



# ZETA IAS

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NEW ASPECTS OF

# PUBLIC ADMINISTRATION

## Handwritten Notes

### Topics Covered by Monish Bhawale

- Post Modernism, Post Positivism, Post Structuralism
- Gender Perspective in Public Administration
- Critiques of Weber (Peter Blau, Alvin Gouldner, etc.)
- Thinkers - Waldo, Karl Marx & Peter Drucker
- New Public Governance, Strategic Contingency Theory, Resource Dependency Theory etc.



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# Dwight Waldo

1. Works
2. Administration is not Ahistorical
3. Critic of C.T
4. Critic of B.T
5. "Modern Org" Theory
6. Adminstrn & Democracy
7. Future Org"
- 8.



## 1. Works

- 1) Administrative State
- 2) (B), Democracy & Hypocracy
- 3) The Study of Public Administration.

## Waldo

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Academic

- ① Asst-Prof → California Univ
- ② Prof → Su
- ③ ASPA → gives Dwight Waldo Award.
- ④ NASPAA

Practitioner

- ⑤ Analyst in Bureau of Budget
- ⑥ Invited to improve Italian Adm

## II Administration is not Ahistorical

Ahistorical — before 1887 P.A didn't exist  
Mughal, Kautilyan, etc.

Admin ↔ Civillish

- Waldo had a significant influence on the teaching & the theory of P.A in the 2nd half of 20<sup>th</sup> C.S. (1950 → onwards)
- His imp. publications include —
  - (1) Admin & State
  - (2) Bureaucracy, Democracy & Hypocracy
  - (3) The Study of Public Administration
  - (4) B & Democracy, a strained relationship.
- He was also an academic & a practitioner as well
- As an academic, he did his PhD in Political Science, .  
worked as (i) Ass't Prof in Univ of California  
(2) Prof in Sy

also associated with ASPA

NASPAA

CAG (CPA)

- As a practitioner :-

- (i) he worked as analyst in Bureau of Budget
- (2) invited to study the Italian Adm & to suggest improvements. where he realised the limitations of principles of P.A.

### → His Contributions

#### A. Public administration is not ahistorical / Historical

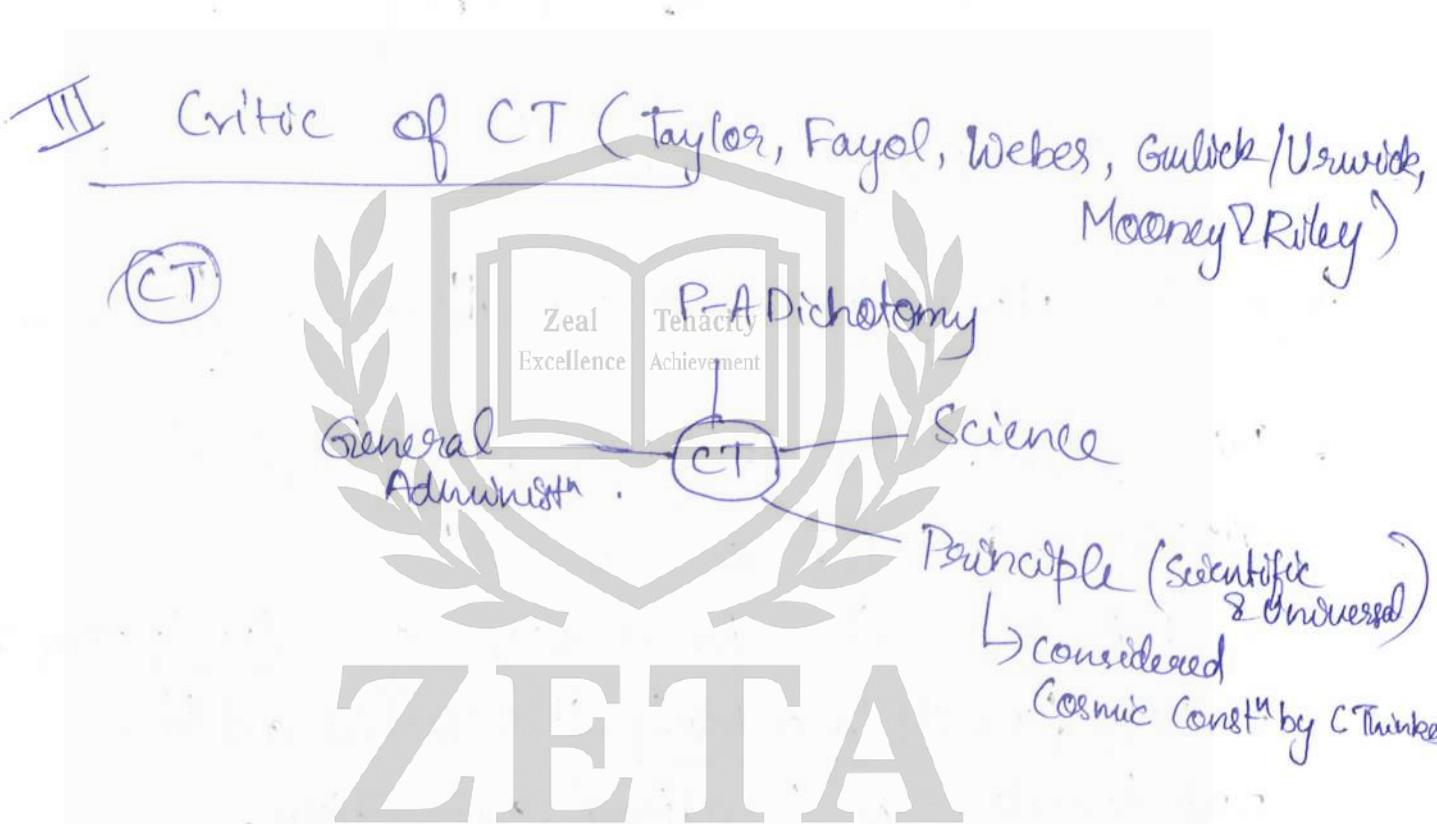
- P.A traditionally adopted an ahistorical approach. i.e. TPA considered the beginning of P.A from the publication of Wilson's essay 1887 in 1887 (The Study of Adm). It rejected all the past practices as irrational, superstitions. Modernism marked as the beginning of P.A
- Waldo rejected this Ahistorical approach as adm & history have co-existed & nourished each other.

He considered history as prologue of P.A



Both contributed to each other's development.

- Adm gives a framework to admin by creating a foundation & govt-adm-const'n are inter-linked.



- CT based their foundatn on 4 aspects:-

- (1) P-A dichotomy
- (2) P-A as science
- (3) Principles are universal & scientific
- (4) General Adm (Publc = Pvt)

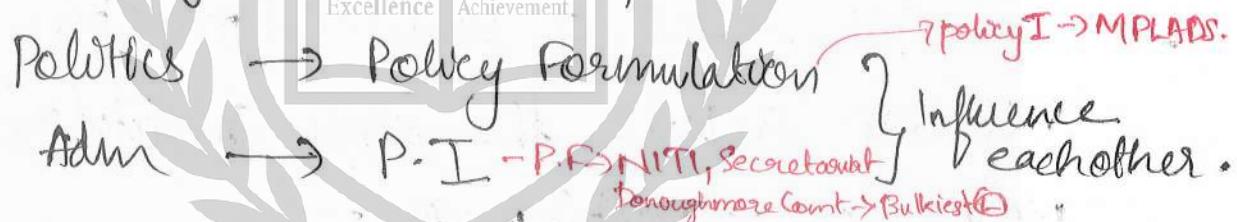
Waldo rejects all the 4 aspects.

- (1) Dichotomy

Rejects it on both normative & empirical basis.

As they operate inter-dependently b/w each other.

Waldo outlined that P-A dichotomy was advocated by C.T because w/o separation democracy will become centrifugal (both will destroy democracy) & separation ensures democracy remain centripetal (centralism)



i.e. there should be Politics-Adm fusion.

- He also says, "If P-A are separated, P.A would be relegated to a lower field i.e. counting no' of sewer holes in country."

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## (2) P.A as Science

- The scientific nature of P.A was based on the rejection of Rule of Thumb, but Waldo says it is nothing but applic'n of common sense.
- The creation of P.A as science isn't authentic as P.A can't ignore irrational & emotional aspects (Values as dichotomy is rejected)  
↳ Failure of CDP 1952, SGIR (due to non-considering Values)

↳ as P.A is <sup>abt</sup> administering public & humans are emotional animal.

- He remarks almost P.A can be Applied Science i.e. mix of Art & Science in which more of an art.

### ③ Principles:

- The C.T. talks of universal principles. & Waldo rejects the Cosmic Constitutional nature of principles as they reject the specificity in adm because it doesn't tell in which situation, which principle is effective.

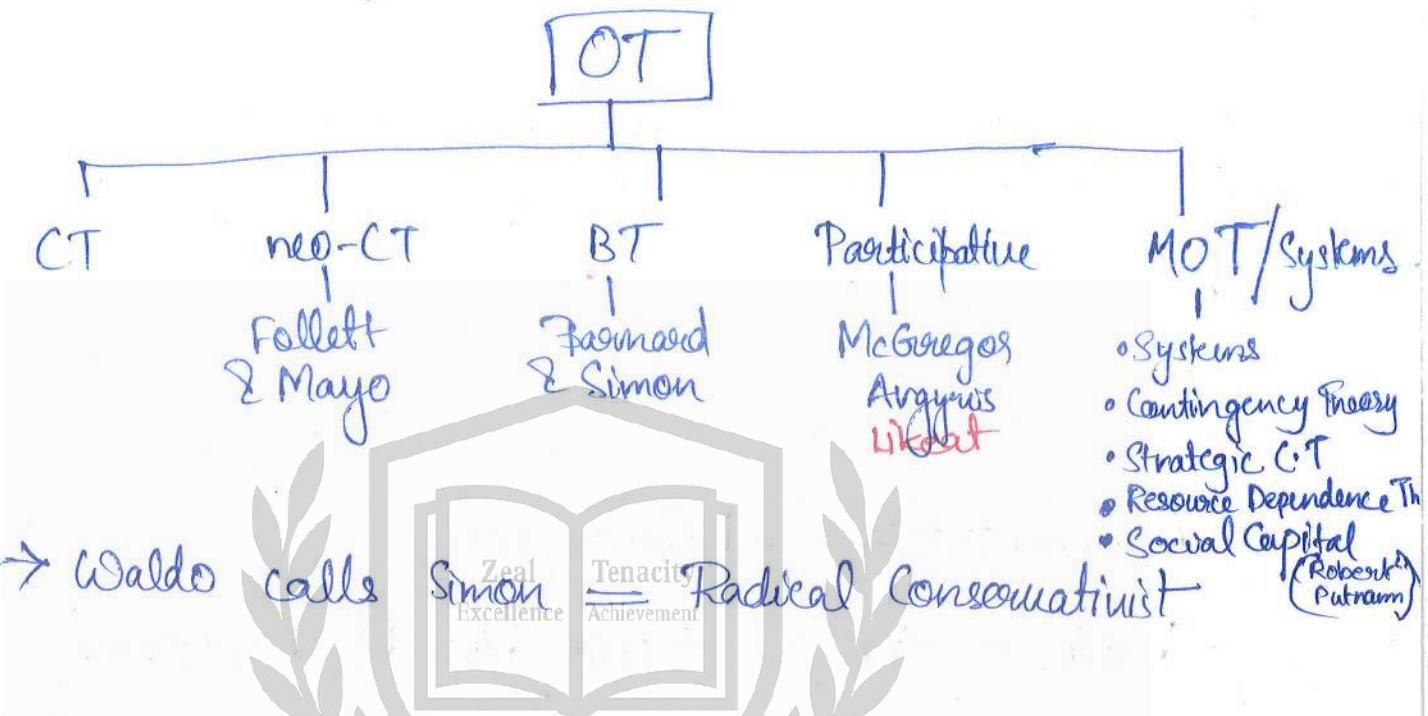
### ④ Generic Management OUR EXPERTISE

- Waldo rejects the generic management claim by C.T. as Public & Pvt Org are totally different.

↳ Public Org<sup>n</sup> operate in envir of Const & politics & Adm which influence each other.

8/10

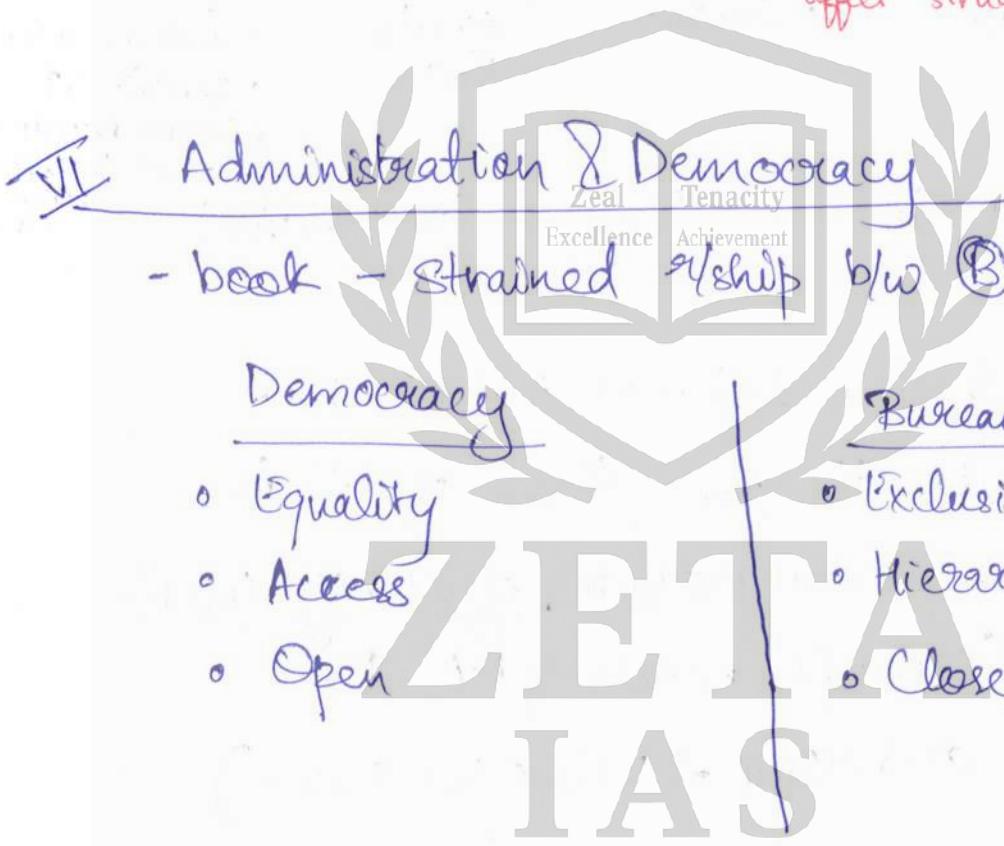
## IV Critic of BT



- The B.T radically rejected the C.T but continued with the same theme of C.T i.e focussing on managerialism, efficiency (MEP) & positivism (scientific nature of P.A) B. [Fact-Value dichotomy → value free science]
- Waldo says fact-value dichotomy emphasised by **Simon** is impractical & impossible to eliminate values. as in Adm value are inevitable & also desirable.
- **Waldo** considers **Simon** as Radical Conservative person as on 1 hand, he rejected C.T but emphasised on all the aspects of C.T thru MEP & introd Politics-Adm dichotomy from Backdoor.

## I Critic of MOT

- He rejected MOT as MOT dehumanised the Org as it didn't consider effects of env of human. (MOT focused how env affect structure)



- book - Strained relship b/w  $\oplus$  & Democracy

<u>Democracy</u>	<u>Bureaucracy</u>
<ul style="list-style-type: none"><li>◦ Equality</li><li>◦ Access</li><li>◦ Open</li></ul>	<ul style="list-style-type: none"><li>◦ Exclusivity</li><li>◦ Hierarchy</li><li>◦ Closed</li></ul>

- Waldo's view on Adm that there's a constant tension b/w  $\oplus$  & Democracy as given abv.
  - Waldo dismisses the  $\oplus$  rationality & pyramidal org.

- $\oplus$  will continue but it is a terminal value & the substitute will be from the marriage of Democracy &  $\oplus$  (Democratic decentralisation, Participation)

Based on politics-admin fusion through the idea of applied science.

- **NPA** brought the new romanticism in P.A. by giving pref to feelings over reason
  - senses over mind
  - creativity over rules

## VII Organisation of the Future

Zeal Excellence Tenacity Achievement

- New Tech will be created to process info faster - **AI**
- Power Centre will be those orgn which are scientifically & technologically advanced
- Future orgn will be mix of public & pri.

## **IAS**

### VIII Criticism

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- 1) He rejected all the existing theories but didn't provide any alternative

Qn Although Waldo considers Politics -Adm Dichotomy at odds with political theory, he considers political theory as another aspects of P. Adm (20M)



# Karl Marx

I Works

II Origin of  $\mathbb{B}$

III Hegel

IV Attack on  $\mathbb{B}$  (Parasitic Rule)

V Theory of Alienation

VI Karl Marx vs Max Weber

I Works

- 1) Critique of Hegel
- 2) Poverty of philosophy
- 3) Communist Manifesto
- 4) Holy Family
- 5) Das Kapital

II Origin of  $\mathbb{B}$  → from 4 Aspects

- 1) Religion
- 2) State
- 3) Trade & Commerce
- 4) Technology

## ▷ Religion

Karl Marx inspired the foundation of many regimes of the world. No debate on state, society & adm is complete w/o the discussion on Karl Marx.

### I Works



- 1) Critique of Hegelian Philosophy of Right
- 2) Communist Manifesto
- 3) Holy Family
- 4) Poverty of Philosophy.

### II Origins of Bureaucracy

2. He traces the origin of B from 4 Sources -  
Religion, State, Trade & Commerce &  
Technology.

#### 1. Religion -

The earliest B consisted of Religious clergy performing rituals & armed functions delegated to keep order.

Aristocracy (Estate II)

## 2. State

- As there was a transition from primitive egalitarian society to civil society divided into social classes. So there was centralisation of authority.
- State formulated laws & enforced them which gave rise to officialdom.
- State also mediated b/w the people.

## 3. Trade & Commerce

- Growth of trade gave new dimension to the  $\textcircled{B}$  so it was reqd. to keep accounts & enforcement of rules.
- So eventually the total amt. of work involved in commercial adm outgrew the work in govt adm.

$\textcircled{B}$  Br came as traders.

- Stability reqd for Trade & prosperity

## 4. Tech.

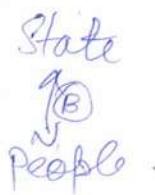
- As machines will replace humans but people still be reqd. to design & operate these machines.
- This type of  $\textcircled{B}$  is called as Technocracy which will create income for Technocrats

$\textcircled{B}$  FAANG Cos have combined Market Cap > GDP India. & not even 6% of the

### III. Bureaucracy to Hegel

- Hegel gave the constat<sup>n</sup><sup>11</sup> to M Weber.

↳  $\textcircled{B}$  as mediator b/w State & People



#### Hegel's Views

- He views  $\textcircled{B}$  as link b/w State & Civil Society  
& acts a mediator.

- $\textcircled{B}$  is supposed works for universal interest.

- $\textcircled{B}$  is recruited from the middle class which self-starts serving & lives in instability.

↳ These aspects are over-ridden when they become part of  $\textcircled{B}$ . Once they become part,  $\textcircled{B}$ 's ethos ensures public interest & hierarchy gives stability. ( $\textcircled{B}$  is seen as stable career option)

They are checked by  
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Internal check  $\longrightarrow$  Bureaucratic ethos

External check  $\longrightarrow$  Prince. (Top)

People's grievance (Bottom)  
+ Press & Public opinion

Karl Marx's attack on (B)

## A. Parasitic role of B

## Universal interest of Hegel

- Marx denies organic position to  $\textcircled{B}$  & calls them in the society parasites. designed to maintain status-quo & privileges of the dominant section of the society.
- $\textcircled{B}$  acts as an instrument of exploitation, <sup>used by Bourge</sup> to exploit <sup>to</sup> proletariat functions in a mysterious manner, operates in secrecy. These all aspects are protected by the hierarchy & it becomes a closed corporation because of which masses develop alienation.
- $\textcircled{B}$

## B. Characteristics of B Destroyed - F

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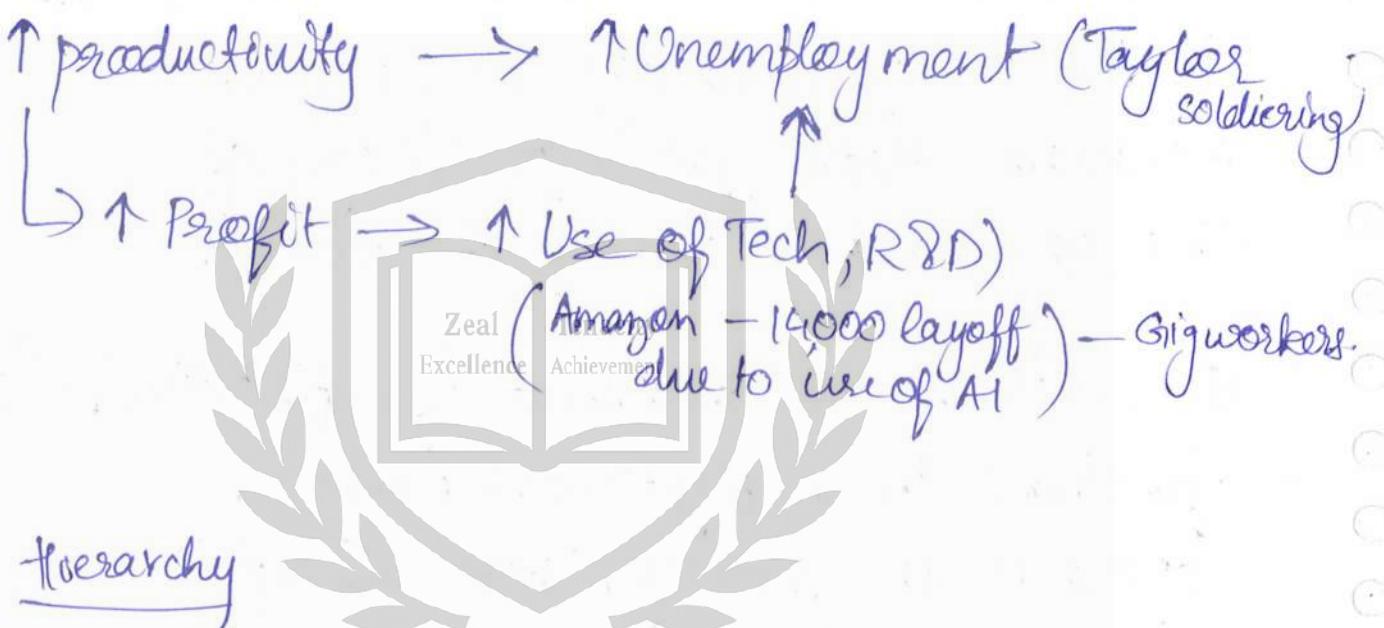
graph TD
    A[Dow Merit Rules hierarchy] --- B[Dow]
    A --- C[Merit]
    A --- D[Rules]
  
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### (i) Division of labour

- makes capitalist society v. productive as workers do all the productive activity
- 2 capitalists 2(B)\* do all the intellectual activity

Workers → Productive / proletariat  
(Bourgeoisie) Capital

- The benefits of higher productivity are cornered by the capitalists & workers get low wages.



## (2) Hierarchy

- The hierarchy is the hierarchy of knowledge which feels the hands & feet of the masses. As more evilness increases, hierarchy becomes more centralised, more bigger & taller. Officials remain more united

→ You can't do anything even if you enter

## (3) Re Merit

- Recruitment through competitive exam perpetuates the capitalistic agenda of exploit of masses as it can't ensure Statesmanship as exam ensures that people

only from upper class with costly highered<sup>n</sup> are able to enter the Ⓛ.

Higher ed<sup>n</sup> also incorporates behaviour needed for Capitalism.

#### (4) Rules

① remains attached to rules than to humans & even their <sup>own</sup> social life becomes dead.

#### I Theory of Alienation

Under the current modern Capitalist setup, workers will lose control over their lives & they'll become mere cog in a gigantic impersonal machinery.

This will result in to

- (1) Loss of Freedom
- (2) " " Creativity
- (3) " " Humanity
- (4) " " Morality

### (1) Loss of Freedom

workers will be closely supervised, rule-bound  
coerced, controlled & threatened with  
punishment

→ Excessive Rules, - Guy

### (2) Loss of Creativity

Because of ~~DoW~~ <sup>freedom</sup>  
Zeal Tenacity  
Excellence Achievement  
whole product & so no satisfaction.  
& no worker can think independently,

### (3) Loss of Humanity

Workers will tend to function like  
machines thereby losing humanity

### (4) Loss of Morality

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All these lead to loss of morality  
& worker will treat like  
animal

### Proletarian Revolution

First- there'll be abolition of State  
& then ~~the~~ (B) will wither away.

- The degree of centralism will determine the amt of violence reqd to bring the revolution.
- $\textcircled{B}$  will be brought under the control of masses & they'll be elected by Universal suffrage. & can be removed anytime which is a death blow to  $\textcircled{B}$ .
- So mock responsibility will be replaced by real responsibility as they'll be under continuous public supervision.

Mark

Weber

<ol style="list-style-type: none"> <li>1) -ve connotation of <math>\textcircled{B}</math></li> <li>2) Class division</li> <li>3) Parasitic, instru of exploit</li> <li>4) Will wither away</li> </ol>	<ol style="list-style-type: none"> <li>1) +ve</li> <li>2) Origin rationality in society</li> <li>3) Bureaucratic ethos</li> <li>4) <math>\textcircled{B}</math> becomes institutionalised.</li> </ol>
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Qn

③ ~~don't~~ do not automatically reflect the prevailing social structure but pervert & disfigure them. Critically Analyse (15M)



## Peter Drucker

1. Life & Work
2. Generic Management
3. 6 Sins committed by ~~the~~ Govt Org<sup>n</sup>.
4. Benchmarking
5. MBO - Mgmt By Objective
6. KBO - Knowledge Based Org<sup>n</sup>
7. Criticism



### I Life & Work

- Modern Management

Works - (i) Effective Management  
(ii) Management of Future

### YOUR ZEAL • OUR EXPERTISE

- Peter Drucker laid the foundation of Modern Management & took a humanistic approach i.e. focussing on the people of the orgn.
- He designed the 1<sup>st</sup> Executive MBA Prog in USA.
- & worked as consultant to many Cos - IBM, GE, GM, etc.
- He was also awarded the President Medal of Freedom

- His imp. works include :-

- (i) The Practice of Management
- (ii) Effective Management
- (iii) Management of Future

## II Generic Management

Drucker

- ~~Wald~~ believed management is generic. It doesn't specifically belong to business org<sup>n</sup>. or any other profession. Management is part of both Public & Pvt org<sup>n</sup>.

- The word 'management' didn't originate in the business sector rather is the City Administration in the USA who appointed City Managers for the 1<sup>st</sup> time formally used in the US Army in 1901.

- ~~As~~ during the great depression, as there was angst against business which that time was associated with management & to make sure this hostility doesn't reach the public sector, the mgmt in public sector was rechristened as Public Administration.

- 90% of the mgmt is same - generic  
8 diff lies only in the 10% acc to specific history, culture, mission of org, etc

## Principles

- He was opinion that principles remained relevant even now - hierarchy, DoW.
- Those who say hierarchy is dead are uttering total nonsense.  
In this context, he commented  
management should not tell <sup>us</sup> what ~~we~~ to do but only tell us what not to do.
- Mgmt is relevant to govt because
  - ① Govt  $\curvearrowright$  regulating economy  
 $\curvearrowright$  also welfare
  - ② Managers <sup>1/4th</sup> also join govt  $\rightarrow$  if not relevant they couldn't fit.

### III 6 Sins of Govt Org

- Calls govt org to be flat, flabby & expensive.
- He gives 6 sins :-
  - (1) Loffy Objective
  - (2) Flat is beautiful
  - (3) Dogmatism
  - (4) Not Learning from Experience
  - (5) Immortality
  - (6) ~~Several Times~~ Things together.

- Peter Drucker studied the US Govt & found it to be flat, flabby & expensive. → **DOGE, Agniveer**
- He gave 6 Sins which public orgn commit which makes them inefficient

- (1) Loffy Objective - Having objective w/o any specific, micro goals is a sure way to disaster.  
↳ **Vikas Bharat / Doubling Farmer's Income by 2021**
- (2) Flat is beautiful - Overstaffing eats into the Govt budget & makes it highly inefficient  
↳ **Min Govt Max Gov / Agniveer**
- (3) Dogmatism - Believing particular way of doing things & best way of doing things which hampers innovation & creativity in Govt Org.

(4) Immortality -- of prog & org<sup>n</sup> w/o having an expiry date. Schemes & prog continue even if they are irrelevant.

↳ i.e. why Zero-base Budgeting

(5) Not Learning from Past Experience - due to which, org<sup>n</sup> creates mistakes again & again

(6) Doing Several things w/o Prioritization - Org<sup>n</sup> remains trapped in routine activities.

→ Drucker says avoiding these 6 sins don't automatically ensure high performance but is a precursor.

### Solutions

- 1) Benchmarking
- 2) Negative Incentives
- 3) Management by Objectives

- McGregor → TY
- Argus → Matrix
- Likert → Linkpin
- Kutumbashree - STG
- Techniques of Adm

① i) Benchmarking - comparing perf with others for continuous improvement.

2) Negative Incentive - Individual perf below benchmark → wage cut, penalty, fine

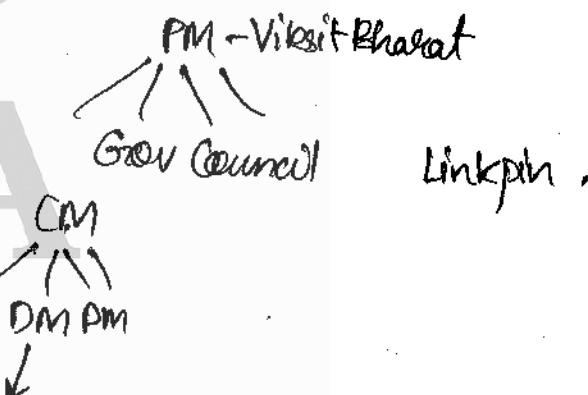
### 3) MBO - Management by Objective

- An effective management must direct the vision & effort of all managers towards a common goal.
- Every manager should participate in the development of the common goal
- Each manager from CEO to foreman should spell out their own objective & promote teamwork.

MBO → Self Control

↓  
Motivation

↓  
High Perf



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- ① Manipulat<sup>n</sup> by higher manager
- ② No link with perf & reward
- ③ Doesn't have a theoretical foundation

## Knowledge Based Organisations

- Drucker predicted info will bring major changes in society. & knowledgeable worker will dominate the orgn. who are specialist & professional managers.
- This new worker will be based on knowledge & not physical labour.

Knowledge  $\rightarrow$  existing task = Productivity  
Knowledge + new task = Innovation.

- Factors that determine the productivity of a knowledge worker are:-
  - (i) Autonomy ~~Task Specificity~~
  - (2) Autonomy
  - (3) Responsibility
  - (4) Continuous learning
  - (5) Continuous innovation
  - (6) Quality of output.

1. Knowledgeable worker should focus on specific tasks & not on general management.
2. Employer should give autonomy to the knowledgeable worker & there should be attitude change in the entire orgn.

3.



### Criticism

- of MBO

1) He is like a good journalist who has assimilated the works of others.

(Not something new, OUR EXPERTISE)

Qn Drucker's position on government is highly critical, anti-statist & bureaucratic bashing. Examine (15m)



# Post Modernism

1. Why post modernism came into being? Context
2. Post-Modernism — Approaches
3. Appli<sup>n</sup> in P-A

Phenomenological approach

- Fox Miller
- Authentic Discourse
- Structuration Theory
- Critical Theory of Habermas
- Governmentality by M. Foucault

## I Context

Post-Society before Modern → Modernism → Post-Modernism

Deficit Approach

Religion dominated Church, Superstitions/ Supernatural powers

- Life is controlled by God
- Rule of Thumb.

← 16<sup>th</sup> CE Modern Era

• Renaissance

• IR

• Rationality/ Science

• Taylorism  
↓  
Scienticism

• MW

• Adm Mgmt Theory  
(Fayol, Gulick, Urwick)

• Orthodoxy Theory  
, or

- Till the 16<sup>th</sup> CE, there was a divine approach & a supernatural approach to life: that life is controlled by God & validity of knowledge was from the church & religious scriptures. In a way, life was controlled by value, belief & experiences.

- 16<sup>th</sup> CE onwards with enlightenment, Renaissance & IR gave birth to Modernism & Positivism which gave emphasis to Scientism, Rationality, Universalism & Technology.

- Further, there was emphasis on Empiricism i.e. knowledge can't be accepted on the basis of belief, values rather observation & experimentation.

### Modernity in PubAd

- 1) Institution impacted Wilson -  $\begin{matrix} \text{const}^M \\ \text{instn} \end{matrix} \}$  studied by him  $\rightarrow$  Principles
- 2) Taylor - Scientific Mgmt; Experiments
- 3) Weber - P L-R B
- 4) C-T - Universalism, 14 Principles by Fayol

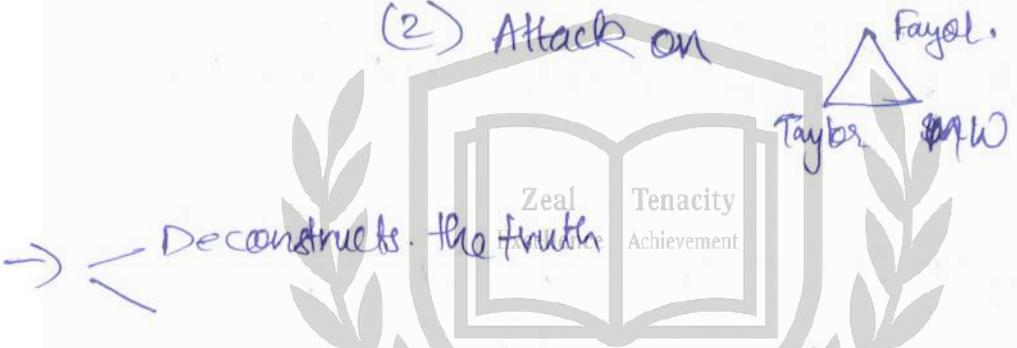
## Post-Modernism

→ highly critical of Modernity

Supress  
Repress  
Exploit } Society

→ On Pub. Ad (1) Centralism → Alienation of People

(2) Attack on



- Post Modernism is a recent development b/w late 1960-80s. & it is an umbrella concept of various approaches & dev. (Post-Modernism also has overlap with Post-Structuralism & Post-Positivism)

Why Post Modernism came into existence?

Instead of making mankind liberated, Modernism resulted in suppression, oppression & destruction

In context of P.A

- SMT → human <sup>as</sup> cog in machine
- MW → centralism, corruption
- C.T → Universal principles rejected

1 Post Modernism led to deconstruction of truth  
(Truth is not 2 / ~~Ratio~~ Weberian Rationality is not  
only truth & SM alone doesn't lead to best way of  
doing work)

2. PM is anti-foundational - rejecting grand narratives  
& foundation of P.A.

### Approaches in Post Modernism

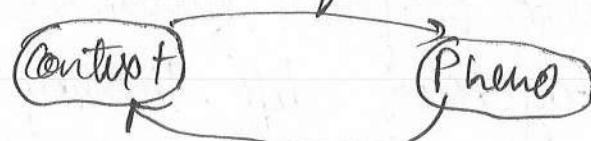
Zeal Tenacity  
Excellence Achievement

- 1) Phenomenological Approach
- 2) Structuralism Theory
- 3) Foucault & Miller's Authentic Discourse
- 4) Critical Theory of Jürgen Habermas
- 5) Governmentality of M. Foucault & Foucault

### I Phenomenological Approach

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- It rejects objectivity & gives emphasis on subjectivity & inter-subjectivity
- i.e. how a context influence a phenomena & a phenomena influence a context



Honey Report → NPA  
Philadelphia Conf.

JFK

Berger & Luckman says there's a social construction of reality i.e. ideas & events don't have a static meaning rather they're shaped by human experiences. Humans give new meaning to rules, laws based on their experience & ability, based on their subjectivity & inter-subjectivity.

Ex: Street level B → BNS - social - justice in P. Station - HM  
Amit  
Ground → Custodial Death.

## II Structuration Theory

Over a period of time, practices, symbols & values get repeated then form a structure.

A structure performs a specific function i.e. the structure created by principles should perform specific functions based on rationality, scientific & objectivity.

But structures also perform functions which are all together diff. thereby redefining the entire structure & even emerge as a new structure.

Even the functions can shape the structure

¶ Judicial Overreach shapes the structure of  $\textcircled{I}$  in such a way that it starts to act like a Parliament <sup>ex</sup>  $\boxed{\text{V.P.}}$  Jagdeep Dandkar

### III For 2 Miller's' Authentic Discourse Theory

Zeal      Tenacity  
Excellence      Achievement

It is based on 2 fundamental aspects

- (1) Public Energy Field
- (2) The Authentic Discourse
- (3) Public Energy Field -

The TPA based on the concept of  $\textcircled{B}$  distinguishes b/w Rationality & Irrationality.

It creates experts & thereby exclusivity

Universal truth ignores Subjectivity

Rationality      "      Irrationality

thereby it ignores non-Bureaucratic Insts.

The Public Energy Field gives voice to the People (SHG, CSO, Resident Welfare Association)

↳ It destroys the territory of  $\textcircled{B}$  & promotes deterritorialisation.

It brings habits, experiences & values of people in the B

But if everyone participates, it results into anarchy & chaos.

So there should be an Authentic Discourse which includes 4 aspects.

(1) **Sincerity** - Those who participate should have mutual trust & confidence <sup>otherwise</sup> or it will poison the discourse.

(2) **Intentionality** - Participants should've right discussion & should focus on the specific discussion & not have vague discussion.

(3) **Willing Attention** - Participants should be flexible, non-judgmental, allow others to open-up.

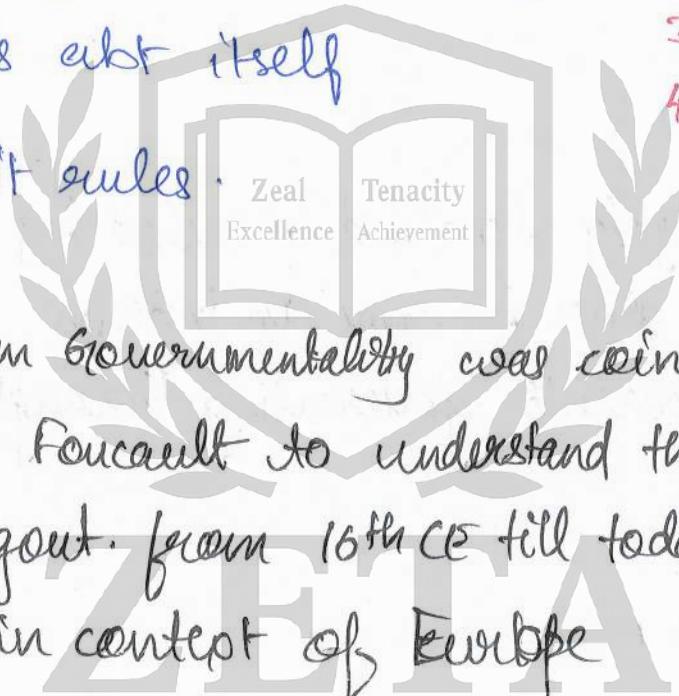
(4) **Expertise** - Participants must've atleast some expertise not necessarily formal expertise (B)

They say - there shouldn't be too much talk nor few talk but some talk.

Feminists viewed Post Modernism shift grand narrative to micro narrative & gives voice to minority & women.

## IV Governmentality by Foucault Michel

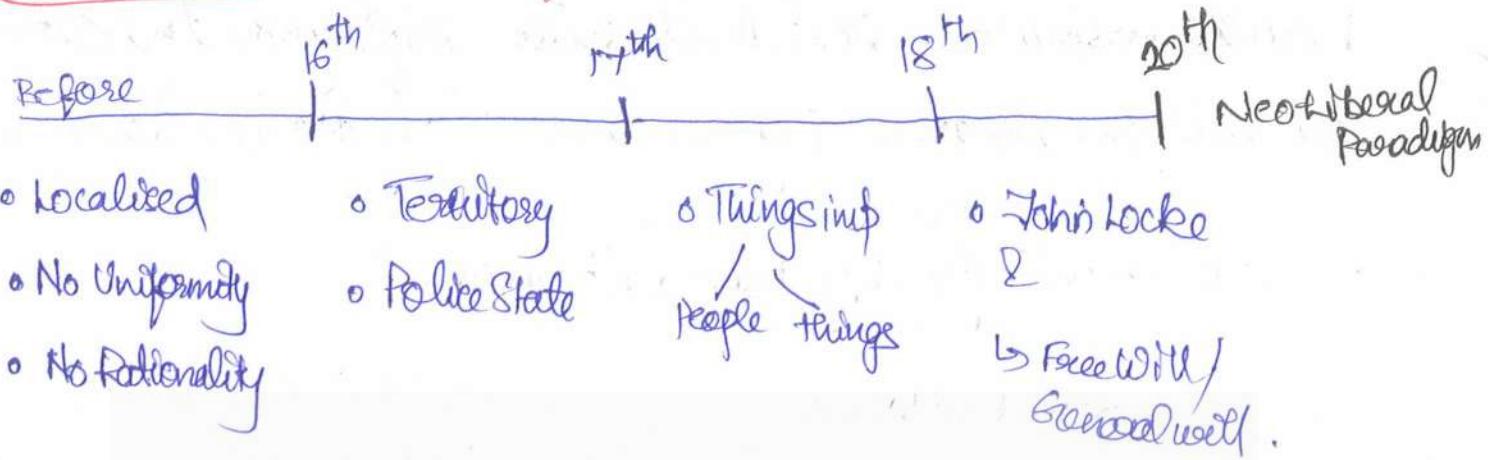
- Governing as per rationality
- Feels abt itself
- how it rules



1. Governmentality
2. Evolution
3. Concept of Power
4. Neo-liberal Paradigm

- The term Governmentality was coined by Michel Foucault to understand the rule of the govt. from 16th CE till today. more in context of Europe
- It means Governing through rationality The way govt. understands itself & how it rules. i.e. its rule.

## Evolution in context of Governmentality



→ Power to 16<sup>th</sup> CE

-Feudalism

Zeal Tenacity

Adm was highly localised & ruled by local chiefs. who became an <sup>instn</sup> in themselves & weren't governing through rationality.

→ 16<sup>th</sup> onwards 1500 →

With the end of Feudalism, Territory of a Nation came up. The idea of governing came up. The 1<sup>o</sup> was concerned with territory. The 1<sup>o</sup> motive was to protect territory & expand it. (Janapada of Kautilya - Saptanga Theory)  
↳ So it was kind of Police State & Coercive State

→ 17<sup>th</sup> CE (1600-1700)

is significant in many terms as there was an <sup>↑</sup> understanding of democracy & period

of renaissance . As there was abolition of church rule which lead to secularism of Politics & the Government's concerns shifted from Territory to the Things (People + ~~for~~ material output (territory)) Focus was more on wealth mgmt .

Hence Government became an ART Government was managing things not for people's prosperity but for own prosperity as only Healthy people can ensure long term devt (Happiness of King lies in Happiness of his subject) - Kautilya

→ 18<sup>th</sup> CE (1700-1800)

With the contrib<sup>ns</sup> of John Locke & Adam Ferguson who said an individual is an entity in itself ie a person by virtue of being Human being has some inalienable Rights — RT Life RT Property RT Liberty

State's role is to help people enjoy these rights.

But people don't enjoy this true freedom in true sense, they are constrained by the

- Family
- Society
- Government

Rousseau  
men born free

Their thinking is government governed & influenced by these variables, what what they have been taught how brought up.

### Foucault's views on Power

- 1) Coercive Power
- 2) Private Power
- 3) Persuasion, Mediation, Bargaining

### YOUR ZEAL • OUR EXPERTISE

He viewed power as a game which is not only based on Gout but also present in multiple form. So he gave 3 perspectives of power :-

- 1) Coercive Power - where power is exercised by the Govt by way of rules, reg<sup>n</sup>, by becoming a Police State

↳ Sonam Wangchuk arrest under NSA

2) Private Interaction - which is also considered as power game where friends, families, exercises power like parents influencing children, private inst<sup>n</sup>s, hospitals, controlling people's behaviour.

3) Non-coercive power - under which an impression is given that power isn't exercised & individual is free but power is exercised thru bargaining, persuasion & mediation. He claims this type of power as the most dangerous.

(eg US President offering mediation b/w India-Pak during Op. Sindhoor).

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Foucault's View of Neo-liberal Era

• Christopher  
Hoed.

With the Neo-liberal Paradigm, the state has been decentralised in the form of market & pushed further away from the humanistic values such as communiness, brotherhood, & market became more & more powerfull. It totally captured the functioning of the

society. Only a mythical notion of freedom is created & human is becoming more & more of a wifey, individual is totally captured by market, media, cinema. (Even today, humans are captured by social media, OTT, etc.)

"Governance today is even more dangerous as self-control is replaced by coercive control by use of non-coercive power"

### Governance through International Agencies

International Arena defined through governmentality promoted that every nation is sovereign & free to take decisions but in real sense nations aren't free to take decisions.

WB's Aid conditionalities

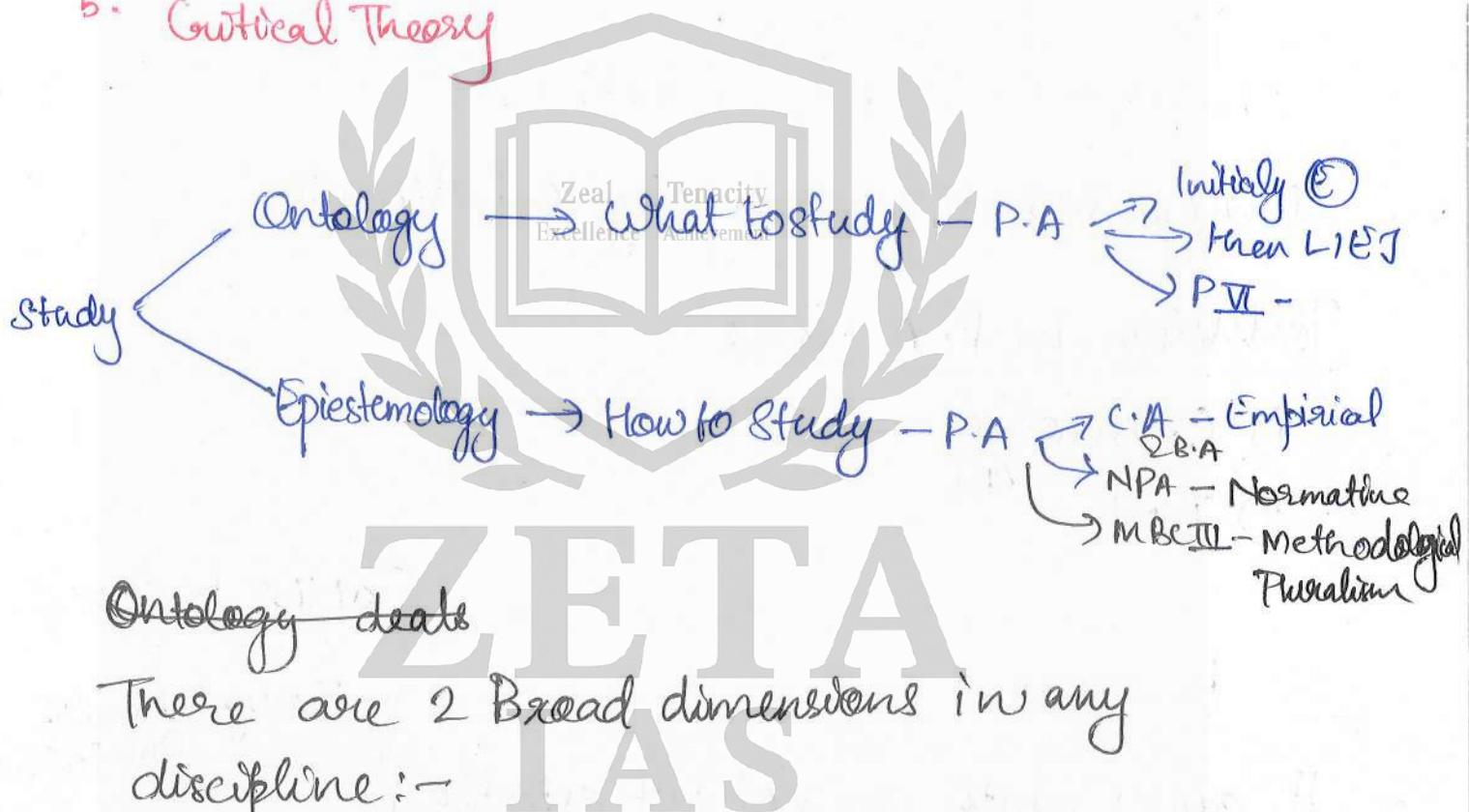
Qn Governmentality has been a flux b/w  
technology of domination & technology  
of self. Expand (15M)



18<sup>th</sup> Nov 25

## Post-Positivism

1. Positivism
2. Post - Positivism
3. Constructionism
4. Interpretivism
5. Critical Theory



- 1) **Ontology** - i.e. What to Study
- 2) **Epistemology** - i.e. How to Study / How to get reliable info.

Ontology & Epistemology will differ subject to subject

④ Ontology of Physics = Gravity, Time, Distance, etc  
" " " P.A = Govt, CSO, MKT

Epistemology of P.A was based on observation, experimentation  
& like SMT of Taylor.

Post Modernism in P.A gave emph on habits, experiences, contexts'

Before Positivism - Defic Approach (See Post-Modern)

## Positivism

- The era of Positivism started with I.R. & Renaissance. It gave emph on rationality, science & technology.
- Knowledge was to be obtained from empirical perspective i.e. through observation, experimentation.
- It rejected the meta physical elements

## Postivism in P.A are

- 1) C. Theories - HRT
- 2) B.T -

## Post-Positivism

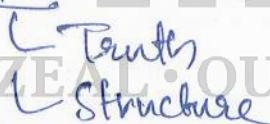
- It gave emph on not just expertise & rationality but also on non expertise & rationality like people's experience, habit, convictions & beliefs are also important.
- Post-Positivism in P.A can be understood by
  - constructionism
  - Interpretivism
  - Critical Theory

ONPA precursor  
based on timeline  
through kind of  
Post-Positiv

- Census not necessarily reliable & may not be totally true as it can't capture people's experiences, traditional practices.
- "Truth also can differ time with space & time"
- Positivist take info only from sensory organs. but it may not be totally reliable.  
There should be qualitative approach which incorporate convention, beliefs, etc.

Note - Post-Positivism has similar ingredients as to Post-Modernism & Post-Structuralism (overlap)

- Constructionism

YOUR  ZEEA · YOUR EXPERTISE

↳ Truth  
↳ Structure

- Interpretation

↳ Pheno  
↳ Root Cause

## Constructivist Constructionism

Const<sup>n</sup> D  
Structurableness  
theory link  
rigid, flexible.

- Truth is relative, facts are relative  
what maybe true today, mayn't be true  
tomorrow
  - ↳ Truth varies with space (society) &  
time.
  - ↳ **Weber** will say Truth is 1 i.e  
Bureaucratic Rationality but it also  
results in corruption, exclusivity

## Democracy

Truth of Democracy will lead to  
people's empowerment but can also  
lead to enslavement

## **YOUR ZEAL • OUR EXPERTISE**

- Variable Structure -

Structure mayn't have 1 meaning but  
it varies from org<sup>n</sup> to org<sup>n</sup> & with space  
& time. What may be good today may  
not be good tomorrow.

Ex PC affected State Autonomy & is  
it expanded C&S & later dismantled  
in 2014 to form NITI Aayog

## Interpretivism

- It gives emphasis on the study of the phenomena, how pheno
  - ↳ how pheno influences context "context" pheno.
- i.e Rules, regn, principles aren't universal universal. They are dependent on how Govt, B, people, etc interpret it.
- The approach should be to address the root cause & not to address the issues superficially
  - Eg Corruption in India → Root Cause not yet addressed
- Riggs studied the Adm in context of ecology i.e how context influence the pheno in adm in different Countries.

# Critical Theory

by Jürgen Habermas

1. What
2. 3 Generations of Critical Theory
3. J. Habermas (2G)
4. Appln in P-A

I Critical Theory ~~was~~ highly critical of the existing state of affairs based on rationality, logic & reason.

- Humans suffer from false Conscience as it validates something unfree as Free
- Structure, Rationality, etc are capturing in nature as Standards, Universalism results in enslaving of human being.
- Emancipation will come by reason but reason based on Self-centrality & self-consciousness

II There are 2 Generation of Thinkers in Critical Theory

167 → Eric Fromm  
H. Marcuse } emphasised on self-consciousness by understanding culture, family & community.

267 → Habermas — existing technology is capturing rationality  
calls for a shift from philosophy of rationality & consumerism to philosophy of languages

367 → Hannah Arendt  
Benhabib } — They reject meta & grand narratives & give emphasis for micro-narratives & sub-altern activities.

**IAS**  
YOUR ZEAL • OUR EXPERTISE

III J. Habermas

Rational human being is a cultural consumer  
i.e. human being is totally captured by the instrument of rationality. Human being doesn't truly enjoy Liberty  
Equality  
Fraternity

but is a mere cog in political ~~coll~~ wheel.

- Emancipation can happen through language  
the people from diverse culture, background will come together, deliberate & create an ideal free speech situation which will create norms & structures which will reflect the life-world (based on their exp, belief & understanding)
- He rejects Civic Republicism & Liberal Democracy & advocates for deliberative democracy.

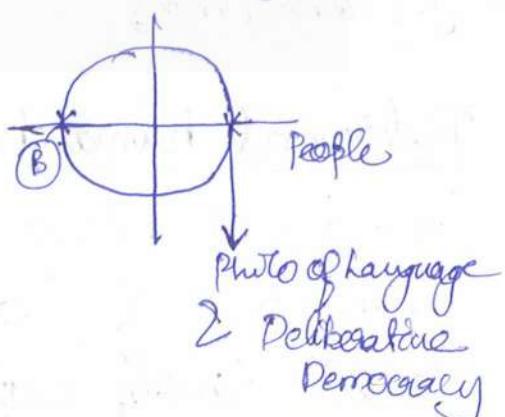
#### IV Implications to P.A

→ Common Sense Truth = Rationality wif Rationality

→ Results in 180° shift

BY RTI shift a/c Topdown  
to bottom up.

NPA also ensured 180° shift



- **SMT, CT, & MW** believed in rationality but that is capturing in nature & will not free the individual.
- Adm based on hierarchy, centralisation will not promote (Liberty, Eq & Justice) in true sense
- It gives emph on 2 initiatives :-
  - A. Adm should be able to see the common sense truth i.e. to see rationality w/i the existing rationality.
    - ↳ This will happen when there is debureaucratization & humanisation of adm.
  - B. There should be an innovative initiative to bring about 180° shift i.e. People should participate in deliberative democracy
    - ↳ Adm should not work for self interest but public interest.

In a way Critical Theory promotes anti-Adm & anti-foundational to P.A.

Deliberative Democracy should ensure there is participation from diff background esp from subaltern section. & deliberation shouldn't mean consultation. People should be able to question & decisions should be taken based on the philosophy of language.  
(Habermas)

- Critical Theory outlines people can't be governed by the same law. ~~It is~~  
It is not monolithic but interdisciplinary

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## Post-Structuralism

1. Structure
2. Post-Structuralism
3. Jacques Derrida
4. Lyotard

### I. Structuralism

- A word is understandable because it has an inherent meaning, grammar which gives a specific interrelationship with other words.  
↳ GoI is a word, its inherent grammar is PM, COM, & ADM, etc. It has a rship with people i.e. other words.
- This results into a cause & effect rship which leads to predictability & uniformity of that structure i.e. a structure has a definite meaning, symbol, logo, etc.  
↳ GoI → Governance

### Habits of Mind

Govtning of a structure since its inception leads to form of habits of mind which creates frames & structure functions through these frames.

↳ GoI habits welfare of the poor, I.S, etc.

- Post-structuralism is a shift from this habits of mind which is discomforting & uncomfortable i.e. why there is resistance to Adm reforms by the B (habits of mind) because it disturbs the habits of mind of B.



→ Historicism is a definite course of history, (Ideology)

→ it is development of future which is definite. Modern State has progressed & will progress more in the near future.

→ Post Structuralism rejects this Historicism perspective as it says modern State has not necessarily progressed but regressed as well.

E.g. Withdrawal of LB in 2024

III with Weberian B as well as B has also regressed as there is Bureaucratic corruption, high handedness.

I.R also has been regressive like the centre of I.R today has been converted today into Rust bowls.

Post-structuralism also argues the influence of abstract elements such as:-

(1) Unknowability - Everything can't be known. *E.g. Simon's cognitive limit.*

(2) When things are unknown, *Startups.*  
Structures can't have definite meaning & word.

Its activities can't be fully controlled. so there will remain unpredictability.

(2) Luck - Many events & situations can't be predicted as there's <sup>Zeal • Tenacity</sup> element of luck involved which can lead to both desirable & undesirable effects *E.g. SIR Protests / Farm /*

Post-structuralism is anti-foundational, it rejects the law of identity & the idea that rational *is* efficient.

## Jacques Derrida

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- Deconstructionism -

Jacques Derrida → words don't have inherent meaning, their meaning & grammar are shaped by a particular context.

Structures don't have an inherent meaning but shaped by their context i.e. there's a deconstruction & negation of normality.

It leads to de-territorialisation & creative imagination

¶ The context of Weberian  $\oplus$  was I.R.,  
Capitalism  
Protestant Ethics.

But today, the context is different so the Weberian  $\oplus$  needs to be deconstructed.

Deconstructionism is anti-power. It frees Adm & Political action from totalising Paranoia.

He outlines the need for Juxtaposition by bringing 2 contrasting elements together

¶ Centralism & Decentralism

PM & P.I.

$\oplus$  & people

which will give structures & organic position which will be mobile & adaptive.

Lyotard

He rejects the permanency & stable  $\oplus$ .

It should draw powers from ideas of the people & from the social discourse.

There should be continuous certifying, rewarding & restructuring of Adm

## Implications of P.A

### I Practice

P.A should incorp ideas of the people.

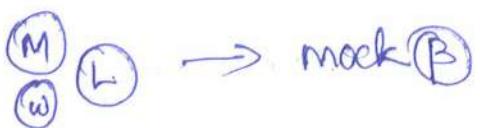
Everyone in orgn should be part of D.M along with people from outside Orgn, as well.

### Discipline

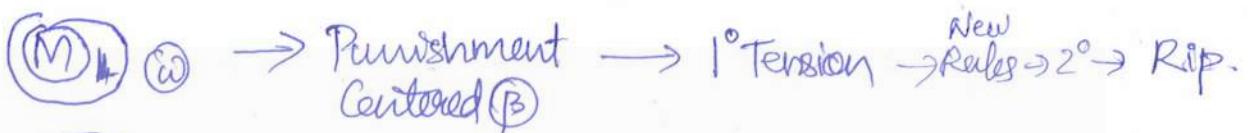
The study of P.A should be de-territorialized i.e not remain fixated on the vocabulary of P.A but also economics, sociology, etc. so that there is epistemological pluralism in P.A.

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## Alvin Gouldner criticism on Max Weber



M - managers  
W - workers  
L - Law



He conducted an empirical study & found that in a rational  $B$ , an individual is not totally captured as every individual will have diff interest w/ an orgn.

Manager's interest can be diff from Worker's interest & vice-versa & the interest of both of them can have diff from existing law.

**YOUR ZEAL • OUR EXPERTISE**  
He gives the foll. situations:-

- 1) When Manager's interests overlaps with the law but are diff from the Worker's interests which results in strict supervision as Manager's want high productivity & efficiency which leads to threats & coercion & Gouldner termed it as Punishment Centered B.

(2) When both M & W's interests.

don't overlap with the legal interests  
which results in law not being followed  
i.e. law being mocked

He termed it as **mock B**

↳ Our Laws, Taxation, etc.

(3) When B's interests of M & W overlap  
with the legal interest

↳ Safety & Security laws in Org<sup>n</sup>.

This type of B, he is called Representative B

So there exist not just 1 Rational B in Org<sup>n</sup>.

but also mock & Punishment Centric B.

As there's a wide spread presence of  
Punishment Centric B in Org<sup>n</sup>, it results

in 1<sup>o</sup> Tension.

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To get rid of 1<sup>o</sup> Tension, more rules & strict  
supervision is incorporated which further results  
in 2<sup>o</sup> Tension & Org<sup>n</sup> becomes less & less effective  
& gets disintegrated.

Marshall Mayer gave



PC → N.A

## Robert Merton

- Goal Displacement -
- Rules end in itself

21<sup>st</sup> Nov 25

## → Other Critiques of Weber

1) Peter Blau

2) Robert Jackall

3) Richard Edwards

→ 4) Ritzer - McDonaldisation

→ 5) RDT



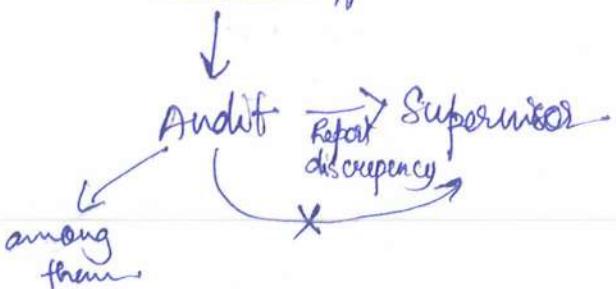
Peter Blau

- Empirical Study
  - State Employment Agencies
  - Auditor's Dept

SEA

(R)  
YOUR ZEAL • OUR EXPERTISE  
(M)

Auditor's Office



- Peter Blau undertook an empirical study of the State Employment Agency & the Auditor's Dept. which were based on Weberian L-R(R).
- He found out organisational Rationality was replaced by Individual Rationality as humans are creative beings, they modify the rules, regulations & rationality in the Org<sup>n</sup>.

#### A. State Employment Agency

Peter Blau analysed the behaviour of the Receptionist & the Interviewer.

↳ (R) receives applicn, scrutinise them & filters them & forward to (I) (those not eligible)

↳ (I) identify the candidate suitable for specific job & rec for their placement.

But in actual practise, the (R) was placing everyone for the interview against her role to filter & Org<sup>n</sup> principles due to empathy & relation she developed through interaction with candidate applicant.

- So in a way, in a rational Bureaucratic Org<sup>n</sup>, empathy replaced Neutrality.
- The (I) - because the (R) recommending everyone for interview, the (I) got overburdened but he didn't mind as it increased the chance of people getting placed in agency  $\rightarrow$  more incentives.

$\hookrightarrow$  But as a result, incompetent & non-meritorious people got placed in Org<sup>n</sup> so there was defiance of law  $\rightarrow$   $\downarrow$  productivity & efficiency.

$\hookrightarrow$  Org<sup>n</sup> Rationality replaced by Self Rationality

**Offe** called it Symbolic Substitutive Behaviour.

These findings were <sup>further</sup> reinforced by behaviour

B. of ~~YOUTH IN THE~~ ~~THE~~ ~~OUTER EXPERTISE~~  $\downarrow$

When Auditors found any discrepancy, they ~~is~~ should inform their functional supervisors i.e. follow hierarchy  $\Delta$ .

$\hookrightarrow$  But in practise, they <sup>clarified</sup> with their colleagues as if they disclosed the supervisors, they'll get punished & also it was easier to

easier talk to colleagues & superusers were themselves busy.

- This again proves that Org<sup>n</sup> Rationality gets replaced by Individual Rationality.

Peter Blau's study also validates the study of Alvin Gouldner. (Mock B)

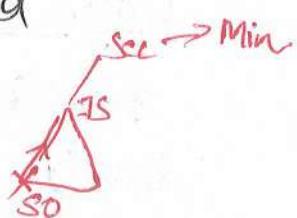
Robert Jackall

Zeal  
Excellence      Tenacity  
Achievement

- He outlined that the moral consciousness of people in the Org<sup>n</sup> is not subdued by the Rationality in the Org<sup>n</sup>.
- As authority in the Org<sup>n</sup> doesn't operate at the level of Org<sup>n</sup> Rationality but at the level of on the level of the experiences that takes place in the day to day interaction between Superordinate & Inbordinate

i.e Personal rship & not Weber's idea of authority, as it creates an impression that 'I'm usefull'.

Personal loyalty becomes more imp. than Technical Competence.



Individuals keep shaping & reshaping the Org with his/her persona of worthiness towards the job. This is called Partimonal (B)

### Richard Edwards

- In any Org, Human being maintain its creative potential & can't be completely captured.

In contemporary Org, there are 3 types of control :-

- a) Individual Control - Superior → Sub.
- b) Bureaucratic Control - Rule, Procedure, Structure based.
- c) Technological Control = e-Samiksha, Bio-metric attendance.

- Individuals using the creative potential will find ways to escape these controls which will have unintended consequences.

Boys & Boys outlines how to deal with unintended consequences. i.e. laws w/ Org should help the individuals what is expected from there. i.e. they should be self-directed to Org Goals. If law

↳ If laws are enabling, it will lead to enabling (B) & not coercive (B).

## McDonaldisation

(Relevance of Weber, SMT)

by George Ritzer

- "When I go to McD, I see a Bureaucratic society." Many such restaurants are highly successful today as they operate on the Weberian & SMT principles of efficiency, calculateability, predictability & control.

1) Efficiency - They are also based on the best way of doing work as it maintains standardisation of material, labour & processes.

2) Calculateability - Everything is calculated & defined, eliminating the scope of creativity & human innovation as the person has to order from the defined menu & cook has to prepare a defined meal.

3) Pred

3) Predictability - Employers know what is expected from them as everything is standardised. Also, customers have to order from the limited menu.

4) **Control** - Things are made measurable & quantifiable. No deviation is allowed. No tips allowed. You've to pay before meal. No one to serve. Also the entire Org<sup>n</sup> reflects a blue color org<sup>n</sup> same uniform.

These all aspects reflect Taylor's Scientific Mgmt & Weberian Structures. These type of org<sup>n</sup> are rapidly spreading in society

ATM = Mac Money

Food Delivery, Quick Commerce, Ed Tech

Ed Tech = Mac Ed<sup>n</sup> (v. standardised)

There is Bureaucratic Rationalis<sup>n</sup> of society

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## Resource Dependency Theory

- Peffer & Salnick

- An Org<sup>n</sup> is dependent on a no of resources, like skilled manpower, machines, raw materials, etc. on basis of which an Org<sup>n</sup> functions.
- But all resources aren't vital for the Org<sup>n</sup>. Some are v. critical & scarce w/o which an Org<sup>n</sup> will not be able to function & it will disintegrate.
- The extent to which an org<sup>n</sup> is able to control & <sup>utilise</sup> neutralise these resources, more Org<sup>n</sup> will be successful.

### From Power Dynamics Perspective

**YOUR ZEAL • OUR EXPERTISE**

- An individual w/ Org<sup>n</sup> who is able to control the critical & scarce resources will be powerful.  
↳ So in a way it negates power based on posn i.e. Weberian Hierarchy.

→ M. Grayler's study in France found that Maintenance Dept was exercising high power as it had the ability to deal with certainity & uncertainity  
↳ w/o maintenance an Org<sup>n</sup> couldn't function

### University

In an University, which had highest no' of students exercise more power as it had control over the funds.

- In Govt, PMO & MoHA
- In world, the Co which have control over RR REE are most powerful (China - 60%)

Hickson & Haining outlines -

Power is not necessarily based on custom of position rather outcome of one's control over critical & scarce resources & to deal with certainity & uncertainity.

# Social Capital Theory

- Robert Putnam

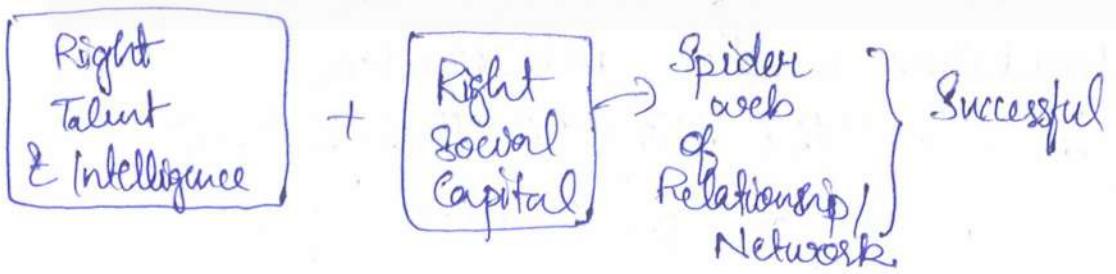
- Human Capital = Individual having knowledge & skill to deal with a problem is a human resource.

- Social Capital = Ability of an individual in a network situation to successfully deal with various problems relevant to them.

## Importance of Social Capital

- In the contemporary era, there's more & more emphasis on social capital as compared to traditional emphasis on individual i.e. individualism
- Traditional idea of success based on individualism under modernism is a myth. i.e. the modern thought was success is based on your ideas, your skills, & your knowledge.
- But in reality, success is based on one's support with others. as Human Capital itself is a Social Capital.

- Talents & Intelligence is basically a byproduct of social process from childhood to adulthood.
- Edn is socially influenced which defines the person's character
- People who are successful today, create a spiderweb of network around them.  
(Spiderweb Network has wide network, know a lot of people & therefore, would be)
- An individual will have higher capacity to deal with a problem if they are more socially interlinked i.e. the Social Capital ensures that they are the right person, at right place at the right time.



## Implication of Social Capital to Organisation

Moral Trust + Confidence = ↑ Moral & Motiv<sup>n</sup>

↓  
↑ Productivity & efficiency

- Social Capital empowers the individuals, be it the administrator in Org<sup>n</sup> to deal with prob more effectively.
- Social Capital increases cooperation within the Org<sup>n</sup> which increases mutual trust & confidence. It leads to high motiv<sup>n</sup> & morale, higher productivity & satisfaction.

SC → ↑ Coop<sup>n</sup> → ↑ M T & Confidence → ↑ Motiv<sup>n</sup> & Morale  
↓

"We feeling ← ↑ Satisfaction → ↑ Productivity  
Spirit de Corps

- Social Capital leads to Complementarity in Action i.e. Individuals with diff strengths & weaknesses complement each other & successfully overcome the weaknesses in the Org<sup>n</sup>.

- Social Capital  $\rightarrow$   $\downarrow$  transaction cost, thereby maximizing the use of knowledge & talent in Orgn.
- Social Capital promotes Organizational Learning, thereby reduces need for formal Tr.
- In a way, it leads to an enabling  $\textcircled{B}$   
& Today's era, mergers <sup>Tenant</sup>, acquisition, strategic alliances happen because of personal relations, acquaintances i.e. Social Capital.

### Robert Putnam Study in Italy

- Putnam found the ~~so~~ 1 part of Italy was more developed than other part & had more no. of CSOs which results in higher Social Capital
- Individuals are more interlinked, networks, thereby high awareness abt. various issues & made the adm. even more accountable.
- compared to the lesser developed area where the presence of CSO was less

- Putnam's study highlights the relevance of Social Capital outside Org<sup>n</sup>.
- Social Capital leads to conscientisation of society ie development of mutual trust & civic competence which will result in Civic Renewal (Urban LB, ⑩ Renewal)



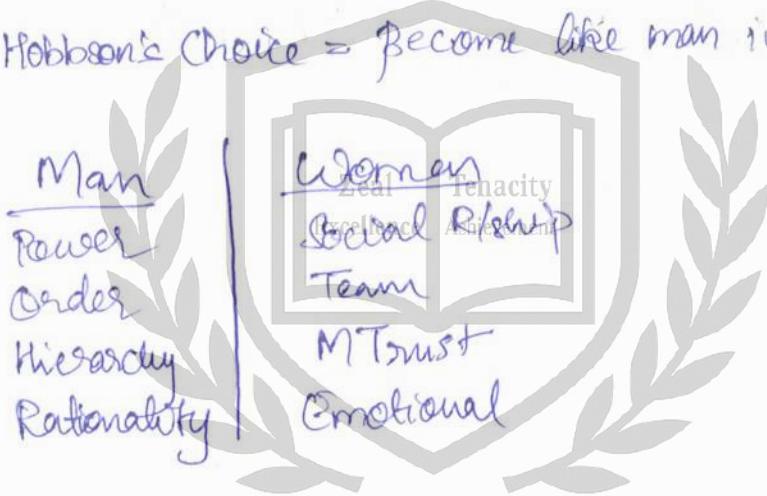
# Gender Perspective in PubAd

- Science  
Power  
Centralism  
Rational

- Order  
Principles  
Authority

- Camilla Steers & Martha Nussbaum

↳ Hobson's Choice = Become like man in Org<sup>n</sup>



- T.P.A is a male centered bastion, the thinkers talked abt power, authority, giving orders, hierarchy, centralism, rationality.
- It rejected the role of emotions in Adm MP Follett implicitly brought the feminist perspective in PubAd when she talks abt Integrating Differences, preference to power with over power over, giving orders from the situation.
- But it was Camilla Steers & Martha Nussbaum who brought Feminist persp in P. A explicitly.

- Capilla Stevens talks abt the Hobson Choice i.e the Org<sup>n</sup> reflect the main structures. The females in org<sup>n</sup> should either develop adopt male structures or quit the org<sup>n</sup>.
  - ↳ As there are smoking rooms in Org<sup>n</sup> but not breast feeding rooms.
  - ↳ There's no place for emotions, socialis<sup>n</sup>, etc
- Prevalence of Glass Ceiling, Sticky Floor due to these male structures.  
(No women Cabinet Sec & v. few Sec, DGP, Chief Sec)  
(Women clearing UPSC =  $\frac{1}{4}$  to  $\frac{1}{3}$ )
- Acc to Harvard Business Review, to bring Transformation Change in Org<sup>n</sup>, humans should be at the top positions as they promote transformational leadership. Women promotes team building, mutual trust, social capital in an org<sup>n</sup>.

# PUBLIC ADMINISTRATION

## “EDGE”

By Monish Bhawale Sir



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