing by increasing the output gains, and thus the contribution of, features on task-relevant stimulus dimensions (Hommel, 2010). In other words, the sensorimotor online channel might indeed have some autonomy, but its contribution is tailored to the goal at hand by selecting its input and channeling its output to the relevant action systems.

ACTION SELECTION AND PREDICTION

The main purpose of ideomotor models of action control and of TEC is to explain how people acquire the ability to select goal-directed actions. Figure 1 captures the main idea sketched above (see Hommel, 2009): random firing of motor cells produce overt movements, which are registered by the cognitive system and coded by sensory cells, which then become integrated with the corresponding motor cells through Hebbian learning. This integrated unit can then become internally activated through goal representations, that is, through representations that are coding for the intended action effects. Activating such representations

will tend to activate sensory representations of similar events, and this activation primes the associated motor cells. To select an action, the agent simply needs to create a representation of the wanted action effect, which then leads to the selection of those motor patterns that have been produced such effects in the past.

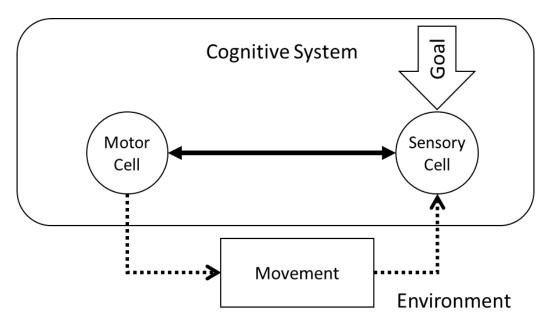


Figure 1: The ideomotor principle, simplified after James (1890).

Note that this approach is only concerned with setting up the cognitive system for producing an action but not with testing whether this action has been carried out and whether it came out as expected. This testing aspect has been emphasized by comparator models of action control. Comparator models use cybernetic principles to compare intended output (actions) and the associated expected re-afferent input (the sensory consequences of the action) against the actual re-afferent input. Figure 2 sketches the basic principle. The representation of the desired state informs a perception-movement translation system to produce motor commands, which produce overt action. The perceived re-afferent information is compared with the expected re-

afferent information to find out whether the intended action effect has actually been produced, that is, whether the action was as expected. A comparison between the actual outcome of the action and the wanted outcome serves to determine whether the action was successful in reaching the intended goal.

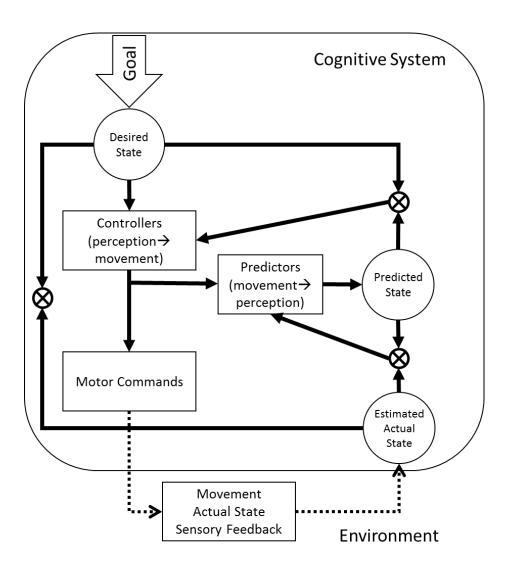


Figure 2: The basic structure of the comparator model, simplified after Frith, Blakemore and Wolpert (2000).

It is easy to see that the ideomotor model and the comparator model of action control are complementary (see Chambon & Haggard, 2013; Hommel, in press a): the ideomotor model is rather articulated regarding the acquisition of action-effect associations and the selection of actions but silent with respect to the evaluation of the outcome, while the comparator model is not overly specific with regard to the selection aspect but very articulated regarding the outcome evaluation. One might consider the possibility that both models are simply using the different language to refer to the same process, so that the process of selecting an action by anticipating an action effect might be the same that also specifies the outcome expectations, against which re-afferent information can be evaluated. In other words, intending an action may simply consist in specifying the intended action effect and this representation may be responsible for both selection and evaluation. However, there are reasons to assume that the scenario is more complex than that.

First, Elsner and Hommel (2004) investigated the conditions under which adults acquire novel action-effect contingencies. Participants were presented with sounds that were contingent on pressing the left or right key before being presented with the same sounds as stimuli. As reported by Elsner and Hommel (2001), participants had more difficulties to press a key in response to a sound if that sound has previously been produced by the alternative key than if that sound has previously been produced by the same key. This suggests that the participants were spontaneously acquiring bidirectional action-effect associations in the first phase of the experiment. Interestingly, the size of this effect was systematically modulated by the contingency and the temporal contiguity between action and effect. The effect increased with

the strength of correlation between action and effect and the frequency of the effect, and it was largest with zero delay between action and effect. Interestingly, Elsner and Hommel (2004) also assessed the perceived agency of the participants, that is, the degree to which participants perceive themselves to be the cause of the sounds. While agency judgments were also sensitive to contingency and temporal contiguity, the sizes of the action-effect learning effect and the degree of perceived agency were uncorrelated. If we assume that agency reflects the match between the expected and the actual action effect, the representation of the expectation (as assessed by agency judgments) does not seem to be related to the representation that is responsible for a response selection (as assessed by the action-effect learning effect).

Second, Verschoor, Spapé, Biro and Hommel (2013) investigated action-effect acquisition in 7- and 12-months olds (cf., Muentener & Schulz, in press), and in adults. Participants were presented with sounds that were contingent on the horizontal direction of their saccades. In a later test phase, saccades were evoked by peripheral visual stimuli that appear together with a tone that was previously produced by left- or right-ward saccades. Saccade initiation was slower if that direction did not correspond with the direction associated with the tone, suggesting that saccades were selected based on representations of the resulting auditory effects. However, this effect was only observed in the 12-months olds and in the adults, but not in the youngest group. Consistent with earlier findings of Verschoor, Weidema, Biro and Hommel (2010), this suggests that infants below one year of age have difficulties to select actions based on expected outcomes, presumably reflecting a not yet sufficiently developed frontal cortex. Verschoor et al. (2013) also measured pupil dilation, a measure of surprise. Participants exhibited more strongly dilated pupils if the actually carried out saccade went into another direction then the direction indicated by the tone. That is, participants were surprised by what they were doing currently because of the mismatch between the action-related

expectation and the tone-induced expectation. Importantly, even the youngest group showed this effect, suggesting that representing expectations of action-contingent outcomes precedes, and thus does not require the ability to use outcome expectations to select voluntary actions.

These findings suggest that action selection and action evaluation are separable processes that may develop at different paces and that seem to rely on different processes. As argued elsewhere (Hommel, in press a), this suggests the integration of ideomotor action selection and comparator-based action evaluation, as indicated in Figure 3.

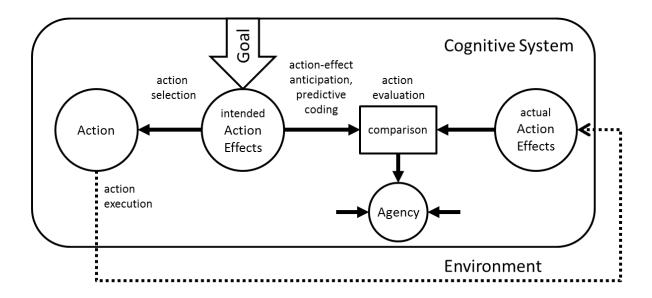


Figure 3: An integrated model combining ideomotor action selection with comparator-based action evaluation (taken from Hommel, in press).

AGENCY AND OWNERSHIP

Actions not only serve to reach particular goals, they also have a particular personal and social meaning. Accordingly, the ability to carry out goal-directed actions has often been associated with issues regarding agency and ownership of actions—issues of particular relevance for the juridical evaluation of deviant behavior. While it is debatable whether the

experience of agency and ownership plays a decisive role in carrying out voluntary actions (an issue that I will discuss in the next section), recent research has looked into the factors determining such experiences.

Of particular interest for the experience of agency, that is, the impression that it is me who is carrying out (i.e., causally producing) a particular action, is the relationship between expected and actual action effects. More specifically, humans experience greater causal impact on events the stronger the temporal and spatial proximity between their actions and these events is, and the more their actions and the events covary (for overviews, see Shanks & Dickinson, 1987; Wasserman, 1990; LePelley, Griffiths & Beesley, in press). While the ideomotor approach does not really speak to the issue of agency, the comparator model provides a crucial comparison, namely, that between expected and actual re-afferent stimulation (Frith et al., 2000). The assumption is that performing an action is accompanied by expectations regarding the sensory changes this action is assumed to evoke. These expectations are then matched with the actually produced sensory changes, and the better that match the more pronounced the experience of agency might be (Chambon & Haggard, 2013).

This assumption is consistent with observations that expectation-inconsistent sensory action effects cause measurable surprise (Verschoor et al., 2013), a decreased sense of agency (Spengler, von Cramon & Brass, 2009), and electrophysiological signs of internal conflict (a so-called feedback-related negativity, N_{FB}, which is commonly observed if agents are informed to have committed an error: Band et al., 2009). However, while the relationship between expected and actual outcomes is likely to be a major determinant of experienced agency, there are likely to be more sources for agency judgments, such as contextual plausibility, past experience, and the agent-specific typicality of the action. Hence, action control provides some

input to agency judgments but certainly not all and sometimes perhaps not even the most important one (Synofzik, Vosgerau & Newen, 2008)—as Figure 3 indicates.

While agency refers to the attribution of action outcomes to oneself, perceived ownership refers to the attribution of effectors to oneself. Originally, interest in the mechanisms underlying body ownership was fueled by observations in patients, such as individuals suffering from the alien hand syndrome (Scepkowski & Cronin-Golomb, 2003). Some of these observations suggested that perceiving one's own body is a nontrivial cognitive task that underlies all sorts of possible illusions and misinterpretations. Indeed, even healthy participants can have difficulties to tell whether it is their own hand or that of a confederate that is drawing a picture (Nielsen, 1963; van den Bos & Jeannerod, 2002). More recently, the so-called rubberhand illusion has attracted a lot of attention. It shows that healthy individuals can be led to perceive a rubber hand lying in front of them as part of their own body if their own (invisible) hand in the rubber hand are stroked in synchrony (Botvinick & Cohen, 1998). Synchronous stroking even induces some sort of primitive empathy: for instance, watching a synchronously stroked rubber hand about to being pricked by a pin activates the same pain areas in the brain that are activated when being approached by a pin oneself (Morrison, Lloyd, di Pellegrino & Roberts, 2004). These observations suggest that multimodal synchronicity of perceptual input is one of the criteria that determine perceived body ownership.

Related investigations using virtual-reality manipulations have shown that active control or controllability is another, perhaps even more potent criterion. If moving one's own hand leads to synchronous movements of a virtual hand on a screen or in a virtual-reality scenario, people perceive the virtual hand as part of their own body—the virtual-hand illusion (Sanchez-Vives, Spanlang, Frisoli, Bergamasco, & Slater, 2010). One possible implication of these kinds of effects is that humans might have an internal model of their body that mediates

ownership perception (Tsakiris, 2010). Under suitable conditions, an artificial and/or novel candidate effector would thus be perceived as belonging to one's body if, and to the degree that it is sufficiently similar to one of the effectors defined in this model. However, recent findings have shown that people can perceive ownership for virtual balloons that vary in size, and for virtual squares that vary in color, with people's own hand movements (Ma & Hommel, 2015). This suggests that controllability is more important, and can overrule similarity, which does not fit with the idea of a stable internal body model. It also suggests that agency, that is, the perception of contingencies between one's own actions and their effects, might be the crucial criterion for representing one's own body (Tsakiris, Schütz-Bosbach & Gallagher, 2007).

CONSCIOUSNESS AND ACTION CONTROL

Folk-psychological and philosophical traditions are based on the assumption that actions emerge from conscious decision-making and are controlled by conscious goal representations (Hommel, 2007). Indeed, early ideomotor theories were motivated by the question how consciously represented action effects can recruit and drive consciously inaccessible motor processes (James, 1890; Baars, 1988) and information-processing approaches since Donders (1868) have often assumed that conscious representations shield the rather automatic perceptual processes from unwanted impact on action-related decision-making. Indeed, many introductory textbooks still contrast conscious decision-making with automatic processes, implying that unconscious decision-making cannot exist.

A highly influential milestone marking a transition in this thinking was the study of Libet, Wright, and Gleason (1982). In this study, participants were to carry out simple keypressing actions at their own pace while their electrophysiological responses were continuously recorded. As expected, each keypressing response was preceded by a readiness

potential, a standard electrophysiological component that can be observed about one second or more before a voluntary action is performed. Participants were also asked to report when they would feel the urge to act. For that purpose, they saw a quickly rotating clock and they were reporting the position of the clock face at the point in time when they felt the urge at the end of each trial. Researchers were thus able to calculate the temporal relation between the electrophysiological indicator of the action (the readiness potential) and the conscious indicator (the perceived urge). While both indicators preceded the overt action, the theoretically significant observation was that the electrophysiological indicator preceded the conscious representation by several hundreds of milliseconds. This observation triggered numerous philosophical and psychological debates about the functional role, if any, of conscious goal representations (e.g., Klemm, 2010) and it has motivated Wegner (2003) to distinguish between the true cause of voluntary actions (which would produce the readiness potential) and its conscious representation—which he considers an only apparent cause.

Unfortunately, almost all of these discussions neglect basic aspects of action control, which actually render the findings of Libet and colleagues rather undiagnostic for assessing the role of conscious representations. As discussed under ACTION AND HABIT, actions are rarely controlled online. Rather, goals are translated into a task set, which then regulates information processing in a more or less automatic fashion (Bargh, 1989; Hommel, 2000). Indeed, given that the implementation of a task set is a rather time-consuming process taking several hundreds of milliseconds (Allport et al., 2004), reaction times in the order of a few hundred milliseconds, as in typical reaction time experiments, would not be possible if people would translate their goals into actions online in every trial. The same is likely to hold for tasks in the tradition of Libet et al., which require participants to carry out the same action hundreds of times in a row. The most interesting time point for assessing conscious decision-making and goal

representation in such a task would thus not be within a given trial but in the very beginning, when the participant translates the experimenter's instruction into a particular task set. In psychological experiments, negotiations between experimenters and participants are commonly verbal in nature, and goals are commonly explicitly defined. This renders it highly likely that goals are consciously represented at least in the beginning of a given task. Whether and to what degree this extends to daily life is not yet understood.

At this point, the available evidence allows for four interpretations. First, it is possible that goals need to be consciously represented while agents implement a particular goal and action plan but not necessarily after the implementation is completed. Second, it is possible that conscious representations often accompany but do not serve any purpose in action control proper. As pointed out by Wegner (2002), the idea that actions are controlled by conscious representations may thus be an illusion. Third, it is possible that conscious representations of action goals are unnecessary for immediate action control but serve the social communication about actions (Hommel, 2013; Masicampo & Baumeister, 2013). Finally, recent observations suggest that conscious representation may be systematically related to response conflict (Morsella, 2005), which might suggest a specific role for action monitoring.

ACTION MONITORING

As pointed out above, the comparison between expected and actual action outcomes provides information about an action's success, that is, about whether the intended action effect has been realized. This can be considered a kind of action monitoring, as possible failures in achieving one's goals are signaled by discrepancies between expected and actual outcome. This allows for adjustments of actions in the future, so as to make them more likely to achieve one's

goals. However, recent research has provided strong evidence for the existence of action monitoring at a less general level.

Most evidence comes from conflict tasks, such as the Stroop task or the Simon task, in which different aspects of stimuli indicate conflicting responses. These tasks indicate conflict within the trial by showing that stimulus-feature combinations that imply the same response (such as the word "RED" written in red ink in a Stroop task, or a left-response cue appearing on the left side in a Simon task) allow for faster and more accurate responses than combinations that imply different responses (such as the word "GREEN" written in red ink or a left-response cue appearing on the right side). Interestingly, however, trial-to-trial analyses of performance in conflict tasks have shown that conflict has less of an impact on performance after a conflict trial than after a non-conflict trial (Gratton, Coles & Donchin, 1992). Even though various factors might contribute to this observation (Hommel, Proctor & Vu, 2004; Spapé & Hommel, 2014), this outcome pattern suggests that the experience of conflict leads to a readjustment of cognitive-control settings to reduce the impact of future conflict (Botvinick, 2007).

Neuroscientific evidence suggests that the presence of conflict in a given trial is communicated to brain areas involved in conflict monitoring (the anterior cingulate in particular: Botvinick, Nystrom, Fissell, Carter & Cohen, 1999), which then signal the demand for stronger top-down control to (frontal dorsolateral) systems involved in goal representation. As a consequence, the goal representation is strengthened and more top-down control is exerted, thus reducing the probability and strength of future conflict. One interesting question is how conflict is signaled to conflict monitoring systems. It is possible that conflict is picked up directly but it may also be the case that it is conflict-induced reductions in mood that represent the relevant information (Botvinick, 2007). Indeed, receiving unexpected reward and positive-mood inductions reduce the probability of conflict-related adjustments (van Steenbergen, Band

& Hommel, 2009), suggesting that affective valence is the currency used to signal the presence of response conflict.

OUTLOOK

After decades of neglect (which is still obvious from almost all introductory textbooks in cognitive psychology), the question of how humans control goal-directed actions has received ample, and well-deserved attention in the last 20 years or so. The field is blooming and researchers have started to elaborate the cross-links between several areas, such as between consciousness and action control. And yet, quite some work needs to be done, and so I will conclude by discussing three challenges that would be particularly valuable to be tackled in the near future.

First, there is increasing insight into the embodiment of human cognition, that is, on the role of the body and of active interactions with our environment for the emergence of cognitive representations including the construction of our self (Wilson, 2002; Hommel, in press b). Up to this point, however, the role of action is often referred to rather metaphorically and/or taken as a given, while systematic ontogenetic investigations or training studies actually demonstrating and tracking this emergence are lacking. Also lacking are mechanistic models that explain exactly how actions generate cognition and whether the role of action is restricted to the construction of cognitive models or whether action keeps being important for the maintenance of cognitive representations.

Second, the cognitive sciences still tend to suffer from the stage approach to information processing and the idea that information processing mainly occurs in dedicated modules (e.g., Fodor, 1983; Sternberg, 2011). This approach has led to a rather drastic specialization of research on human cognition and action, and prevented systematic communication between

researchers working on particular modules, say, perception, attention, memory, thinking, language, and (nonverbal) action. Unfortunately, however, these concepts are taken from everyday language, which is not specialized for separating underlying mechanisms. In fact, it is very likely that the mechanisms underlying these and other functions highly overlap. The neglect of this possibility has led to parallel developments and re-inventions of various wheels, with modeling the control of complex, sequential action in the vocal and the non-vocal/non-verbal domain being just one of many examples. With respect to action, it is very likely that action control has a strong impact on almost all other cognitive processes, not the least because evolution, a major driving force in the phylogenetic development of our cognitive system, is selecting actions but not attention, memory, or other internal processes. And yet, there is very little attempt to create systematic places for action in research on these internal processes. Overcoming these kinds of splendid isolations requires the systematic development of more integrative and more ambitious approaches than currently being discussed in the literature.

Third, psychology is an interface discipline connecting the humanities with science. With respect to action, this means that we are facing two very different approaches to human action: the humanities approach that emphasizes reasons and considerations leading to action, and the biological approach that emphasizes causes and mechanisms. The dividing line between these two different meta-theoretical approaches is even going through psychology, separating cognitive/neurocognitive psychology from social psychology. The recent years have seen very interesting attempts to overcome these kinds of divisions, for instance by developing mechanistic models of social phenomena and by considering socially relevant concepts in cognitive models. The systematic continuation and further development of these attempts provides interesting opportunities for psychology in bridging between different scientific languages and styles of thinking.

REFERENCES

Allport, D. A., Styles, E. A., & Hsieh, S. (1994). Shifting intentional set: Exploring the dynamic control of tasks. In C. Umilta & M. Moscovitch (Eds.), *Attention and Performance XV* (pp. 421-452). Cambridge, MA: MIT Press.

Altmann, E.M. & Gray, W.D. (2002). Forgetting to remember: The functional relationship of decay and interference. *Psychological Science*, *13*, 27-33.

Baars, B. J. (1988). *A cognitive theory of consciousness*. Cambridge, MA: Cambridge University Press.

Band, G.P.H., van Steenbergen, H., Ridderinkhof, K.R., Falkenstein, M., & Hommel, B. (2009). Action-effect negativity: irrelevant action effects are monitored like relevant feedback. *Biological Psychology*, 82, 211–218.

Bargh, J.A. (1989). Conditional automaticity: Varieties of automatic influences in social perception and cognition. In J.S. Uleman & J.A. Bargh (Eds.), *Unintended thought* (pp. 3-51). New York: Guilford Press.

Bargh, J.A. (1994). The four horsemen of automaticity: Intention, awareness, efficiency, and control as separate issues. In R. Wyer & T. Srull (eds.), *Handbook of Social Cognition* (pp. 1-40). Hillsdale: Erlbaum.

Bargh, J.A. (1997). The automaticity of everyday life. In: R.S. Wyer (ed.), *Advances in social cognition* (pp. 1–61). Mahwah, NJ: Erlbaum.

Bekkering, H., & Neggers, S.F.W. (2002). Visual search is modulated by action intentions. *Psychological Science*, *13*, 370–374.

Bernstein, N. (1967). *The coordination and regulation of movements*. Oxford: Pergamon Press.

Botvinick, M. M. (2007). Conflict monitoring and decision making: Reconciling two perspectives on anterior cingulate function. *Cognitive Affective & Behavioral Neuroscience*, 7, 356-366.

Botvinick, M., & Cohen, J. (1998). Rubber hands 'feel' touch that eyes see. *Nature 391*, 756.

Botvinick, M., Nystrom, L.E., Fissell, K., Carter, C.S., & Cohen, J.D. (1999). Conflict monitoring versus selection-for-action in anterior cingulate cortex. *Nature*, *402*, 179-181.

Chambon V., & Haggard P. (2013). Premotor or ideomotor: How does the experience of action come about? In W. Prinz, M. Beisert & A. Herwig (eds.), *Action science: Foundations of an emerging discipline* (pp. 359-380). Cambridge, MA: MIT Press.

de Jong, R., Berendsen, E., & Cools, R. (1999). Goal neglect and inhibitory limitations: Dissociable causes of interference effects in conflict situations. *Acta Psychologica*, 101, 379-394.

Dickinson, A., & Balleine, B. W. (1994). Motivational control of goal-directed action. *Animal Learning & Behaviour*, 22, 1-18.

Dickinson, A., & Balleine, B. (2009). Hedonics: The cognitive-motivational interface. In Morton L. Kringelbach, Kent C. Berridge (Eds.), *Pleasures of the Brain*, (pp. 74-84). United States of America: Oxford University Press.

Donders, F. C. (1868). *Over de snelheid van psychische processen*. Onderzoekingen, gedan in het physiologisch laboratorium der Utrechtsche hoogeschool, 2. reeks, 2, 92-120.

Eimer, M. (1995). Stimulus-response compatibility and automatic response activation: Evidence from psychophysiological studies. *Journal of Experimental Psychology: Human Perception and Performance*, 21, 837-854.

Eitam, B., Kennedy, P.M., & Higgins, T.E. (2013). Motivation from control. Experimental Brain Research, 229, 475-284.

Elsner, B., & Hommel, B. (2001). Effect anticipation and action control. *Journal of Experimental Psychology: Human Perception and Performance*, 27, 229-240.

Elsner, B., & Hommel, B. (2004). Contiguity and contingency in the acquisition of action effects. *Psychological Research*, 68, 138-154.

Elsner, B., Hommel, B., Mentschel, C., Drzezga, A., Prinz, W., Conrad, B., & Siebner, H.R. (2002). Linking actions and their perceivable consequences in the human brain. *Neuroimage*, 17, 364-372.

Exner, S. (1879). Physiologie der Grosshirnrinde. In L. Hermann (Ed.), *Handbuch der Physiologie*, 2. Band, 2. Theil (pp. 189-350). Leipzig: Vogel.

Fagioli, S., Hommel, B., & Schubotz, R.I. (2007). Intentional control of attention: Action planning primes action-related stimulus dimensions. *Psychological Research*, 71, 22-29.

Falkenstein, M., Hohnsbein, J., Hoorman, J., & Blanke, L. (1990). Effects of errors in choice reaction tasks on the ERP under focused and divided attention. In C. Brunia, A. Gaillard, & A. Kok (Eds.), *Psychophysiological brain research* (pp. 192-195). Tilburg: Tilburg University Press.

Fodor, J.A. (1983). *Modularity of Mind: An Essay on Faculty Psychology*. Cambridge, Mass.: MIT Press.

Frith, C.D., Blakemore, S.J., & Wolpert, D.M. (2000). Abnormalities in the awareness and control of action. *Philosophical Transactions of the Royal Society of London B: Biological Sciences*, 355, 1771–1788.

Gershman (in press). This volume.

Glover, S. (2004). Separate visual representations in the planning and control of action. Behavioral and Brain Sciences, 27, 3-24.

Grafton, S.T. (2009). Embodied cognition and the simulation of action to understand others. *Annals of the New York Academy of Sciences*, 1156, 97–117.

Gratton, G., Coles, M.G.H., & Donchin, E. (1992). Optimizing the use of information: Strategic control of activation of responses. Journal of Experimental Psychology: General, 121, 480–506.

Harless, E. (1861). Der Apparat des Willens. Zeitschrift fuer Philosophie und philosophische Kritik, 38, 50-73.

Herwig, A., Prinz, W., & Waszak, F. (2007). Two modes of sensorimotor integration in intention-based and stimulus-based actions. *Quarterly Journal of Experimental Psychology*, 60, 1540–1554.

Hommel, B. (1996). The cognitive representation of action: Automatic integration of perceived action effects. *Psychological Research*, *59*, 176-186.

Hommel, B. (2000). The prepared reflex: Automaticity and control in stimulus-response translation. In S. Monsell & J. Driver (eds.), *Control of cognitive processes: Attention and performance XVIII* (pp. 247-273). Cambridge, MA: MIT Press.

Hommel, B. (2007). Consciousness and control: Not identical twins. *Journal of Consciousness Studies*, 14, 155-176.

Hommel, B. (2009). Action control according to TEC (theory of event coding). Psychological Research, 73, 512-526.

Hommel, B. (2010). Grounding attention in action control: The intentional control of selection. In B.J. Bruya (ed.), *Effortless attention: A new perspective in the cognitive science of attention and action* (pp. 121-140). Cambridge, MA: MIT Press.

Hommel, B. (2013). Dancing in the dark: No role for consciousness in action control. Frontiers in Psychology, 4:380.

Hommel, B. (in press a). Action control and the sense of agency. In: B. Eitam & P. Haggard (eds.), *Human agency: functions and mechanisms*. New York: Oxford University Press.

Hommel, B. (in press b). Embodied cognition according to TEC. In: Y. Coello & M. Fischer (eds.), *Foundations of Embodied Cognition*. Psychology Press.

Hommel, B., & Eglau, B. (2002). Control of stimulus-response translation in dual-task performance. *Psychological Research*, *66*, 260-273.

Hommel, B., & Elsner, B. (2009). Acquisition, representation, and control of action. In E. Morsella, J. A. Bargh, & P. M. Gollwitzer (eds.), *Oxford handbook of human action* (pp. 371-398). New York: Oxford University Press.

Hommel, B., Müsseler, J., Aschersleben, G., & Prinz, W. (2001a). The Theory of Event Coding (TEC): A framework for perception and action planning. *Behavioral and Brain Sciences*, 24, 849-937.

Hommel, B., Müsseler, J., Aschersleben, G., & Prinz, W. (2001b). Codes and their vicissitudes. *Behavioral and Brain Sciences*, 24, 910-937.

Hommel, B., Proctor, R.W., & Vu, K.-P.L. (2004). A feature-integration account of sequential effects in the Simon task. *Psychological Research*, 68, 1-17.

James, W. (1890). *The principles of psychology, Volume 2*. New York: Dover Publications.

Klemm, W.R. (2010). Free will debates: Simple experiments are not so simple. *Advances in Cognitive Psychology*, *6*, 47–65.

Kray, J., Eenshuistra, R., Kerstner, H., Weidema, M., & Hommel, B. (2006). Language and action control: The acquisition of action goals in early childhood. *Psychological Science*, *17*, 737-741.

Kühn, S., Keizer, A., Rombouts, S.A.R.B., & Hommel, B. (2011). The functional and neural mechanism of action preparation: Roles of EBA and FFA in voluntary action control. *Journal of Cognitive Neuroscience*, 23, 214–220.

Kunde, W. (2001). Response-effect compatibility in manual choice reaction tasks. Journal of Experimental Psychology: Human Perception and Performance, 27, 387-394.

LePelley, Griffiths & Beesley (in press). This volume.

Libet, B., Wright, E., & Gleason, C. (1982). Readiness potentials preceding unrestricted spontaneous pre-planned voluntary acts. *Electroencephalography and Clinical Neurophysiology*, *54*, 322–325.

Lotze, R. H. (1852). *Medicinische Psychologie oder die Physiologie der Seele*. Leipzig: Weidmann'sche Buchhandlung.

Ma, K., & Hommel, B. (2015). Body-ownership for actively operated non-corporeal objects. *submitted*.

Masicampo, E.J., & Baumeister, R.F. (2013). Conscious thought does not guide moment-to-moment actions-it serves social and cultural functions. *Frontiers in Psychology, 4*, 478.

Melcher, T., Weidema, M., Eenshuistra, R. M., Hommel, B., & Gruber, O. (2008). The neural substrate of the ideomotor principle: An event-related fMRI analysis. *NeuroImage*, *39*, 1274-1288.

Memelink, J., & Hommel, B. (2013). Intentional weighting: A basic principle in cognitive control. *Psychological Research*, 77, 249-259.

Milner, A.D., & Goodale, M.A. (1995). *The visual brain in action*. Oxford: Oxford University Press.

Monsell, S. (2003). Task switching. Trends in Cognitive Sciences, 7, 134-140.

Morrison, I., Lloyd, D., di Pellegrino, G., and Roberts, N. (2004). Vicarious responses to pain in anterior cingulate cortex: is empathy a multisensory issue? *Cognitive, Affective, and Behavioral Neuroscience*, *4*, 270–278.

Morsella, E. (2005). The function of phenomenal states: Supramodular interaction theory. *Psychological Review*, *112*, 1000-1021.

Muentener & Schulz (in press). This volume.

Nielsen, T.I. (1963). Volition: a new experimental approach. *Scandinavian Journal of Psychology*, 4, 225–230.

O'Brien, L., & Soteriou, M. (2009). (eds.), *Mental actions*. Oxford: Oxford University Press.

Passingham, R. (1997). Functional organisation of the motor system. In R.S.J. Frackowiak, K.J. Friston, C.D. Frith, R.J. Dolan, & J.C Mazziota (eds.), *Human brain function* (pp. 243–274). San Diego, CA: Academic Press.

Piaget, J. (1946). *La formation du symbole chez l'enfant*. Paris: Delachaux & Niestlé. Rochat, P. (2001). The infant's world. Cambridge: Harvard University Press.

Sanchez-Vives, M. V., Spanlang, B., Frisoli, A., Bergamasco, M., & Slater, M. (2010). Virtual hand illusion induced by visuomotor correlations. *PLoS ONE* 5:e10381.

Scepkowski, L.A., & Cronin-Golomb, A. (2003). The alien hand: cases, categorizations, and anatomical correlates. *Behavioral and Cognitive Neuroscience Reviews*, 2, 261–277.

Shiffrin, R.M., & Schneider, W. (1977). Controlled and automatic human information processing. II. Perceptual learning, automatic attending and a general theory. *Psychological Review*, 84, 127-190.

Shanks, D.R., & Dickinson, A. (1987). Associative accounts of causality judgment. *Psychology of Learning and Motivation*, 21, 229–261.

Shin, Y.K., Proctor, R.W., & Capaldi, E.J. (2010). A review of contemporary ideomotor theory. *Psychological Bulletin*, *136*, 943-974.

Simon, J. R., & Rudell, A. P. (1967). Auditory S-R compatibility: The effect of an irrelevant cue on information processing. *Journal of Applied Psychology*, *51*, 300-304.

Sommer, W., Leuthold, H., & Hermanutz, M. (1993). Covert effects of alcohol revealed by event-related potentials. *Perception & Psychophysics*, *54*, 127-135.

Spapé, M.M., & Hommel, B. (2014). Sequential modulations of the Simon effect depend on episodic retrieval. *Frontiers in Psychology*, *5:855*.

Spengler, S., von Cramon, D. Y. & Brass, M. (2009). Was it me or was it you? How the sense of agency originates from ideomotor learning revealed by fMRI. *Neuroimage*, 46, 290–298.

Sternberg, S. (2011) Modular processes in mind and brain. *Cognitive Neuropsychology*, 28, 156-208.

Stock, A. & Stock, C. (2004). A short history of ideo-motor action. *Psychological Research*, 68, 176-188.

Stroop, J. R. (1935). Studies of interference in serial verbal reactions. *Journal of Experimental Psychology*, 28, 643-662.

Synofzik, M., Vosgerau, G., & Newen, A. (2008). Beyond the comparator model: A multifactorial two-step account of agency. *Consciousness and Cognition*, *17*, 219–239.

Tsakiris, M. (2010). My body in the brain: A neurocognitive model of body-ownership. *Neuropsychologia*, 48, 703-712.

Tsakiris, M., Schütz-Bosbach, S., & Gallagher, S. (2007). On agency and body-ownership: Phenomenological and neurocognitive reflections. *Consciousness and Cognition*, *16*, 645-660.

Valle-Inclán, F., & Redondo, M. (1998). On the automaticity of ipsilateral response activation in the Simon effect. *Psychophysiology*, *35*, 366-371.

Van den Bos, E., & Jeannerod, M. (2002). Sense of body and sense of action both contribute to self-recognition. *Cognition*, 85, 117-187.

van Steenbergen, H., Band, G.P.H., & Hommel, B. (2009). Reward counteracts conflict adaptation: Evidence for a role of affect in executive control. *Psychological Science*, *20*, 1473-1477.

Verschoor, S.A., Spapé, M., Biro, S., & Hommel, B. (2013). From outcome prediction to action selection: Developmental change in the role of action-effect bindings. *Developmental Science*, *16*, 801-814.

Verschoor, S.A., Weidema, M., Biro, S., & Hommel, B. (2010). Where do action goals come from? Evidence for spontaneous action-effect binding in infants. *Frontiers in Psychology*, 1:201.

Vygotsky, L. (1934/1986). Thought and language. Cambridge, MA: MIT Press.

Wasserman, E.A. (1990). Detecting response-outcome relations: Toward an understanding of the causal texture of the environment. *Psychology of Learning and Motivation*, 26, 27-82.

Watson, P., Wiers, R.W., Hommel, B., & de Wit, S. (2014). Working for food you don't desire: Cues interfere with goal-directed food-seeking. *Appetite*, 79, 139-148.

Wegner, D.M. (2002). The illusion of conscious will. Cambridge, MA: MIT Press.

White, R.W. (1959). Motivation reconsidered: The concept of competence. Psychological Review, 66, 297-333.

White (in press). This volume.

Wilson, M. (2002). Six views of embodied cognition. *Psychonomic Bulletin & Review*, 9, 625-636.

Wolpert, D. M. & Ghahramani, Z. (2000). Computational principles of movement neuroscience. *Nature Neuroscience*, *3*, 1212-1217.

Woodworth, R.S. (1938). *Experimental psychology*. New York: Holt, Rinehart & Winston.