



WHO WE ARE

ProGarrett Solutions provides specialized risk review support for federal agencies responsible for evaluating financial advisors, insurance agents, brokers, and advisory firms.

We assist Contracting Officers and program officials in performing structured, defensible due diligence aligned with federal acquisition principles, including **FAR 9.104-1** responsibility considerations and related integrity and performance assessments.

We operate as:

- Prime contractor
- Subcontractor
- Subject matter support to larger federal integrators

Our focus is narrow by design: financial services professionals and advisory firms.

APPLIED REVIEW TOOLS

Our products are delivered using structured internal tools, including:

- Integrity & Regulatory Review Framework
- Comparative Risk Analysis Matrix
- Responsibility Alignment Mapping (FAR-based structure)
- Structured Documentation & Evidentiary Compilation Methodology

These tools allow our findings to be:

- Consistent
- Defensible
- Replicable
- Audit-ready

CORE PRODUCTS

1. Individual Advisor or Agent Risk Review

Structured evaluation of a single financial advisor, broker, or insurance agent, including regulatory, licensing, disciplinary, litigation, and professional background review.

2. Multiple Advisor Comparative Risk Review

Side-by-side structured comparison of multiple advisors or agents using consistent risk criteria to support competitive evaluation environments.

3. Advisory Firm or Brokerage Risk Review

Firm-level assessment of ownership structure, regulatory history, supervisory record, and operational risk indicators.

4. Targeted or Post-Award Risk Review

Focused review of specific concerns, complaints, emerging risks, or integrity questions during performance.

5. Phased Risk Review

Preliminary screening followed by deeper analysis of finalists, reducing cost exposure while preserving rigor.

WHAT WE DO

We deliver structured, documented Risk Reviews that support responsible source selection, post-award oversight, and program integrity.

Our work does **not** replace Contracting Officer authority.

It strengthens documentation and analytical support for decision-makers.

(Over)



WHY USE US

- Financial industry expertise applied to federal environments
- Structured documentation aligned with acquisition standards
- Narrow subject-matter specialization
- Ability to support primes requiring financial services vetting expertise
- Micro-purchase and SAT-friendly engagement models

We are designed to support responsible contracting decisions — not to create additional bureaucracy.

ENGAGEMENT FLEXIBILITY

ProGarrett Solutions supports:

- Micro-purchase acquisitions
- Simplified Acquisition Threshold (SAT) procurements
- BPA tasking
- Subcontracting relationships
- Prime contractor teaming arrangements

We are structured for rapid turnaround and clearly defined scope.

- **UEI:** K31QSNMSSXQ9 541611, 541990
- **CAGE:** 11VW5
- **SBA Cert:** SDVOSB
- **NAIC:** 541618,
- **PSC:** R408, R499, R406

DIFFERENTIATORS

We focus exclusively on the risk profile of financial advisors and advisory firms serving federal programs. Most federal integrators do not maintain in-house expertise in this niche. That specialization is our value.

PAST PERFORMANCE

Past performance is based on the Principal's and core employees' **decades** of experience conducting advisor assessments, compliance reviews, suitability evaluations, and fiduciary-risk analysis across state, agents' reviews, and commercial financial environments.

