



COMPANY OVERVIEW

ProGarrett Solutions provides **independent, conflict-free due diligence and compliance review** of financial advisors, brokers, RIAs, and insurance agents serving **federal, state, and local government agencies**.

We support **recurring oversight, procurement support, and risk-mitigation needs** by delivering **documented, defensible analyses** suitable for **BPA calls, simplified acquisitions, pilot engagements, and ongoing monitoring**.

Our services help agencies:

- Reduce fiduciary and reputational risk
- Support defensible procurement decisions
- Maintain ongoing advisor oversight and compliance

DIFFERENTIATORS

- **Independent & conflict-free:** No product sales, commissions, referrals, or revenue-sharing
- **40+ years of industry experience** evaluating advisors, brokers, and insurance agents
- **Purpose-built for government procurement** — not retail or sales-driven consulting
- **Structured for SAT acquisitions and BPA call orders**
- **Firm Fixed Price (FFP) and FFP-Level-of-Effort models** with clearly defined scope, deliverables, and timelines
- **Rapid turnaround** aligned with micro-purchase and SAT requirements
- **Clear, defensible documentation** suitable for audit, legal review, and oversight
- **Specialized niche capability** not duplicated by general consulting firms

- **UEI:** F6V2S6SULLM7 541618
- **CAGE:** 11VWR • **PSC:** R408, R499
- **SBA:** SDVOSB & VOSB
- **NAIC:** 541990, 541611,

CORE COMPETENCIES

- **Independent Advisor Vetting & Due Diligence**
- License, credential, and registration verification
- CRD / BrokerCheck and regulatory-history review
- Complaint, arbitration, and disciplinary-pattern analysis
- Suitability and fiduciary-alignment assessments
- Conflict-of-interest and compensation-structure review
- Written vetting reports suitable for contract and audit files

Advisor Oversight & Monitoring (Recurring BPA Tasks)

- Evaluation of advisors supporting DoD-affiliated programs
- Ethics, conduct, and disclosure review
- Risk scoring with documented findings
- Event-driven or periodic monitoring
- Ongoing oversight structured for BPA call orders

Procurement & Source-Selection Support

- Advisor comparison matrices and evaluation tools
- Independent due-diligence documentation for contract files
- Evaluation support for BPA calls and simplified acquisitions
- Compliance review of advisor proposals and disclosures

Risk Reduction & Oversight Support

- Identification of fiduciary, ethical, and financial-risk exposure
- Independent third-party validation of advisor qualifications
- Structured analysis supporting Contracting Officers, legal counsel, and oversight entities

PAST PERFORMANCE

Past performance is based on the **Principal's and Analysts' decades of experience** conducting independent advisor assessments, compliance reviews, suitability analysis, and fiduciary-risk evaluations across **federal, defense-adjacent, and regulated environments**.

Experience includes:

- Independent vetting and evaluation support
- Ongoing oversight and monitoring
- Risk documentation supporting procurement decisions

