



ZETA IAS

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NEW ASPECTS OF

PUBLIC ADMINISTRATION

Handwritten Notes

Topics Covered by Monish Bhawale

- Post Modernism, Post Positivism, Post Structuralism
- Gender Perspective in Public Administration
- Critiques of Weber (Peter Blau, Alvin Gouldner, etc.)
- Thinkers – Waldo, Karl Marx & Peter Drucker
- New Public Governance, Strategic Contingency Theory, Resource Dependency Theory etc.



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Dwight Waldo

1. Works
2. Administration is not Ahistorical
3. Critic of C.T
4. Critic of B.T
5. "Modern Org" Theory
6. Administration & Democracy
7. Future Org"
- 8.

I. Works

- 1) Administrative State
- 2) (B), Democracy & Hypocrisy
- 3) The Study of Public Administration.

Waldo

Academic { ① Asst-Prof — California Univ
② Prof — So
③ ASPA —→ gives Dwight Waldo Award.
④ NASPAA

Practitioner { ⑤ Analyst in Bureau of Budget
⑥ Invited to improve Italian Adm

II Administration is not Ahistorical

Ahistorical — before 1887 P.A didn't exist
Mughal, Kautilyan, etc.



- Waldo had a significant influence on the teaching & the theory of P.A in the 2nd half of 20th C.B. (1950 → onwards)
- His imp. publications include —
 - (1) Admin^o State
 - (2) Bureaucracy, Democracy & Hypocrisy
 - (3) The Study of Public Administration
 - (4) P & Democracy, a strained relationship.
- He was also an academician & a practitioner as well
- As an academician, he did his PhD in Political Science, .
worked as (1) Asst Prof in Univ of California
(2) Prof in Sy
also associated with ASPA
NASPAA
CAG (CPA)

• As a practitioner :-

- (i) he worked as analyst in Bureau of Budget
- (2) invited to study the Italian Adm & to suggest improvements. where he realised the limitations of principles of P.A.

Paul
Appleby
in India

→ His Contributions

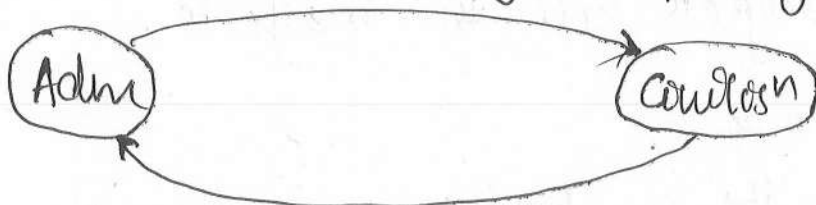
A. Public administration is not ahistorical / Historical.

- P.A traditionally adopted an ahistorical approach. i.e. TPA considered the beginning of P.A from the publication of Wilson's essay 1887 in 1887 (The Study of Adm). It rejected all the past practices as irrational, superstitious.

Modernism marked as the beginning of P.A

- Waldo rejected this ahistorical approach as adm & civilisⁿ have co-existed & nourished each other.

He considered history as prologue of P.A

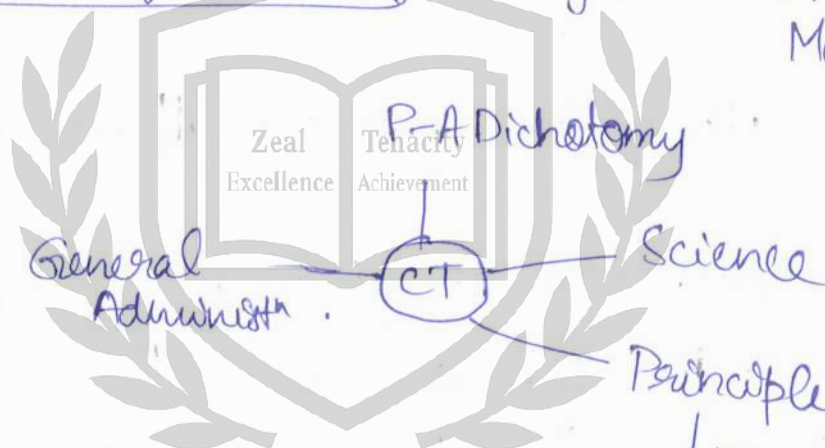


Both contend to each others development.

- Adm gives a framework to civilisⁿ by creating a foundation & govt-adm-civilisⁿ are inter-linked.

III Critic of CT (Taylor, Fayol, Weber, Gulick/Urwick, Mooney & Riley)

(CT)



- CT based their foundatⁿ on 4 aspects:-

- (1) P-A dichotomy
- (2) P-A as science
- (3) Principles are universal & scientific
- (4) General Adm (Public = Put)

Waldo rejects all the 4 aspects.

(1) Dichotomy

Rejects it on both normative & empirical basis.

As they operate inter-dependently
b/w each other.

Waldo outlined that P-A dichotomy was
advocated by C.T because w/o separation
democracy will become centrifugal (both will
destroy democracy) & separation ensures
democracy remain centripetal (centralism)

Politics → Policy Formulation } ^{7 policy I → MPLADS.} Influence
Admin → P.I - P.F. NITI, Secretariat } each other.
_{Donoughmore Comt. → Bulkier}

i.e there should be Politics-Admin fusion.

- He also says, "If P-A are separated, P.A
would be relegated to a lower field.
i.e counting no of sewer holes in country."

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(2) P.A as Science

- The scientific nature of P.A was based on the
rejection of Rule of Thumb, but Waldo says
it is nothing but applicⁿ of common sense.
 - The creation of P.A as science isn't authentic
as P.A can't ignore irrational & emotional
aspects (Values as dichotomy is rejected)
- ↳ Failure of CDP 1952. SGAR (due to non-considering values)

Σ as P.A is ^{abt} administering public & humans are emotional animal.

- He remarks almost P.A can be Applied Science i.e mix of Art & Science in which more of an art.

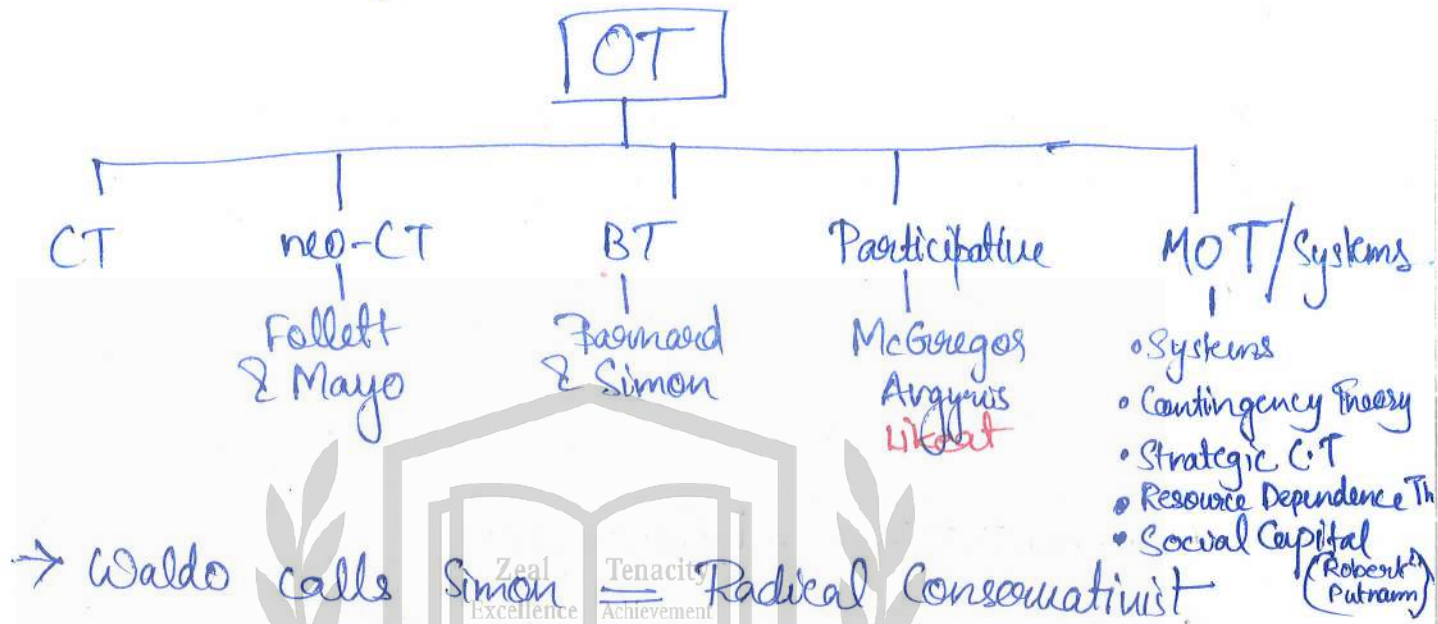
③ Principles

- The C.T talks of universal principles. & Waldo rejects the Cosmic Constitutional nature of principles as -
they reject the specificity in admin because it doesn't tell in which situation, which principle is effective.

(4) Generic Management

- Waldo rejects the generic management claim by C.T as Public & Pvt Org are totally different.
↳ Public Orgⁿ operate in envir of Constⁿ & politics & Admin which influence each other.
Etc

IV Critic of BT



- The B.T radically rejected the C.T but continued with the same theme of C.T i.e focussing on managerialism, efficiency (MEP) & positivism (scientific nature of P.A) B.
[Fact - Value dichotomy → value free science]
- Waldo says fact-value dichotomy emphasised by Simon is impractical & impossible to eliminate values. as in Adm value are inevitable & also desirable.
- Waldo considers Simon as Radical Conservative person as on 1 hand, he rejected CT but emphasised on all the aspects of C.T thru MEP & introd Politics - Adm dichotomy from Backdoor.

V Critic of MOT

- He rejected MOT as MOT dehumanised the Orgⁿ as it didn't considered effects of env of human. (MOT focused how env affect structure)

VI Administration & Democracy

- book - strained ship b/w (B) & Democracy

Democracy

- Equality
- Access
- Open

Bureaucracy

- Exclusivity
- Hierarchy
- Closed

- Waldo's view on Adm that there's a constant tension b/w (B) & Democracy as given abv.

∴ Waldo dismisses the orgⁿ rationality
& para-pyramidal orgⁿ.

- (B) will continue but it is a terminal value & the substitute will be from the marriage of Democracy & (B) (Democratic decentralisⁿ, Participative)

based on politics-adm fusion through the idea of applied science.

- NPA brought the new romanticism in P.A.
by giving pref to feelings over reason
 - senses over mind
 - creativity over rules.

VII Organisation of the Future

- New Tech will be created to process info faster - AI
- Power Centre will be those orgn which are scientifically & technologically advanced
- Future orgn will be mix of public & priv.

VIII Criticism

- i) He rejected all the existing theories but didn't provide any alternative

Qn Although Waldo considers Politics - Adm Dichotomy at odds with political theory, he considers political theory as another aspects of P-Adm (20M)



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Karl Marx

I Works

II Origin of (B)

III Hegel

IV Attack on (B) (Parasitic Rule)

V Theory of Alienation

VI Karl Marx vs Max Weber

I Works

- 1) Critique of Hegel
- 2) Poverty of philosophy
- 3) Communist Manifesto
- 4) Holy Family
- 5) Das Kapital

II Origin of (B) → from 4 Aspects

- 1) Religion
- 2) State
- 3) Trade & Commerce
- 4) Technology

17 Religion

Karl Marx inspired the foundation of many regimes of the world. No debate on state, society & admin is complete w/o the discussion on Karl Marx.

I Works

- 1) Critique of Hegelian Philosophy of Right
- 2) Communist Manifesto
- 3) Holy Family
- 4) Poverty of Philosophy.

II Origins of Bureaucracy

• He traces the origin of B from 4 Sources -
Religion, State, Trade & Commerce &
Technology.

1. Religion -

The earliest B consisted of Religious clergy performing rituals & armed functions delegated to keep order.

Aristocracy (State II)

2. State

- As there was a transition from primitive egalitarian society to civil society divided into social classes. So there was centralisation of authority.
- State formulated laws & enforced them which gave rise to officialdom.
- State also mediated b/w the people.

3. Trade & Commerce

- Growth of trade gave new dimension to the (B) so it was reqd. to keep accounts & enforcement of rules.
- So eventually the total amt. of work involved in commercial adm outgrew the work in govt adm.

Ex Br came as traders.

- Stability reqd for Trade & prosperity

4. Tech.

- As machines will replace humans but people still be reqd. to design & operate these machines.
- This type of (B) is called as Technocracy which will create income for Technocrats & not responsible class.

Ex FAANG Co's have combined Mkt Cap > GDP India.

III Critic to Hegel

- Hegel gave +ve const^{tn} to MWeber.

↳ Φ as mediator b/w State & People

State
↓
 Φ
↓
People

Hegel's Views

- He views Φ as link b/w State & Civil Society & acts as mediator.

- Φ is supposed to work for universal interest.

- Φ is recruited from the middle class which self starts serving & lines in instability.

↳ These aspects are over-ridden when they become part of Φ . Once they become part, Φ 's ethos ensures public interest & hierarchy gives stability. (Aspirants Φ is seen as stable career option)

They are checked by

Internal Check → Bureaucratic ethos

External Check → Prince (Top)

People's guidance (Bottom)
+ Press & Public opinion

Karl Marx's attack on (B)

A. Parasitic role of (B)

Universal interest of Hegel

- Marx denies organic position to (B) & calls them in the society parasites. designed to maintain status-quo & privileges of the dominant section of the society.
- (B) acts as an instrument of exploitation, ^{used by Bourgeoisie to exploit proletariat} functions in a mysterious manner, operates in secrecy. These all aspects are protected by the hierarchy & it becomes a closed corporation because of which masses develop alienation.
- (B)

B. Characteristics of (B) Destroyed

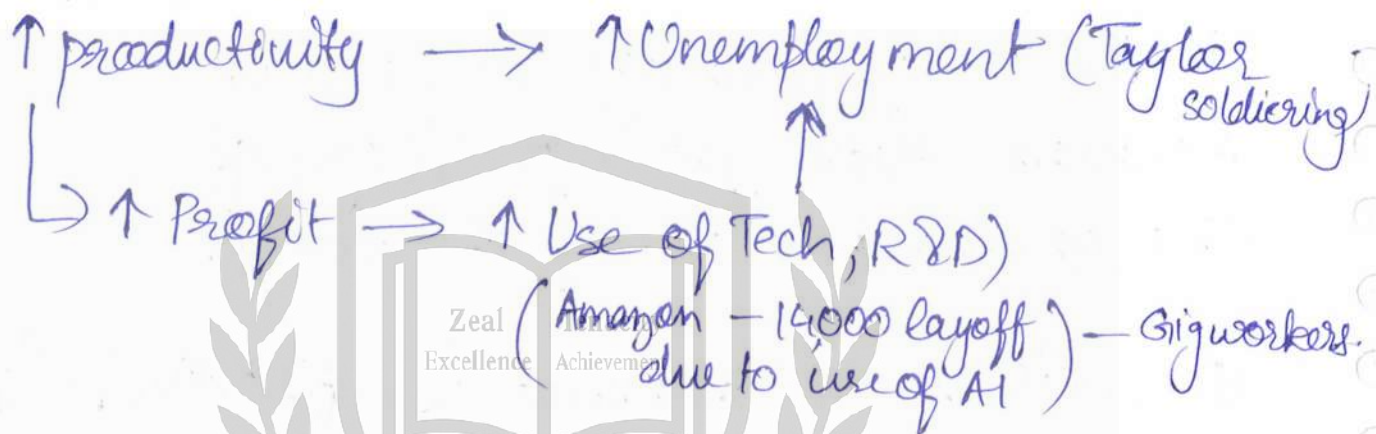
↓
Dow
Merit
Rules
Hierarchy

(i) Division of labour

- makes capitalistic society v. productive as workers do all the productive activity & capitalists & (B)* do all the intellectual activity

Workers → Productive / proletariat
(Bourgeoisie) Cap

- The benefits of higher productivity are cornered by the capitalists & workers get low wages.



(2) Hierarchy

- The hierarchy is the hierarchy of knowledge which ties the hands & feets of the masses. As more euitness increases, hierarchy becomes more centralised, more bigger & taller & officials remain more united.
- You can't do anything even if you enter

(3) Re Merit

- Recruitment through competitive exam perpetuates the capitalistic agenda of exploitⁿ of masses. as it can't ensure Statesmanship as exam ensures that people

only from upper class with costly higher edⁿ are able to enter the B.

Higher edⁿ also incorporates behaviour needed for Capitalism.

(4) Rules

B remains attached to rules than to humans & even their own social life becomes dead.

V Theory of Alienation

Under the current modern capitalist setup, workers will lose control over their lives & they'll become mere cog in a gigantic impersonal machinery.

This will result in to

- (1) Loss of Freedom
- (2) " " Creativity
- (3) " " Humanity
- (4) " " Morality

(1) Loss of Freedom

workers will be closely supervised, rule bound, coerced, controlled & threatened with punishment

→ Excessive Rules, - Grog

(2) Loss of Creativity

Because of DOW, ^{freedom} no worker produces whole product & so no satisfaction, & no worker can think independently,

(3) Loss of Humanity

Workers will tend to function like machines thereby losing humanity

(4) Loss of Morality

All these lead to loss of morality & worker will ~~treats~~ be like animal

Proletarian Revolution

First- there'll be abolition of state & then ~~the~~ (B) will wither away.

- The degree of centralism will determine the amt of violence reqd to bring the revolution.
- (B) will be brought under the control of masses. & they'll be elected by Universal suffrage. & can be removed anytime which is a deathblow to (B).
- So mock responsibility will be replaced by real responsibility as they'll be under continuous public supervision.

Marx	Weber
1) -ve connotation of (B)	1) +ve
2) Class division	2) Origin rationality in society
3) Parasitic, instru of exploit ⁿ	3) Bureaucratic ethos
4) Will wither away	4) (B) becomes institutionalised.

Qn ③ ~~doesn't~~ do not automatically reflect the prevailing social structure but pervert & disfigure them. Critically Analyse (15M)



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Peter Drucker

1. Life & Work
2. Generic Management
3. 6 Sins committed by Govt Orgⁿ.
4. Bench marking
5. MBO - Mgmt By Objective
6. KBO - Knowledge Based Orgⁿ
7. Criticism

I Life & Work

- Modern Management

Works - (i) Effective Management
(ii) Management of Future

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- Peter Drucker laid the foundation of Modern Management & took a humanistic approach i.e focussing on the people of the orgⁿ.
- He designed the 1st Executive MBA Prog in USA.
& worked as consultant to many Co's - IBM, GE, GM, etc.
- He was also awarded the President Medal of Freedom

- His imp. works include :-
 - (i) The Practise of Management
 - (ii) Effective Management
 - (iii) Management of Future

II Generic Management

Drucker

- ~~Waldo~~ believed management is generic.
It doesn't specifically belong to business orgⁿ, or any other profession. Management is part of both Public & Pvt Orgⁿ.
- The word 'management' didn't originate in the business sector rather is the City Administration in the USA who appointed City Managers & was 1st time formally used in the US Army in 1901.
- ~~As~~ during the great depression, ¹⁹²⁸⁻²⁹ as there was angst against business which that time was associated with management & to make sure this hostility doesn't reach the public sector, the mgmt in public sector was rechristened as Public Administration.

- 90% of the mgmt is same - generic
 & diff lies only in the 10% acc to
 specific history, culture, mission of orgⁿ, etc

Principles

- He was opinion that principles remained
 relevant even now - Hierarchy, Dow.

"Those who say hierarchy is dead
 are uttering total nonsense."

In this context, he commented ~~as~~

[management should not tell ^{us} what ~~we~~
 to do but only tell us what not to do.]

- Mgmt is relevant to govt because

① Govt \rightarrow regulating \leftarrow economy
 \rightarrow also welfare

② Managers^{Part} also join govt \rightarrow if not relevant
 they wouldn't join.

III 6 Sins of Govt Orgⁿ

- Calls govt orgⁿ to be fat, flabby & expensive.
- He gives 6 Sins :-

- (1) Lofty Objective
- (2) Fat is beautiful
- (3) Dogmatism
- (4) Not Learning from Experience.
- (5) Immortality
- (6) Several ~~Times~~ Things together.

- Peter Drucker studied the US Govt & found it to be ~~fat~~, flabby & expensive. → DOGE, Agniveer
- He gave 6 Sins which public orgⁿ commit which makes them inefficient
 - (1) Lofty Objective - Having objective w/o any specific, micro goals is a sure way to disaster. eg Viksit Bharat / Doubling Farmer's Income by 2021
 - (2) Fat is beautiful - Overstaffing eats into the Govt budget & makes it highly inefficient eg Min Govt Max Gov / Agniveer.
 - (3) Dogmatism - Believing particular way of doing things & best way of doing thing which hampers innovation & creativity in Govt Orgⁿ.

(4) Immortality - of prog & orgⁿ w/o having an expiry date. Schemes & prog continue even if they are irrelevant.

It is why Zero base Budgeting

(5) Not Learning from Past Experience - due to which, orgⁿ creates mistakes again & again.

(6) Doing Several things w/o Prioritisation - Orgⁿ remains trapped in routine activities.

→ Drucker says avoiding these 6 sins don't automatically ensures high performance but is a precursor.

Solutions

- 1) Benchmarking
- 2) Negative Incentives
- 3) Management by Objectives

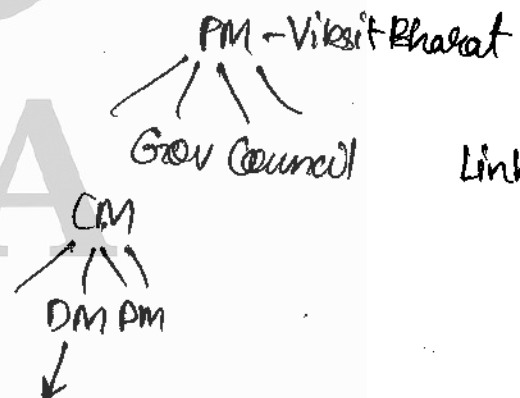
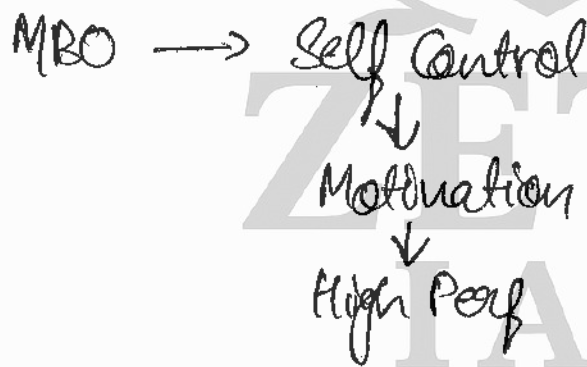
- McGregor → TY
- Argyris → Matrix
- Likert → Linkpin
- Kutumbadze - SHG
- Techniques of Admin

(1) Benchmarking - comparing perf with others for continuous improvement.

2) Negative Incentive - Individual perf below benchmark → wage cut, penalty, fine

3) MBO - Management by Objective

- An effective management must direct the vision & effort of all managers towards a common goal.
- Every manager should participate in the development of the common goal
- Each manager from CEO to foreman should spell out their own objective & promote teamwork.



Linkpin.

Cons

- ① Manipulatⁿ by higher manager
- ② No link with perf & reward
- ③ Doesn't have a theoretical foundation

Knowledge Based Organisations

- Drucker predicted info will bring major changes in society. & knowledgeable worker will dominate the orgⁿ. who are specialist & professional managers.
- This new worker will be based on knowledge & not physical labour.

Knowledge \rightarrow existing task = Productivity
Knowledge + new task = Innovation.

- Factors that determine the productivity of a knowledge worker are:-
 - (1) Autonomy Task Specificity
 - (2) Autonomy
 - (3) Responsibility
 - (4) Continuous Learning
 - (5) Continuous Innovation
 - (6) Quality of Output

1. Knowledgeable worker should focus on specific tasks & not on general management.
2. Employer should give autonomy to the knowledgeable worker & there should be attitude change in the entire orgⁿ.

3.



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Criticism

- of MBO

- i) He is like a good journalist who has assimilated the works of others.
(Not something new.)

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Qn Drucker position on government is highly critical, anti-statist & bureaucratic bashing. Examine (15M)



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Post Modernism

1. Why post modernism came into being? Context
 2. Post-Modernism — Approaches
 3. Applicⁿ in P.A
- Phenomenological approach
 - Fox, Miller
 - Authentic Discourse
 - Structuralism Theory
 - Critical Theory of Habermas
 - Governmentality by M. Foucault

I Context

Post-Society before Modern → Modernism → Post-Modernism

Deficit Approach

Religion dominated Church, Superstitious/ Supernatural powers

- Life is controlled by God
- Rule of Thumb.

← 16th CE Modern Era

- Renaissance
- IR
- Rationality/ Science
- Taylorism + experiment
- ↓
- Scientificism
- MW
- Adm Mgmt Theory (Fayol, Gulick, Urwick)
- or
- Orthodox Theory
- or

- Till the 16th CE, there was a divic approach & a supernatural approach to life: that life is controlled by God & validity of knowledge was from the church & religious scriptures. In a way, life was controlled by value, belief & experiences.
- 16th CE onwards with enlightenment, Renaissance & IR gave birth to Modernism & Positivism which gave emphasise to Scienticism, Rationality, Universalism & Technology.
- Further, there was emphasis on Empiricism i.e knowledge can't be accepted on the basis of belief, values rather observation & experimentation.

Modernity in PubAd

- 1) Institution impacted Wilson - ^{Constⁿ} _{Instⁿ} } studied → Principles by him.
- 2) Taylor - Scientific Mgmt; Experiments
- 3) Weber - P L-R (B)
- 4) C-T - Universalism, 14 Principles by Fayol.

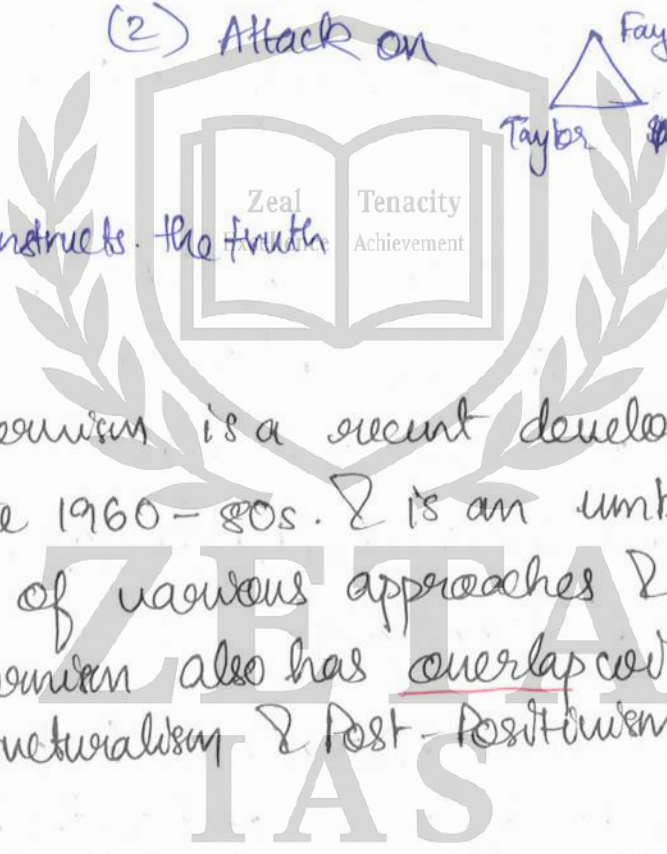
Post-Modernism

→ highly critical of Modernity $\left\{ \begin{array}{l} \text{Suppress} \\ \text{Repress} \\ \text{Exploit} \end{array} \right\}$ Society

→ On Pub. Ad (1) Centralism → Alienation of People

(2) Attack on



→ Deconstructs the truth 

- Post Modernism is a recent development b/w late 1960-80s. & is an umbrella concept of various approaches & dev. (Post-Modernism also has overlap with Post-Structuralism & Post-Positivism)

Why Post Modernism came into existence?

Instead of making man kind liberated, Modernism resulted in suppression, oppression & destruction

In context of P.A

- SMT → human ^{as} cog in machine
- MW → centralism, corruption
- C.T → Universal principles rejected

1. Post Modernism led to deconstruction of truth
(Truth is not 2 / Ratio Weberian Rationality is not
only truth & SM alone doesn't lead to best way of
doing work

2. PM is anti-foundational - rejecting grand narratives
& foundation of P.A. (C.A)

Approaches in Post Modernism

- 1) Phenomenological Approach
- 2) Structuralism Theory
- 3) Fox & Miller's Authentic Discourse
- 4) Critical Theory of Jergen Habermas
- 5) Governmentality of M. Foucault, Foucault

I. Phenomenological Approach

- It rejects objectivity & gives emphasis on
subjectivity & inter-subjectivity

i.e how a context influence a phenomena
& a phenomena influence a context



Honey Report → NPA
Philadelphia Conf.
JFK

Berger & Luckman says there's a social construction of reality i.e. ideas & events don't have a static meaning rather they're shaped by human experiences. Humans give new meaning to rules, laws based on their experiences & ability, based on their subjectivity & inter-subjectivity.

eg Street level (B) → BNS - schoolⁿ - justice in P. Station - HM
Amrit
→ Ground → Custodial Death.

II. Structuration Theory

Over a period of time, practices, symbols & values get repeated then form a structure.

A Structure performs a specific function.
i.e. the structure created by principles should perform specific functions based on rationality, science & objectivity.

But structures also perform functions which are all together diff. thereby redefining the entire structure & even emerge as a new structure.

Even the functions can shape the structure

⑧ Judicial Overreach shapes the structure of ① in such a way that it starts to act like a Parliament ex. V.P. Jagdeep Dinkar

III Fox & Millers' Authentic Discourse Theory

It is based on 2 fundamental aspects

- (1) Public Energy Field
- (2) The Authentic Discourse

(1) Public Energy Field -

The ^{Traditional} TPA based on the concept of ③ distinguishes b/w Rationality & Irrationality. It creates experts & thereby exclusivity

Universal truth	ignores	Subjectivity
Rationality	"	Irrationality

thereby it ignores non-bureaucratic insts.

The Public Energy Field gives voices to the people (SHG, CSC, Resident Welfare Association)

↳ It destroys the territory of ③ & promotes deterritorialisation.

It brings habits, experiences & values of people in the (B)

But if everyone participates, it results into anarchy & chaos.

So there should be an Authentic Discourse which includes 4 aspects.

- (1) Sincerity - those who participate should have mutual trust & confidence ^{otherwise} or it will poison the discourse.
- (2) Intentionality - Participants should not drift discussion & should focus on the specific discussion & not have vague discussion.
- (3) Willing Attention - Participants should be flexible, non-judgemental, allow others to open-up.
- (4) Expertise - Participants must've at least some expertise not necessarily formal expertise (B)

They say - there shouldn't be too much talk nor few talk but some talk.

Foucault viewed Post Modernism shift grand narrative to micro narrative & gives voice to minority & women.

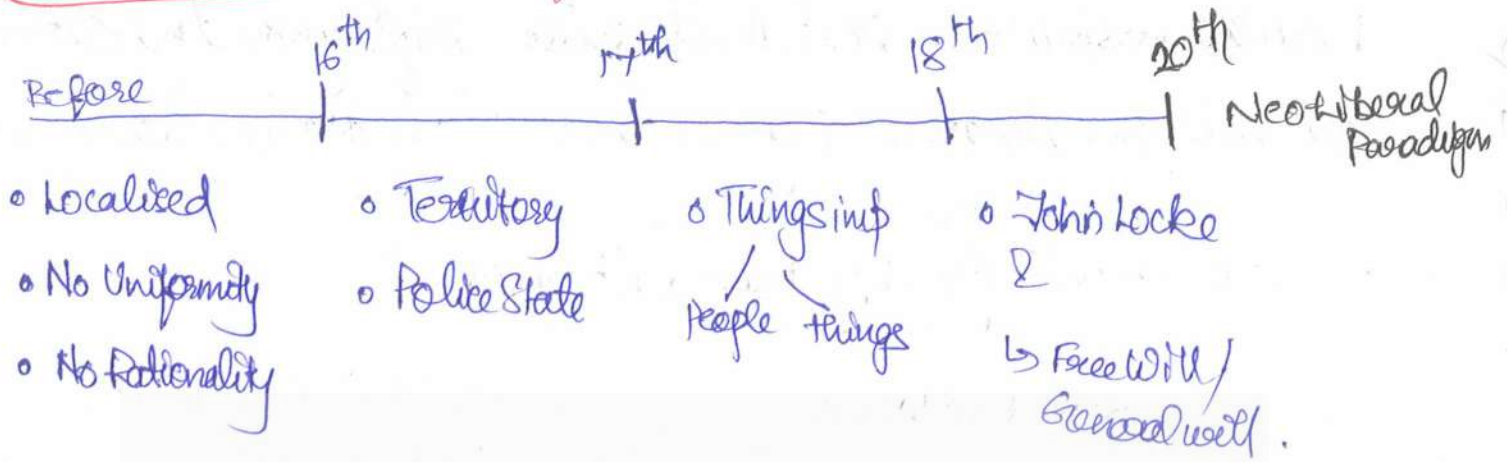
IV Governmentality by Foucault Michael

- Governing as per rationality
- Feels abt itself
- how it rules

1. Governmentality
2. Evolution
3. Concept of Power
4. Neo-liberal Paradigm

- The term Governmentality was coined by Michael Foucault to understand the rule of the govt. from 16th CE till today. more in context of Europe
- It means Governing through rationality. The way govt understands itself & how it rules. i.e its role.

Evolution in context of Governance



→ Power to 16th CE - Feudalism

Adm was highly localised & ruled by local chiefs who became an instⁿ in themselves & weren't governing through rationality.

→ 16th Onwards 1500 →

With the end of Feudalism, Territory of a Nation came up. The idea of governing came up. The 16th CE was concerned with territory. The 1^o motive was to protect territory & expand it. (Janapada of Kautilya - Saptanga Theory)

↳ So it was kind of Police State & Coercive State

→ 17th CE (1600-1700)

is significant in many terms as there was an understanding of democracy & period

of renaissance. As there was abolition of church rule which lead to secularis^m of Politics & the Government's concerns shifted from Territory to the Things (People + ~~For~~ material output (territory)) Focus was more on wealth mgmt.

Hence Government became an ART. Government was managing things not for people's prosperity but for own prosperity as only Healthy people can ensure long term devt.

(Happiness of King lies in Happiness of his subject) - Kautilya

→ 18th CE (1700-1800)

With the contribⁿ of John Locke & Adam Ferguson who said an individual is an entity in itself i.e. a person by virtue of being Human being has some inalienable Rights —

- RT Life
- RT Property
- RT Liberty

State's role is to help people enjoy these rights.

But people don't enjoy this true freewill in true sense, they are constrained by the

- Family
- Society
- Government

Their thinking is ~~government~~ governed & influenced by these variables, what what they have been taught how " " " brought up.

Foucault's views on Power

- 1) Coercive Power
- 2) Private Power
- 3) Persuasion, Mediation, Bargaining

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He viewed power as a game which is not only based on Gout. but also present in multiple form. so he gave 3 perspectives of power :-

- 1) Coercive Power — where power is exercised by the Gout by way of rules, regⁿ, by becoming a Police State
→ Sonam Wangchuk arrest under NSA

2) Private Interaction - which is also considered as power game where friends, families, exercises power like parents influencing children, private inst's, hospitals, controlling people's behaviour.

3) Non-coercive power - under which an impression is given that power isn't exercised & individual is free but power is exercised thru bargaining, persuasion & mediation. He claims this type of power as the most dangerous.

⑧ US President offering mediation b/w India-Pak during Op. Sindhoor.

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Foucault's View of Neo-liberal Era

• Christopher Hood.

With the Neo-liberal Paradigm, the state has been decentralised in the form of market & pushed further away from the humanistic values such as communitiness, brotherhood, & market became more & more powerful. It totally captured the functioning of the

society. Only a mythical notion of freedom is created & human is becoming more & more unfree, individual is totally captured by market, media, cinema. (Even today, humans are captured by social media, OTT, etc.)

"Governance today is even more dangerous as self-control is replaced by coercive control by use of non-coercive power"

Governance through International Agencies

International Arena defined through governmentality promoted that every nation is sovereign & free to take decisions but in real sense nations aren't free to take decisions.

eg WB's Aid conditionalities

Qn Governmentality has been a flux b/w
technology of Domination & technology
of self. Expand (15M)



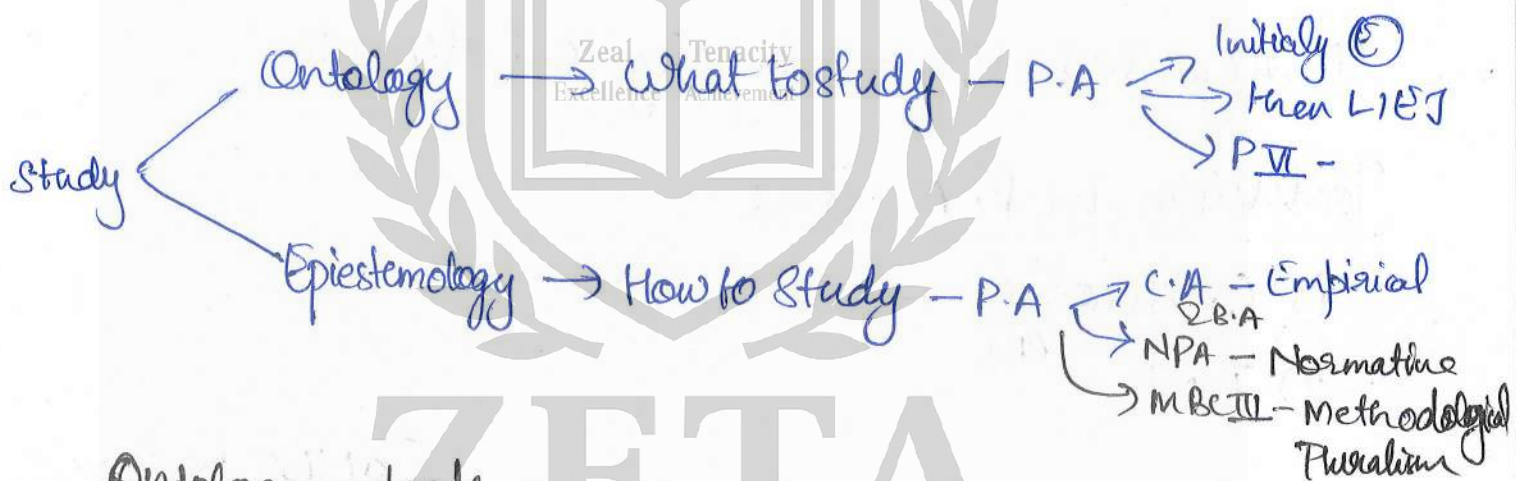
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Post-Positivism

18th Nov 25

1. Positivism
2. Post-Positivism
3. Constructionism
4. Interpretivism
5. Critical Theory



Ontology deals

There are 2 Broad dimensions in any discipline:-

- 1) Ontology - i.e. what to study
- 2) Epistemology - i.e. how to study / How to get reliable info.

Ontology & Epistemology will differ subject to subject

Q Ontology of Physics = Gravity, Time, Distance, etc
" " P.A = Govt, CS, MKT

Epistemology of P.A was based on observation, experimentation & like SMT of Taylor.

Post Modernism in P.A gave emph on habits, experiences, context

Before Positivism - Deficit Approach (See Post-Modern)

Positivism

- The era of Positivism started with I.R. & Renaissance. It gave emph on rationality, science & technology.
- Knowledge was to be obtained from empirical perspective i.e through observation, experimentation.
- It rejected the meta-physical elements

Positivism in P.A are

- 1) C. Theories - HRT
- 2) B.T -

Post-Positivism

- It gave emph on not just expertise & rationality but also on non-expertise & irrationality like people's experience, habit, conventions & beliefs are also important.
- Post-Positivism in P.A can be understood by
 - constructionism
 - Interpretivism
 - Critical Theory

ONPA precursor
based on timeline
though kind of
Post-Positive

- Census not necessarily reliable & may not be totally true as it can't capture people's experiences, traditional practices.
 - "Truth also can differ time with space & time"
 - Positivist take info only from sensory organs. but it may not be totally reliable.
- There should be qualitative approach which incorporate convention, beliefs, etc.

Note - Post-Positivism has similar ingredients as to Post-Modernism & Post-Structuralism (Overlap)

• Constructivism

└ Truth
└ Structure

• Interpretivism

└ Pheno
└ Root Cause

~~Constructivism~~ Constructivism

Constⁿ & structure
theory link
solid, flexible.

→ Truth is relative, facts are relative
what maybe true today, may not be true tomorrow

↳ Truth varies with space (society) & time.

↳ Weber would say Truth is 1 i.e. Bureaucratic Rationality but it also results in corruption, exclusivity

Democracy

Truth of Democracy will lead to people's empowerment but can also lead to enslavement

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→ Variable Structure -

Structure may not have 1 meaning but it varies from orgⁿ to orgⁿ & with space & time. What may be good today may not be good tomorrow.

Eg PC affected State Autonomy & as it expanded C&S & later dismantled in 2014 to form NITI Aayog

Interpretivism

→ It gives emphasis on the study of the phenomena, ~~how pheno~~
↳ how pheno influences context
" context " pheno.

i.e Rules, regⁿ, principles aren't universal
universal. They are dependent on
how Govt, @, people, etc interpret
it.

→ The approach should be to address the
root cause & not to address the issues
superficially.

Eg Corruption in India → Root Cause not
yet addressed

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→ Riggs studied the Adm in context of
ecology i.e. how context influence the
pheno in adm in different Countries.

Critical Theory by Jergen Habermas

1. What
2. 3 Generations of Critical Theory
3. J. Habermas (2G)
4. Applicⁿ in P.A

I Critical Theory ^{was} highly critical of the existing state of affairs based on rationality, logic & reason.

- Humans suffer from false conscience as it validates something unfree as free.
- Structure, Rationality, etc are capturing in nature as Standardism, Universalism results in enslaving of human being.
- Emancipation will come by reason but reason based on Self-criticality & self-consciousness

II There are 3 Generation of Thinkers in Critical Theory

1st → Eric Fromm
H. Marcuse } emphasised on self-consciousness by understanding culture, family & community.

2nd → Habermas — existing technology is capturing & rationality
& calls for a shift from philosophy of rationality & consumerism to philosophy of languages

3rd → Honneth & Benhabib } — They reject meta & grand narratives & give emphasis for micro-narratives sub-altern activities.

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III J. Habermas

Rational human being is a cultural consumer
i.e., human being is totally captured by the instrument of rationality. Human being doesn't truly enjoy Liberty
Equality
Fraternity

but is a mere cog in political wheel

- Emancipation can happen through language i.e. people from diverse culture, background will come together, deliberate & create an ideal free speech situation which will create norms & structures which will reflect the life-world (based on their exp, belief & understanding)
- He rejects Civic Republicanism & Liberal Democracy & advocates for deliberative democracy.

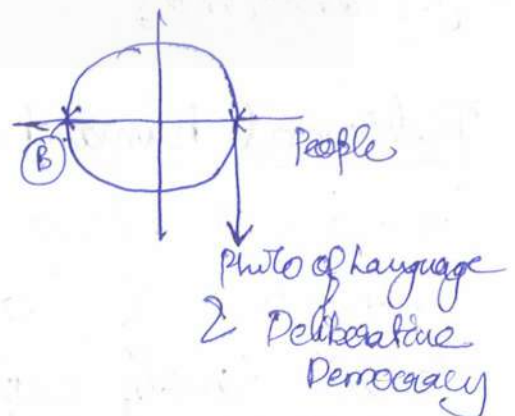
IV Implications to P.A.

→ Common Sense Truth = Rationality w/ Rationality

→ Results in 180° shift

RTI shift a/c Top down
to bottom up

NPA also ensured 180° shift



- SMT, CT, & MAO believed in rationality but that is capturing in nature & will not free the individual.
- Adm based on hierarchy, centralisⁿ will not promote (Liberty, Eq & Justice) in true sense.
- It gives emph on 2 initiatives:-
 - A. Adm should be able to see the common Common sense truth i.e to see rationality w/i the existing rationality.
 - ↳ This will happen when there is de-bureaucratism & humanisatⁿ of adm.
 - B. There should be an innovative initiative to bring about 180° shift i.e People should participate in deliberative democracy & Adm should not work for self interest but public interest.

In a way Critical Theory promotes anti-Adm & ~~an~~ is anti-foundational to P.A.

Deliberative Democracy should ensure there is particⁿ from diff background esp from subaltern section. & deliberation shouldn't mean consultation. People should be able to question & decisions should be taken based on the philosophy of languages. (Habermas)

- Critical Theory outlines people can't be governed by the same law. It is not monolithic but interdisciplinary

Zeal Excellence
Tenacity Achievement

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Post-Structuralism

1. Structure
2. Post-Structuralism
3. Jacques Derrida
4. Lyotard

I Structuralism

- A word is understandable because it has an inherent meaning, grammar which gives a specific interrelationship with other words.
eg GoI is a word, it's inherent grammar is PM, Com, *Adm, etc. It has a r/ship with people i.e other words.
- This results into a cause & effect r/ship which leads to predictability & uniformity of that structure i.e a structure has a definite meaning, symbol, logo.
eg GoI → Governance

Habits of Mind

Grooming of a structure since its inception leads to form of habits of mind which creates frames & structure functions through these frames.

eg GoI habits welfare of the poor, I.S, etc.

- Post-structuralism is a shift from this habits of mind which is discomforting & uncomfortable i.e why there is resistance to Adm reforms by the (P) (habits of mind) because it disturbs the habits of mind of (P).

Post Structuralist Perspective on Historicism

- Historicism is a definite course of history,
→ Historicism (Ideaology)
it is development of future which is definite.
Modern State has progressed & will progress more in the near future.
- Post Structuralism rejects this Historicism perspective as it says modern State has not necessarily progressed but regressed as well.

Eg. Withdrawal of LE in 2024

III with Weberian (P) as well as (P) has also regressed as there is bureaucratic corruption, high handedness

I.R also has been regressive like the centres of I.R today has been converted today into Rust Bowls.

Post-Structuralism also outlines the imp of abstract elements such as :-

(1) Unknowingness - Everything can't be known. @ Simon's cognitive limit.
(2) When things are unknown, Startups.
Structures can't have definite meaning & word.
Its activities can't be fully controlled. so there will remain unpredictability.

(2) Luck - Many events & situation can't be predicted as there's element of luck involved which can lead to both desirable & undesirable effects. @ SIR protests / Farm /

Post-Structuralism is anti-foundational, it rejects the law of identity & the idea that rational @ is efficient.

Jacques Derrida

- Deconstructionism

Jacques Derrida → words don't have inherent meaning, their meaning & grammar are shaped by a particular context.

Structures don't have an inherent meaning but shaped by their context. i.e there's a deconstruction & negation of normalcy.

It leads to de-territorialisation & creative imagination

Q The context of Weberian (B) was I.R.,
Capitalism
Protestant Ethics.

But today, the context is different so the Weberian (B) needs to be deconstructed.
Deconstructionism is anti-power. It frees
Adm & political action from totalising
Paranoia.

He outlines the need for Juxtaposition by
bringing 2 constructing elements together

Q Centralism & Decentralism

PM & P.I

(B) & people.

which will give structures & organic
position which will be mobile & adaptive.

Lyotard

He rejects the permanency & stable (B).

It should draw powers from ideas of the
people & from the social discourse.

There should be continuous writing, rewriting
& restructuring of Adm.

Implicⁿ of P.A

Practice

P.A should incorp ideas of the people.
Everyone in orgⁿ should be part of D.M
along with people from outside orgⁿ,
as well.

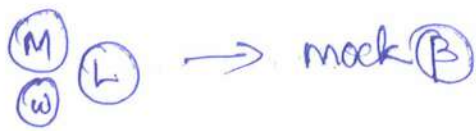
Discipline

The study of P.A should be de-territorised
i.e not remain fixated on the vocabulary
of P.A but also economics, sociology, etc.
So that there is epistemological pluralism in
P.A.

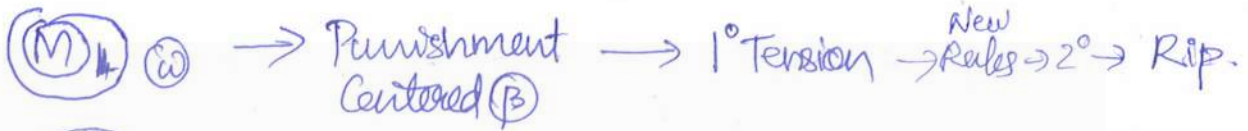
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Alvin Gouldner criticism on Max Weber



M - managers
W - workers
L - Law



He conducted an empirical study & found that in a rational \textcircled{P} , an individual is not totally captured as every individual will have diff interest w/ an orgⁿ.

Manager's interest can be diff from Worker's interest & vice-versa & the interest of both of them can have diff from existing law.

He gives the foll. situations:-

- 1) When Manager's interests overlaps with the law but are diff from the Worker's interests which results in strict supervision as Manager's want high productivity & efficiency which leads to threats & coercion &

Gouldner termed it as Punishment Centered \textcircled{P} .

(2) when both M & W's interests.

don't overlap with the legal interests
which results in law not being followed
i.e. law being mocked

He termed it as mock (B)

eg. Our Laws, Taxation, etc.

(3) When interests of M & W overlap
with the legal interest

eg. Safety & Security Laws in Orgⁿ.

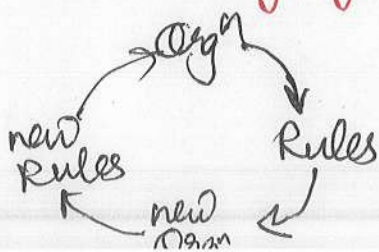
This type of (B), he is called Representative (B)

So there exist not just 1 Rational (B) in Orgⁿ.
but also mock & Punishment Centre (B).

As there's a wide spread presence of
Punishment Centre (B) in Orgⁿ, it results
in 1^o Tension.

To get rid of 1^o Tension, more rules & strict
supervision is incorporated which further results
in 2^o Tension. & Orgⁿ becomes less & less effective
& gets disintegrated.

Marshall Mayer game



PC \rightarrow N.A

Robert Merton

- Goal Displacement -
- Rules end in itself

21st Nov 25

→ Other Critiques of Weber

1) Peter Blau

2) Robert Jackall

3) Richard Edwards

→ 4) Ritzer - McDonaldisation

→ 5) RDT

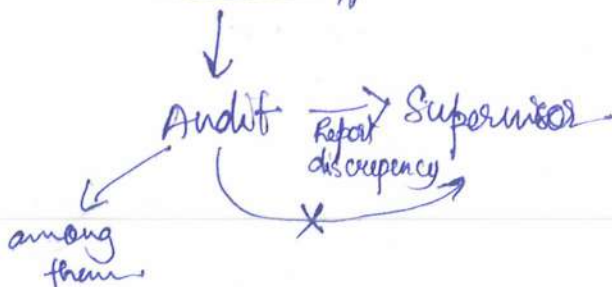
Peter Blau

- Empirical Study → State Employment Agencies
→ Auditor's Dept

SEA



Auditor's Office



- Peter Blau undertook an empirical study of the State Employment Agency & the Auditor's Dept. which were based on Weberian L-R.
- He found out Orgⁿisational Rationality was replaced by Individual Rationality as humans are creative beings, they modify the rules, regulations & rationality in the Orgⁿ.

A. State Employment Agency

Peter Blau analysed the behaviour of the Receptionist & the Interviewer.

↳ (R) receives applicⁿ, scrutinise them & filters them & forward to (I)
(those not eligible)

↳ (I) identify the candidate suitable for specific job & rec for their placement.

But in actual practice, the (R) was placing everyone for the interview against her role to filter & Orgⁿ principles. due to empathy & relation she developed through interaction with cand^t applicant.

- So in a way, in a rational Bureaucratic Orgⁿ, empathy replaced Neutrality.
- The (I) - because the (R) recommending everyone for interview, the (I) got overburdened but he didn't mind as it increased the chance of people getting placed in agency \rightarrow more incentives.
- \hookrightarrow But as a result, incompetent & non-meritorious people got placed in Orgⁿ so there was defiance of law \rightarrow \downarrow productivity & efficiency.
- \hookrightarrow Orgⁿ Rationality replaced by Self Rationality
- 'Offe' called it Symbolic Substitutive Behaviour.
- These findings were ^{further} enforced by behaviour of Auditors in the Auditor's Dept.

When Auditors found any discrepancy, they ~~in~~ should inform their functional superiors i.e follow hierarchy Δ .

\hookrightarrow But in practise, they ^{clarified} with their colleagues as if they disclosed the superiors, they'll get punished & also it was easier to

easier talk to colleagues & supervisors were themselves busy.

- This again proves that Orgⁿ Rationality gets replaced by Individual Rationality.

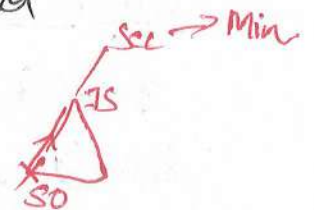
Peter Blau's study also validates the study of Alvin Gouldner. (Mock B)

Robert Jackall

Zeal
Excellence

Tenacity
Achievement

- He outlined that the moral consciousness of people in the Orgⁿ is not subdued by the Rationality in the Orgⁿ.
- As authority in the Orgⁿ doesn't operate at the level of Orgⁿ rationality but at the level of on the level of the experiences that takes place in the day to day interaction between Superordinate & Subordinate i.e. personal r/ship & not Weber's idea of authority. as it creates an impression that "I'm usefull".
Personal loyalty becomes more imp. than Technical Competence.



Individuals keep shaping & reshaping the Orgⁿ with his/her persona & worthiness towards the job. This is called Partimoulal (P)

Richard Edwards

- In any Orgⁿ, Human being maintain its creative potential & can't be completely captured.

In contemporary Org, there are 3 types of Control :-

- a) Individual Control - Superior → Sub.
- b) Bureaucratic Control - Rule, Procedure, Structure based.
- c) Technological Control = e-Samikshta, Bio-metric attendance.

- Individuals using the creative potential will find ways to escape these controls which will have unintended consequences.

Boyer & Boyer outlines how to deal with unintended consequences. i.e laws w/i Orgⁿ should help the individuals what is expected from there. i.e they should be self-directed to Orgⁿ Goals. If law

↳ If laws are enabling, it will lead to enabling (B) & not coercive (B).

McDonaldisation

(Relevance of Weber, SMT)

by George Ritzer.

- "When I go to McD, I see a Bureaucratic society."

Many such restaurants are highly successful today as they operate on the Weberian & SMT principles of efficiency, calculateability, predictability & control.

1) Efficiency - They are also based on the Best way of doing work as it maintains standardisation of material, labour & processes.

2) Calculateability - Everything is calculated & defined, eliminating the scope of creativity & human innovation as the person have to order from the defined menu & cook has to prepare a defined meal.

~~3) Pred~~

3) Predictability - Employers know what is expected from them as everything is standardised. Also, customers have to order from the limited menu.

- 4) Control - Things are made measurable & quantifiable. No deviation is allowed. No tips allowed. You've to pay before meal. No one to serve. Also the entire Orgⁿ reflects a blue color orgⁿ same uniform.

These all aspects reflect Taylor's Scientific Mgmt & Weberian Structures. These type of orgⁿ are rapidly spreading in society

ATM = MacMoney

Food Delivery, Quick Commerce, EdTech

EdTech = MacEdⁿ (v. standardised)

There is Bureaucratic Rationalisⁿ of society

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Resource Dependency Theory

- Pfeffer & Salnick

- An Orgⁿ is dependent on a no. of resources, like skilled manpowers, machines, raw materials, etc. on basis of which an Orgⁿ functions.
- But all resources aren't vital for the Orgⁿ. Some are v. critical & scarce w/o which an Orgⁿ will not be able to function & it will disintegrate.
- The extent to which an Orgⁿ is able to control & ^{utilise} neutralise these resources, more Orgⁿ will be successful.

From Power Dynamics Perspective

- An individual w/i Orgⁿ who is able to control the critical & scarce resources will be powerful.
- ↳ So in a way in org. negates power power based on posn i.e. Weberian Hierarchy.

→ M. Crozier's study in France found that Maintenance Dept was exercising high power as it had the ability to deal with certainty & uncertainty

↳ w/o maintenance an Orgⁿ couldn't function

University

In an University, which had highest no' of students exercise more power as it had control over the funds.

- In Govt, PMO & MHA
- In world, the Co which have control over REE are most powerful (China - 60%)

Hickson & Hinning outlines -

Power is not necessarily based on custom of position rather outcome of one's control over critical & scarce resources & to deal with certainty & uncertainty.

Social Capital Theory

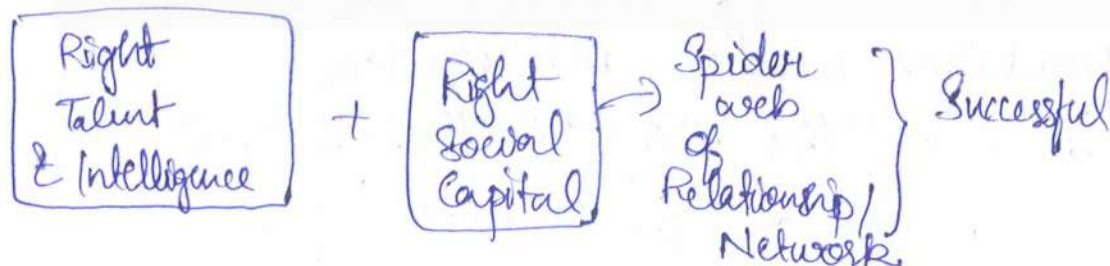
- Robert Putnam

- Human Capital = Individual having knowledge & skill to deal with a problem is a human resource.
- Social Capital = Ability of an individual in a network situation to successfully deal with various problems relevant to them.

Importance of Social Capital

- In the contemporary era, there's more & more emphasis on social capital as compared to traditional emphasis on individual i.e. individualism.
- Traditional idea of success based on individualism under modernism is a myth. i.e. the modern thought was success is based on your ideas, your skills, & your knowledge.
- But in reality, success is based on one's relationship with others, as Human Capital itself is a Social Capital.

- Talents & Intelligence is basically a byproduct of social process from childhood to adulthood.
- Edⁿ is socially influenced which defines the person's character
- People who are successful today, create a spiderweb of network around them. (Spiderweb Network has wide network, know a lot of people & therefore, would be
- An individual will've higher capacity to deal with a problem if they are more socially interlinked i.e. the social capital ensures that they are the right person, at right place at the right time.



Implication of Social Capital to Organisation

Moral Trust + Confidence = ↑ Moral & Motivⁿ

↓
↑ Productivity & efficiency

- Social Capital empowers the individuals, be it the administrator in Orgⁿ to deal with prob more effectively.
- Social Capital increases cooperation within the Orgⁿ which increases mutual trust & confidence. It leads to high motivⁿ & morale, higher productivity & satisfaction.

SC → ↑ Coopⁿ → ↑ MT & Confidence → ↑ Motivⁿ & Morale

↓
↑ Satisfaction → ↑ Productivity
"We feeling"
Espiruit de Corps

- Social Capital leads to Complementarity in Action i.e. Individuals with diff strengths & weaknesses complement each other & successfully overcome the weaknesses in the Orgⁿ.

- Social Capital \rightarrow \downarrow transaction cost, thereby maximising the use of knowledge & talent in Orgⁿ.
- Social Capital promotes Organizational Learning, thereby reduces need for formal Tr.
- In a way, it leads to an enabling (B)
- & Today's era, mergers, acquisitions, strategic alliances happen because of personal relations, acquaintances i.e. Social Capital.

Robert Putnam Study in Italy

- Putnam found the ~~o~~ 1 part of Italy was more developed than other part & had more no. of CSOs which results in higher Social Capital.
- Individuals are more interlinked, networks, thereby high awareness abt. various issues & made the adm. even more accountable. ~~conf~~
- Compared to the lesser developed area where the presence of CSO was less

- Putnam's study highlights the relevance of Social Capital outside Orgⁿ.
- Social Capital leads to conscientisation of society i.e. development of mutual trust & civic competence which will result in Civic Renewal (Urban LB, (C) Renewal)



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Gender Perspective in PubAd

• Science
Power
Centralism
Rational

Order
Principles
Authority

• Camilla Steuers & Martha Nassbaum

↳ Hobbes's Choice = become like man in orgⁿ

Man

Power
Order
Hierarchy
Rationality

Woman

Zeal
Tenacity
Social Rship
Team
MTrust
Emotional

- T.P.A is a male centered bastion, the thinkers talked abt power, authority, giving orders, hierarchy, centralism, rationality.
- It rejected the role of emotions in Adm
MP Follett implicitly brought the feminist perspective in PubAd when she talks abt Integrating Differences, Preference to power with over power over, giving orders from the situation.
- But it was Camilla Steuers & Martha Nassbaum who brought Feminist persp in P. A explicitly.

- Camilla Steuers talks abt the Hobbsen Choice i.e the Orgⁿ reflect the main Structures.

The females in orgⁿ should either ~~develop~~ adopt male structures or quit the orgⁿ.

↳ As there are smoking rooms in Orgⁿ but not breast feeding rooms.

↳ There's no place for emotions, socialisⁿ, etc

- Prevalance of Glass Ceiling, Sticky Floor due to these main structures.

(No women Cabinet Sec & v. few Sec, DGP, Chief Sec)
(Women clearing UPSC = $\frac{1}{4}$ to $\frac{1}{3}$)

- Acc to Harvard Business Review, to bring Transformation Change in Orgⁿ, Humans should be at the top positions as they promote transformational leadership.

Women promotes team building, mutual trust, social capital in an orgⁿ.

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By Monish Bhawale Sir



“

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