Two Separate Derivations of the Shannon Entropy Equation from First Principles and the RTA Framework for Information

Vasant Jayasankar, MD, MS

Assistant Clinical Professor of Surgery

Medical University of South Carolina

vasant.jayasankar@gmail.com

Abstract

The Shannon entropy equation has been foundational in information theory, yet its derivation has historically relied on axiomatic reasoning rather than first principles. In this paper, I propose two derivations of the Shannon entropy equation from fundamental geometric constraints, demonstrating that it emerges naturally as a special case of a deeper information structuring principle. I propose that entropy is fundamentally constrained by geometric projection effects and dimensionality, leading to a formulation that reduces to Shannon's equation in Euclidean space while extending to structured high-dimensional systems.

Further, I introduce a novel connection between optimal information structuring and the All-Pairs Shortest Path (APSP) framework, demonstrating that information processing may follow geodesic constraints in hyperbolic space. This insight suggests that optimal data compression, AI learning, and information retrieval follow geometric constraints, revealing a deeper structural foundation beyond statistical approximations.

By unifying entropy, geometric projection constraints, and APSP-based information structuring, I introduce the RTA Framework for Information, which redefines optimal information flow in structured systems and AI architectures. If validated mathematically and empirically, this may have deep implications for AI architectures, compression theory, and quantum information, pointing toward a broader framework that extends beyond classical entropy formulations.

Introduction

The concept of entropy has been foundational in information theory since Claude Shannon introduced his entropy equation (Shannon, 1948),

$$H = -\sum p_i \log p_i$$

which quantifies the uncertainty of information content within a probability distribution. Despite its widespread applicability, Shannon's derivation was based on axiomatic reasoning rather than a deeper first-principles mathematical foundation. While various information-theoretic interpretations justify the form of this equation, no comprehensive framework has yet emerged to derive it directly from fundamental constraints governing information itself.

While the classical Shannon entropy equation is sufficient for simple probabilistic models, its validity in high-dimensional, structured information spaces must be reconsidered in light of geometric projection constraints. When information exists within a high-dimensional manifold, its entropy is not merely a statistical quantity but is fundamentally constrained by the structure of the space itself. This necessitates a generalized entropy formulation that accounts for projection-induced distortions in information flow.

Information structured in high-dimensional spaces inherently encounters projection constraints when reduced to lower-dimensional representations. These constraints introduce systematic entropy modifications, suggesting that Shannon's classical formulation is a limiting case of a more general geometric structuring principle.

This paper seeks to establish such a foundation by demonstrating that entropy is not merely a statistical measure but a structured quantity that arises from geometric constraints on information. By treating information as a distribution over a high-dimensional manifold, I propose that entropy naturally emerges from dimensional projection constraints and loss of information due to lower-dimensional encoding. This geometric interpretation allows entropy to be rigorously derived, rather than assumed, and provides a more fundamental understanding of why Shannon’s entropy equation takes its observed form.

Further, this paper introduces a novel connection between optimal information structuring and shortest-path optimization in hyperbolic space. I hypothesize that the All-Pairs Shortest Path (APSP) problem (Floyd, 1962) naturally governs efficient information structuring, suggesting that information propagation and retrieval in complex systems follow geodesic constraints rather than purely statistical distributions. This insight may have deep implications for compression theory, AI learning architectures, and the fundamental limits of structured information processing.

Finally, I will introduce the concept of information entropy being entirely analogous to Boltzmann physical entropy, and that harmonics may be fundamental to understanding higher dimensional information representation.

While the derivations in this paper are rigorous in their geometric formulation, additional mathematical analysis and validation is necessary. By unifying geometric entropy constraints with APSP-based optimization, this work introduces the RTA Framework for Information, a novel approach that extends classical entropy theory and provides a new foundation for understanding how information is structured and processed.

Methods and Analysis

1. The Derivation of the Shannon Entropy Equation From First Principles By Two Independent Methods

A. Introduction: Information as a Geometric Quantity

Traditional derivations of Shannon entropy rely on axiomatic reasoning, assuming properties such as continuity, additivity, and maximum entropy for uniform distributions. Instead, I seek to derive the entropy equation from a first-principles approach, treating information as a structured geometric quantity rather than a purely statistical measure.

Consider a system with a discrete set of states, each occurring with probability. The fundamental question in information theory is: How much information is required to distinguish between states in a structured space (Shannon, 1948)?

This question implies that information is not just an abstract quantity but is constrained by the underlying geometry of the probability space.

I propose that:

1. Information resides on a high-dimensional probability manifold.
2. Entropy should reflect the geometric distance between distinguishable states. Therefore, information content I should be a function $\int(p_i)$, where:

$$I(p_i) = \int (p_i)$$

with the total entropy for a probability distribution given by:

$$H = \sum_i p_i \int (p_i)$$

Since information measures the distinguishability of states (Shannon, 1948), the metric that defines this space must satisfy geometric constraints on distances between probability states.

2. Establishing a Geometric Distance Constraint: The First Method to Derive the Shannon Entropy Equation From First Principles

To justify the logarithmic form of entropy, I derive the functional form using a geometric distance metric (Kullback S, Leibler RA, 1951). Consider the probability simplex, where a probability distribution p_i defines a point in a high-dimensional space. The fundamental requirement is that the information difference between two probability states should be proportional to their geometric separation (Amari S, 2016). A natural measure of distinguishability in probability space is given by the Kullback-Leibler (KL) divergence, which is a geometric divergence measure defined as:

$$D_{KL(p \parallel q)} = \sum_i p_i \log \frac{p_i}{q_i}$$

where q_i represents another reference probability distribution.

For a system with maximum uncertainty (uniform probability distribution), the reference probability is equally distributed among all states, $q_i = \frac{1}{N}$, where N is the total number of possible states in the system, leading to:

$$D_{KL}(p \,||\, Uniform) = -\sum_i p_i \log p_i + \log N$$

Thus, the natural distance function in probability space directly produces the Shannon entropy formula up to an additive constant. This suggests that entropy is not arbitrary but is the result of a fundamental geometric property of probability space. The term $\log N$ represents a global geometric scaling factor that arises from the total number of distinguishable states in the probability space, reflecting the effective dimensionality of the system. It follows that in Euclidean probability spaces (where Shannon's classical entropy applies), the dimensionality of the space scales linearly with the number of states. That is, the probability space is effectively flat, meaning:

$$\log N \approx 0$$

Thus, in a Euclidean framework, the entropy equation reduces cleanly to:

$$H = -\sum_i p_i \log p_i$$

This suggests why Shannon entropy works well for standard probability distributions in Euclidean space—projection effects are negligible. However, in non-Euclidean spaces, especially hyperbolic manifolds, information does not scale linearly. Instead, the volume of the probability space grows exponentially with distance (Gromov M, 1987). Hyperbolic spaces have a geometric scaling law where information volume scales as an exponential function of distance. This means that $\log N$ no longer vanishes—it introduces a nontrivial correction that modifies entropy. In non-Euclidean spaces, entropy may have to be corrected to account for curvature effects, leading to the need for a more general formulation.

B. Additivity as a Geometric Projection Constraint: The Second Method to Derive the Shannon Entropy Equation From First Principles

To show why entropy must be additive, I consider the projection of information from a higher-dimensional space to a lower-dimensional representation. I propose the following for independence and projection in geometric space:

If two independent systems A and B exist, then their joint probability follows:

$$p(A,B) = p(A)p(B)$$

The total entropy should be additive for independent systems:

$$S(A,B) = S(A) + S(B)$$

To satisfy this condition in a geometric space, the information function must obey the functional equation:

$$S(p_1 p_2) = S(p_1) + S(p_2)$$

Since this result must hold for any probability distribution composed of multiple independent states, I extend the argument to a discrete probability space with multiple outcomes indexed by i. The entropy of the entire distribution must then be the sum over individual state entropies, leading to the general form:

$$S(\prod_{i=1}^{n} p_i) = \sum_{i=1}^{n} S(p_i)$$

This confirms that Shannon entropy follows directly from the constraints imposed by additivity and functional consistency. This is a well-known Cauchy functional equation (Cauchy AL, 1821), whose only continuous solution takes the logarithmic form:

$$S(p) = -k \log p$$

where k is an undetermined constant that depends on the choice of logarithm base and normalization conditions. Substituting into the entropy sum:

$$S = -k \sum_{i} p_i \log p_i$$

which is precisely the Shannon entropy equation, arrived at from first principles using a separate methodology and mathematical approach.This implies that entropy is not simply an empirical measure—it emerges directly from the geometry of probability space and the constraints of information projection. The presence of the k factor indicates a fundamental scaling relationship in information measures, with its value typically set by convention (e.g., $k = 1$ for natural logarithms or $k = \frac{1}{\log_2 e} = \ln 2$ for base-2 logarithms). Base-2 logarithms may be a more natural representation of Boolean computer data propagating in higher dimensions.

This section implies that entropy is not simply an empirical measure—it emerges directly from the geometry of probability space and the constraints of information projection.

C. Generalizing to Non-Euclidean Spaces

While the derivation above recovers Shannon's entropy in the Euclidean case, I will later show that if information is structured over a higher-dimensional geometric manifold, the entropy equation must be modified to account for projection constraints and dimensional loss. This is because in hyperbolic space, shortest paths (geodesics) differ from Euclidean distances (Poincaré H, 1905). It follows then that projected entropy scales with the dimension and curvature of the space. If true, in higher dimensions entropy must include projection corrections that account for lost information. In non-Euclidean spaces, entropy takes a modified form, with Shannon's equation emerging as the special case when no projection loss occurs.

D. Key Takeaways

- Shannon entropy emerges naturally from geometric constraints on probability space.
- The logarithmic form is a direct consequence of fundamental distance metrics in probability space.
- Additivity in entropy results from projection constraints when mapping between different dimensions.
- In hyperbolic or non-Euclidean spaces, entropy must be modified to account for information distortion.

E. Areas for Further Research

- How does entropy scaling behave under different curvature constraints in high-dimensional spaces?
- Can alternative geometric divergence measures refine or extend the Shannon entropy equation?
- What are the computational and algorithmic implications of treating entropy as a geometric projection constraint?
- How do these findings inform compression theory and AI-based information processing?

3. Introduction to Geometric Projection in Information Theory

Entropy has traditionally been treated as a statistical measure in information theory, but when information is structured in high-dimensional space, it is subject to geometric projection constraints as it maps to lower-dimensional representations (Tenenbaum JB, 2000). This phenomenon has important implications for: entropy scaling laws in different dimensional manifolds, the loss or distortion of information due to dimensional reduction, and how compression algorithms inherently adhere to these constraints.

In high-dimensional systems, entropy is not just a measure of statistical uncertainty—it reflects the underlying geometry of the information space (Amari S, 2000). When information is projected from a higher-dimensional space to a lower one, some structures are preserved while others are distorted or lost (Roweis ST, 2000). This suggests that:

- The standard Shannon entropy formulation assumes an implicit Euclidean geometry where projection effects are negligible.
- If information is instead embedded in a curved or hyperbolic space, Shannon entropy must be modified to reflect the distortion introduced by projection.
- Compression and data encoding inherently involve dimensionality reduction (foundationally Shannon CE, 1959), meaning that real-world entropy calculations must account for projection constraints.

Thus, to fully capture how entropy behaves under geometric constraints, a generalized entropy formulation is needed—one that accounts for the curvature and dimensionality of the space in which information is embedded.

Examples of Projection-Induced Entropy Distortion

A. Information Bottlenecks in Neural Networks
    - Deep learning architectures often involve dimensional reduction in hidden layers, where information must be compacted into lower-dimensional feature spaces (Hinton GE, 2006).
    - The extent to which entropy is preserved during this projection determines the efficiency of learning and generalization (Tishby N, 1999).
B. Quantum Information and Black Hole Entropy
    - The holographic principle suggests that the entropy of a black hole scales with its surface area, rather than its volume (Bekenstein JD. 1973).
    - This is a prime example of entropy being constrained by a lower-dimensional projection of a higher-dimensional information space.
C. Compression and Data Encoding
    - Lossy compression techniques like JPEG and MP3 discard information in a way that approximates human perception (Wallace GK, 1991).
    - The mathematical principles underlying these methods often align with projection constraints in high-dimensional feature spaces (Grosse R, 2013).

This motivates a formal derivation of projection-corrected entropy, which I will develop in the next section by extending the Shannon entropy equation to incorporate geometric constraints.

4. Derivation of Entropy Under Geometric Projection Constraints

A. Revisiting Shannon's Entropy in Euclidean Space

The classical Shannon entropy equation assumes a Euclidean information space, where entropy is given by:

$$H = -\sum_i p_i \log p_i$$

This equation is valid when information exists in a flat, non-curved space, meaning no projection distortion occurs. However, if the information resides in a higher-dimensional curved space and is projected to a lower-dimensional representation, entropy is modified by projection constraints.

B. Generalizing Entropy for Non-Euclidean Spaces

Let us assume that information is distributed on a high-dimensional manifold with intrinsic curvature. When projecting from an N-dimensional space to an (N−1)-dimensional subspace, the probability measure undergoes a transformation dictated by the Jacobian determinant of the projection mapping:

$$p_i' = Jp_i$$

Where J is the Jacobian determinant of the projection transformation, which accounts for how probability density scales under a change in dimensionality. The Jacobian determinant quantifies how volume elements shrink or expand when mapped between different manifolds, meaning that it directly influences how entropy transforms under projection. Since entropy must remain invariant under coordinate transformations up to a scaling factor, the modified entropy expression takes the form:

$$H' = -\sum_i p_i' \log p_i' = -\sum_i (J p_i) \log(Jp_i)$$

Expanding this equation:

$$H' = -\sum_i Jp_i \log J - \sum_i Jp_i \log p_i$$

Rearranging terms:

$$H' = H + \log J \sum_i Jp_i$$

Since probabilities sum to one under transformation constraints:

$$\sum_i p_i' = 1$$

we approximate the projection-modified entropy as:

$$H' \approx H + \log J$$

This correction term $\log J$ accounts for dimensional projection distortion, meaning that entropy is systematically modified by the curvature of the underlying probability space.

C. Special Case: Entropy in Hyperbolic Space

In hyperbolic space, the volume of a probability simplex grows exponentially with dimensionality due to the negative curvature of the space (Ratcliffe JS. 2006). This implies that the Jacobian determinant takes the form:

$$J \sim e^{-\alpha N}$$

Where α is a curvature-dependent scaling factor in N-dimensional space. This arises because in hyperbolic geometry, volume elements expand exponentially as one moves outward from the origin or reference point. This property ensures that when projecting from a high-dimensional hyperbolic space to a lower-dimensional subspace, the effective entropy decreases proportionally to the dimensional reduction. Substituting into our entropy equation:

$$H' = H - \alpha N$$

This result shows that in highly curved spaces, entropy scales linearly with the number of projected dimensions, meaning that Shannon's entropy may have to be corrected for information structured in non-Euclidean geometries.

Deductive Justification for Exponential Scaling of the Jacobian Determinant Under Projection

In our framework, we have proposed that when projecting information from a higher-dimensional space to a lower-dimensional one, entropy contracts according to an exponential scaling law:

$$J \sim e^{-\alpha N}$$

Rather than relying on an imposed mathematical derivation, we now establish this result through logical deduction based on known geometric and information-theoretic principles.

1. Fundamental Geometric Insight: Hyperbolic Volume Grows Exponentially

It is well established in differential geometry that:
Euclidean space grows polynomially with dimension:

$$V(N) \sim N^d$$

Hyperbolic space grows exponentially with dimension:

$$V(N) \sim e^{\lambda N}$$

Here λ is a curvature-dependent geometric scaling factor representing how rapidly the volume of a hyperbolic space expands as the dimensionality N increases. It quantifies the rate at which available information space grows exponentially when you add dimensions in hyperbolic geometry. As we increase the number of dimensions, the amount of available "space" grows at an exponential rate. In a high-dimensional hyperbolic space, the effective volume available for structuring information increases exponentially with the number of dimensions.

Since we have established that the volume of a hyperbolic region scales exponentially with the number of dimensions N as:

$$V(N) \sim e^{\lambda N}$$

and since the effective number of dimensions explored in a high-dimensional hyperbolic space is proportional to the geodesic distance d (due to the exponential volume expansion along geodesics), we write:

$$N \sim d$$

Substituting into the volume equation, we obtain:

$$V(d) \sim e^{\alpha d}$$

where α is a curvature-dependent scaling constant. Taking the logarithm, we derive the entropy scaling relation:

$$S \sim \ln V(d) \sim \alpha d$$

This result demonstrates that entropy in hyperbolic space scales logarithmically with volume but linearly with distance, distinguishing it from Euclidean entropy growth.

2. The Relationship Between Entropy and Volume

Entropy measures the uncertainty or spread of information and volume represents the amount of space available for information distribution. In an expanding space, entropy should also expand, since more states can be occupied. Further, when moving into a higher-dimensional hyperbolic space, the maximum possible entropy increases exponentially with dimension. Conversely, when projecting to a lower-dimensional subspace, entropy should contract exponentially.

3. Projection and Entropy Contraction

When projecting from N-dimensional space to an $(N-1)$-dimensional space, volume is lost due to projection constraints. Since entropy scales with available volume, this projection must cause entropy to decrease proportionally to the contraction of volume. Because hyperbolic volume grows exponentially, it would logically imply that the inverse process (projection) results in exponential contraction. Thus, the volume distortion factor, quantified by the Jacobian determinant J, must satisfy:

$$J \sim e^{-\alpha N}$$

where α is a curvature-dependent scaling factor. I propose that this is an inevitable consequence of how information is structured in high-dimensional hyperbolic spaces.

4. Justifying the Entropy Correction Term

In Euclidean space, entropy remains unchanged under uniform projections because volume scales linearly. In hyperbolic space, volume scales exponentially, so projection results in entropy loss proportional to $\ln J$.

This naturally explains why entropy is corrected under projection as:

$$H' = H + \ln J$$

where the entropy change is directly tied to the contraction of available volume.
This suggests that entropy reduction follows directly from geometric constraints and does not require an arbitrary assumption about J.

This deductive analysis suggests that contraction is a natural consequence of hyperbolic volume scaling. The reasoning utilized here is fully self-consistent and eliminates unnecessary assumptions about α. Entropy correction appears to follow from fundamental principles of information structuring in curved spaces.

5. Special Cases and Physical Implications

A. Recovering Shannon Entropy in Euclidean Space

When projection effects are negligible—such as in a Euclidean information space—the Jacobian determinant of the transformation satisfies:

$$J = 1$$

Substituting into the projection-corrected entropy equation:

$$H' = H + \ln J$$

Since ln 1 = 0, we recover the standard Shannon entropy:

$$H' = H = -\sum_i p_i \log p_i$$

This further demonstrates that Shannon entropy is a special case of the more general projection-corrected entropy, valid only when dimensional projection loss is absent.

B. Entropy Scaling in Hyperbolic Space

In hyperbolic space, entropy must be corrected for curvature-induced distortion. From our previous derivation:

$$J \sim e^{-\alpha N}$$

Substituting into the entropy equation:

$$H' = H - \alpha N$$

where α is a curvature-dependent scaling factor. This correction implies:

- Entropy scales linearly with the number of projected dimensions because the correction term is dimension-dependent.
- Entropy scales exponentially with distance in higher-dimensional hyperbolic space due to the nature of hyperbolic volume expansion, as previously demonstrated in this paper.
- High-dimensional projections cause entropy reduction due to geometric volume distortion.
- For sufficiently large curvature, entropy approaches an asymptotic bound, suggesting a natural entropy limit in curved spaces.

6. RTA and Information Processing

A. Why The RTA Framework for Information Applies to Information Processing

Traditional information theory treats entropy as a static statistical measure, quantifying uncertainty in a given probability distribution. However, real-world computational systems involve dynamic information flow, where data moves through networks, neural structures, or computational architectures (Venkatesh P, 2020). In such cases, entropy is not merely a measure of uncertainty—it directly influences the efficiency of information transfer and processing (Tishby, 1999).

This paper's proposed entropy framework, based on geometric projection constraints, suggests that information is structured according to specific constraints that dictate optimal flow paths. This raises a critical insight: the efficiency of an information system

is not just about minimizing uncertainty, but about minimizing entropy along structured, optimal paths.

In computational systems, the All-Pairs Shortest Path (APSP) problem provides a direct analogy to entropy-minimized information flow. APSP finds the shortest path between every pair of nodes in a network, revealing the most efficient and structured way information can propagate. This suggests that the APSP problem isn't just a graph-theoretical challenge—it may fundamentally align with the principles of entropy minimization in structured information spaces. If information in a high-dimensional system is projected onto a lower-dimensional manifold, then APSP solutions should reflect projection-induced entropy constraints. This could mean that current APSP algorithms may be suboptimal in structured, non-Euclidean spaces—an issue this framework seeks to address.

By integrating the projection-corrected entropy framework with APSP theory this may reveal new algorithmic strategies that more efficiently structure information flow, impacting fields such as:

- **Neural Network Optimization** – Can structured entropy constraints improve AI training?
- **Compression and Data Encoding** – Does APSP-based structuring minimize redundant entropy?
- **Quantum Information Theory** – Does quantum state evolution naturally optimize along APSP-like constraints?

This section established the foundation for why entropy minimization and APSP are potentially fundamentally connected, leading into the next section on defining the APSP problem and its role in information theory.

B. The APSP Problem: Definition and Importance

The All-Pairs Shortest Path (APSP) problem is a fundamental challenge in graph theory and network science. Given a weighted graph, APSP seeks to determine the shortest path between all pairs of nodes, allowing for optimal routing of information, resources, or signals. The problem is formally defined as:

- Let $G = (V, E, w)$ be a weighted graph with vertex set V, edge set E, and weight function w that assigns a cost to each edge.

- The APSP problem seeks a function d(u,v) for all u, v ∈ V such that d(u,v) represents the minimum cumulative weight of any path from u to v.
- If no such path exists, d(u,v) = ∞.

C. Why is APSP Relevant to Information Flow?

In computational and physical systems, information is not simply stored statically—it must propagate through networks in an optimal manner (Nicoletti G, 2024). The APSP problem serves as a model for efficient information routing, as it reveals the most structured and least redundant paths for data transmission.

The RTA entropy framework suggests that:

- APSP solutions may naturally emerge from entropy minimization principles.
- Information does not propagate randomly—it follows structured paths that reduce uncertainty and redundancy.
- If entropy is constrained by projection effects, then APSP solutions should reflect these same constraints in structured networks.

Traditional AI learning architectures, including gradient descent, operate on local optimization principles. However, in structured information systems, a purely local approach may fail to capture the globally optimal paths for information flow. The APSP framework provides a direct analogy to entropy minimization in complex networks, suggesting that information propagation in AI models may be governed by geodesic constraints rather than purely statistical approximations.
If validated, APSP-based learning may outperform gradient descent in structuring AI models, leading to faster convergence, reduced redundancy, and more optimal weight-space organization. Empirical tests should investigate whether APSP-based entropy structuring enables more efficient learning dynamics, particularly in deep neural networks and high-dimensional function optimization.

D. Problems with Current Algorithmic Approaches to APSP

Current APSP algorithms such as Floyd-Warshall, Dijkstra, and Bellman-Ford—are widely used but have fundamental limitations when applied to structured information spaces (Kleinberg R, 2007). Most APSP algorithms assume a flat (Euclidean) metric space for computing shortest paths, ignoring geometric distortions that occur in curved or hyperbolic spaces. This affects efficiency because many existing APSP algorithms have a high computational cost (e.g., Floyd-Warshall operates in $O(N^3)$ time), making them inefficient for large-scale structured data sets. In addition, these methods do not account

for information redundancy or projection-induced entropy constraints, which could lead to suboptimal path selection.

This suggests a need for entropy-structured APSP solutions. The RTA framework suggests that optimal information propagation follows structured entropy constraints, which traditional APSP algorithms fail to incorporate. This raises key questions:

- Do APSP algorithms in hyperbolic space align more naturally with entropy minimization?
- Can an entropy-aware APSP algorithm outperform existing shortest-path solutions?
- Are existing graph embeddings already unintentionally using entropy minimization principles?

By addressing these gaps, we propose that APSP solutions should be reformulated using entropy-projection constraints, leading to more efficient and structured information routing in both computational and physical systems.

E. RTA and APSP

Entropy as a Constraint on Information Flow

Our framework suggests that information structuring is not arbitrary but follows fundamental geometric constraints. Since APSP represents the most efficient way to transmit information in a network, it follows that: APSP solutions should align with entropy minimization principles, as minimizing entropy ensures the most structured and optimal information flow. In structured systems governed by hyperbolic geometry, information flow should naturally follow geodesics, as the shortest paths in curved spaces inherently reflect entropy constraints and optimal structuring. If information is structured by projection effects, then APSP in high-dimensional systems must account for entropy projection loss to remain optimal.

APSP as a Geometric Optimization Problem

In Euclidean space, shortest paths are straight lines, and traditional APSP methods are sufficient. In hyperbolic space, geodesics are curved, and traditional APSP solutions do not correctly capture the underlying entropy constraints. If we reformulate APSP as an entropy optimization problem, it naturally leads to hyperbolic structuring, which aligns with the projection-corrected entropy model.

Why Hyperbolic APSP is a More Natural Fit

Hyperbolic graphs have been shown to be more efficient at representing complex, high-dimensional data (Nickel M, 2018). Information flow in real-world networks (neural networks, biological systems, and quantum states) follows patterns better captured by hyperbolic APSP than Euclidean APSP (Nickel M, 2018). If APSP follows entropy minimization, then reformulating it with structured entropy constraints may yield a more efficient approach to information routing and learning models. This suggests that existing APSP approaches may be suboptimal for high-dimensional structured information systems. Reformulating APSP in terms of entropy constraints and hyperbolic geometry could lead to more efficient algorithms for AI, compression, and quantum information.

F. APSP in Our Projection-Corrected Entropy Framework

Reformulating APSP as an Entropy Optimization Problem

Our projection-corrected entropy framework suggests that information flow is naturally constrained by geometric projection effects. Since APSP is a method for finding optimal pathways in a weighted graph, it can be reformulated as an entropy minimization problem where:

- Shorter paths correspond to lower-entropy transitions.
- Geodesics in hyperbolic space provide the optimal pathways for information propagation, aligning with our entropy-correction framework.

By reframing APSP in terms of entropy constraints, we propose that the natural structure of information flow in large-scale systems inherently minimizes entropy.

Reformulating Shortest-Path Search with Entropy-Corrected Metrics

Traditional APSP algorithms (Dijkstra's, Floyd-Warshall, Bellman-Ford) assume a Euclidean space where distance is additive. However, in real-world structured information networks:

- Distance is not purely additive—it follows projection constraints (Clauset A, 2008)
- High-dimensional information structures behave hyperbolically (Krioukov D, 2010), requiring a correction factor for entropy scaling.
- APSP algorithms should be modified to incorporate entropy distortion effects.

I therefore propose that the shortest paths should be reformulated to reflect projection-corrected geodesics, where the weight function includes an entropy minimization term:

$$d_{ij}^{corrected} = d_{ij}^{euclidean} - \alpha N$$

where:

- $d_{ij}^{corrected}$ is the entropy-adjusted shortest path.
- $d_{ij}^{euclidean}$ is the standard Euclidean shortest path.
- αN is the entropy correction factor derived from our framework.

This suggests that graph-based algorithms must include entropy constraints to achieve true optimality in structured information flow.

Implications for AI Learning and Structured Information Flow

- Current AI models rely on gradient-based methods, which only find local optima.
- APSP-based learning could enable global entropy minimization, leading to faster and more efficient learning.
- Traditional compression relies on Shannon entropy approximations.
- APSP-informed entropy structuring could yield better compression models that minimize redundancy while preserving meaning.
- Quantum systems naturally seek least-action pathways in Hilbert space.
- Does APSP-based entropy minimization describe quantum state evolution?

G. Suggestions for Empirical Validation

The RTA projection-corrected entropy framework suggests that optimal information flow follows structured geodesics, leading to a fundamental link between entropy minimization and APSP. To validate this hypothesis, I suggest key empirical tests across different domains.

i. Testing Hyperbolic APSP Algorithms for Entropy Minimization

Hypothesis: APSP solutions in hyperbolic space should exhibit lower entropy than Euclidean APSP due to curvature-induced efficiency gains.
Approach:

- Implement standard APSP algorithms (Floyd-Warshall, Dijkstra, Bellman-Ford) in both Euclidean and hyperbolic graph embeddings.
- Compare the entropy of shortest paths by computing:

$$H_{path} = -\sum_i p_i \log p_i$$

- If hyperbolic APSP results in lower entropy paths, it supports our projection-corrected framework.

ii. APSP-Based Learning vs. Gradient Descent in AI Training

Hypothesis: An APSP-based optimization method could outperform gradient descent in structuring AI learning processes.
Approach:

- Train neural networks with APSP-derived updates instead of backpropagation.
- Compare convergence speed and loss reduction between:
  - Standard gradient-based training
  - APSP-optimized geodesic learning
- If APSP-based training yields faster convergence and lower redundant updates, it validates entropy-efficient learning.

If APSP-optimized entropy structuring is correct, then AI models trained with an APSP-based learning rule should show faster convergence and greater generalization compared to purely gradient-based approaches. Specifically, APSP-structured training should reduce redundant updates in weight-space while preserving global entropy minimization, leading to improved efficiency in deep learning architectures. Empirical testing should compare the effectiveness of APSP-based learning against standard gradient descent across multiple network architectures and tasks to determine whether structured entropy minimization provides a meaningful advantage."

iii. Information Compression via APSP-Optimized Entropy Structuring

Hypothesis: Compression algorithms that integrate entropy-aware APSP restructuring could outperform existing techniques.
Approach:

- Develop a modified entropy-coded APSP compression method.
- Compare against existing lossless compression schemes (Huffman, arithmetic coding, Lempel-Ziv).
- Measure the compression ratio vs. entropy reduction, testing whether APSP-optimized pathways yield superior encoding efficiency.

RTA and Information Processing Key Takeaways:

- Entropy is inherently linked to dimensional structuring—information loss is not just statistical, but a result of curvature-induced projection effects.
- Hyperbolic geometry provides a more natural foundation for entropy and information flow than Euclidean space.
- APSP represents an optimal routing mechanism for structured information flow, making it inherently tied to entropy minimization.
- APSP optimization aligns with entropy minimization, suggesting that structured information flow follows geodesic constraints in curved manifolds in the RTA framework.
- Existing APSP algorithms do not account for projection-induced entropy constraints, which may introduce inefficiencies.
- This projection-corrected entropy framework suggests that hyperbolic APSP solutions may be better suited for real-world information processing tasks.

7. Convergent Derivation of the Shannon Equation By Two Independent Methods

Independent Derivations of Shannon Entropy: A Statistical and Geometric Perspective

Shannon entropy has been foundational in information theory, yet its derivation has historically relied on axiomatic reasoning. In this work, I have proposed that Shannon entropy is not merely an empirical construct but instead emerges naturally from two independent first-principles derivations:

1. A functional equation approach (statistical necessity)
2. A geometric projection approach (dimensional structuring of information)

These distinct methods lead to the same fundamental equation, confirming that Shannon entropy is both a necessary mathematical result and a special case of a broader information-theoretic framework.

The classical derivation (statistical necessity) relies on fundamental probability properties and the requirement that entropy is additive for independent systems. This approach demonstrates that the logarithmic form of Shannon entropy is not arbitrary—it is mathematically required for entropy to behave additively.

This second derivation treats entropy as a geometric measure in probability space, showing that Shannon entropy naturally arises as a special case in Euclidean geometry and must be corrected in curved spaces. This implies that Shannon entropy is a special case of a broader projection-corrected entropy framework.

This dual derivation strengthens the argument that entropy is not just an emergent statistical property—it is an intrinsic mathematical necessity shaped by geometric structuring principles.

8. Entropy as a Fundamental Constraint on Information Encoding

A. Introduction: The Deep Connection Between Information and Entropy

In classical information theory, Shannon entropy quantifies the uncertainty of a system's information encoding, while in physics, Boltzmann entropy describes the statistical disorder of a thermodynamic system. Despite their similarities, they have historically been treated as separate concepts, with thermodynamic entropy viewed as a physical property and Shannon entropy as a mathematical abstraction.
This section presents a new unification of entropy under RTA, suggesting that all entropy is fundamentally information-theoretic and follows strict geometric projection constraints. I propose that entropy increase is not a statistical tendency but a necessary consequence of structured information loss during dimensional reduction.

B. Entropy as an Information-Theoretic Constraint

i. Classical Formulations of Entropy

Shannon entropy is traditionally defined as:

$$S_{Shannon} = -\sum_i p_i \log p_i$$

where p_i represents the probability of each encoded state. Similarly, classical Boltzmann entropy, originally expressed by Boltzmann as:

$$S = -k_B \ln(W)$$

quantifies thermodynamic entropy based upon the number of accessible microstates W, where k_B is Boltzmann's constant. However, the more generalized Gibbs entropy formulation, commonly used in statistical mechanics, expresses entropy as:

$$S_{Boltzmann} = -k_B \sum_i p_i \ln(p_i)$$

where the probabilities p_i represent the likelihood of the system occupying each microstate. This formulation clearly reveals the mathematical equivalence between

thermodynamic entropy and Shannon entropy: both equations measure uncertainty in the distribution of states.

By adopting the Gibbs formulation, the direct mathematical equivalence becomes explicit. Thus, Shannon entropy and thermodynamic entropy are not merely analogous but represent the same fundamental information-theoretic concept of uncertainty due to incomplete encoding of information.

ii. The Role of Projection Constraints in Entropy Increase

Traditional information theory treats entropy as a measure of uncertainty in data encoding. However, why does entropy increase in both physics and computation? The answer lies in projection constraints.

- Information in a higher-dimensional space must be encoded into a lower-dimensional representation.
- This encoding process inherently loses information, as not all degrees of freedom can be perfectly mapped.
- Entropy growth is therefore a structured effect of information loss due to projection constraints.

Mathematically, the number of available states in an n -dimensional system follows a geometric contraction under projection to a 3-dimensional space:

$$\Omega(n) \sim \Omega_0 2^{(n-3)}$$

Since entropy is a function of state space, this leads to a universal entropy scaling law when projecting to 4 dimensions:

$$S(n) = S_0 + \lambda(n-3)$$

where:

- S_0 is the base entropy before projection.
- λ is a scaling coefficient defining structured information loss per dimensional reduction.
- n is the effective dimensionality after encoding.

This implies that entropy growth follows a precise mathematical rule dictated by information-theoretic structuring rather than probabilistic randomness.

C. The Second Law of Thermodynamics as an Information Encoding Constraint

i. Entropy Increase is an Information Loss Effect

- The Second Law of Thermodynamics states that entropy always increases in a closed system.
- Traditionally, this is treated as a statistical tendency, but under RTA, it is a necessary consequence of structured information loss.
- Lower-dimensional encodings necessarily lose degrees of freedom, making entropy growth inevitable.
-

ii. The Second Law of Information Processing

This same principle applies beyond physics into data compression, AI learning, and computation:

- Data compression is fundamentally entropy reduction—it seeks to minimize information loss while maintaining fidelity.
- AI learning processes operate under the same entropy constraints, as neural networks approximate structured high-dimensional relationships in lower-dimensional feature spaces.
- Error propagation in digital systems mirrors entropy growth, as information loss in signal encoding results in increased uncertainty over time.

Thus, the Second Law of Thermodynamics may not just be a rule of physics—it may represent a universal principle of structured information systems.

D. The Universal Nature of Entropy Scaling

Since all entropy follows the same scaling law, I propose that entropy should be viewed as a fundamental property of structured information flow, not just a thermodynamic phenomenon. This leads to possibly profound implications:

i. Entropy in Computation and AI

- Machine learning models must follow entropy scaling laws when optimizing data encoding.
- AI cognition is constrained by the same entropy increase rule, meaning model efficiency and optimization can be improved by explicitly using RTA entropy constraints.
- Neuromorphic computing may benefit from entropy-aware structuring, ensuring optimal data representation in reduced dimensions.

ii. Entropy in Cognitive and Biological Systems

- Memory encoding in the brain may follow entropy structuring laws, as human cognition must balance information retention with loss due to neural projection constraints.

- Language structure exhibits entropy minimization, where linguistic rules compress complex meaning into lower-dimensional representations.

iii. Quantum and Cosmological Implications

- Quantum information loss during measurement may be structured by the same entropy constraints, providing a deeper explanation for quantum decoherence.
- Cosmological entropy growth may be predictable under this framework, offering a structured approach to understanding the evolution of information complexity in the universe.

D. 4-Dimensional Space May Be Optimal For Information Encoding

The proposed entropy scaling law applies generally to any $n > 3$, meaning structured information could, in principle, originate from any higher-dimensional space. However, based upon my findings in the RTA Framework for Physical Reality, I suggest that 4D is the most natural candidate for the fundamental structuring of information.

In my physics framework, 5D emerges as the minimal requirement for structuring physical reality, as it uniquely enables the unification of gravity and electromagnetism, with the strong and weak force as harmonic projection effects, while also governing entropy constraints. If the structuring principles of information theory mirror those of physics, then there may similarly be a minimal necessary space for information encoding and processing.

While 5D serves as the fundamental structuring space of reality, 4D hyperbolic geometry appears to be the optimal domain for practical information encoding, processing, and optimization..

This is because:

1. 4D hyperbolic geometry already provides the necessary structuring constraints for encoding, entropy minimization, and efficient information projection.
2. π naturally governs 4D hyperbolic spaces, suggesting that harmonics, wavefunctions, and structured information flow emerge more naturally in 4D rather than requiring a full 5D description.
3. AI architectures, compression, APSP-based learning, and quantum information theory may be optimized by reformulation in 4D hyperbolic space, since this is where optimal structuring appears to occur.
4. The projection from 5D to 4D already encodes all possible structuring constraints, meaning working in 4D hyperbolic space is sufficient to capture all necessary information without introducing unnecessary complexity.

While a definitive proof of this is beyond the scope of this paper, future research should explore whether structured information processing naturally favors 4D constraints. This

could be tested through higher-dimensional AI models, entropy scaling in neural learning, or information compression principles in cognitive science.

E. 4D Constraints and the Necessity of Entropy Correction in Structured Information Processing

In classical information theory, Shannon entropy provides a foundational measure of uncertainty in a probability distribution. It has been widely applied in communication theory, compression, and probability modeling, where it effectively quantifies information loss and redundancy. However, Shannon's entropy equation is derived purely within a 3D Euclidean framework, where information is treated as a static or discrete entity. This assumption works well for basic data encoding and storage but becomes insufficient when applied to structured and propagating information processes—such as AI learning, complex optimization, and structured entropy minimization in neural networks.

In this section, I propose that Shannon entropy remains valid in static 3D spaces, but in dynamic, structured systems where information actively propagates and restructures, an entropy correction term is necessary. This correction, I propose, arises from the fact that structured information processing operates under 4D hyperbolic constraints, requiring adjustments for projection-induced distortions.

1. Shannon Entropy as a 3D Information Measure

Shannon's entropy is defined as:

$$S = -\sum p_i \log p_i$$

where p_i represents the probability of a given state occurring. In standard applications, this equation assumes that probability distributions exist within a 3D space, meaning it does not account for geometric distortions introduced by higher-dimensional structuring. This assumption is perfectly valid for static information systems, such as:

- Data compression (e.g., ZIP algorithms)
- Communication systems
- Probability distributions in low-dimensional settings
- Classical entropy measurements in isolated physical systems

However, when information must be actively structured, learned, or propagated, I propose that it interacts with higher-dimensional constraints that modify how entropy scales.

2. When and Why Entropy Correction Becomes Necessary

The correction to Shannon entropy arises when information exists in a structured space and must be processed dynamically. Examples include:

- AI learning models that must optimize pathways in high-dimensional weight spaces.
- Neural networks that compress high-dimensional data into lower-dimensional feature representations.
- Compression algorithms that maximize structured information retention while minimizing redundancy.
- Quantum information systems, where state transitions occur along geodesics in hyperbolic probability spaces.

a. Projection-Induced Entropy Distortions

As we demonstrated earlier, entropy in a hyperbolic space grows logarithmically with volume but linearly with geodesic distance:

$$V(d) \sim e^{\alpha d}, \; S \sim \ln V(d) \sim \alpha d$$

This result implies that entropy scaling must be corrected in systems where information follows geodesic structuring rather than discrete state enumeration. The logarithmic dependence on volume alone is insufficient when information is actively being structured.

b. The Role of Dimensional Constraints

Shannon entropy implicitly assumes a Euclidean volume scaling law, where probability distributions expand polynomially in space. However, in structured information flow, the effective volume grows hyperbolically, requiring a logarithmic correction for projection distortions. This leads to a necessary correction of the form:

$$S' = S + \ln J$$

where J is the Jacobian determinant accounting for projection distortions. We previously derived that:

$$J \sim e^{-\alpha N}$$

which implies an entropy correction term:

$$S' + S - \alpha N$$

This result suggests that in structured systems, entropy is systematically underestimated unless corrected for projection effects.

3. The Key Distinction Between 3D and 4D Information Scaling

Shannon entropy works perfectly in 3D because no geometric projection distortions are present. However, when structured information processing occurs (as in AI, neural networks, and complex learning systems), it must account for higher-dimensional projection loss. This distinction can be summarized as:

Context	Shannon Entropy Valid?	Why?
3D Static Systems (storage, classical encoding)	Yes	No projection distortions
4D Structured Information Processing (AI learning, neural compression)	No	Requires correction for structured propagation
Hyperbolic Information Flow (e.g., optimal data routing, APSP in high-dimensional graphs)	No	Entropy scales with geodesic distance, not just volume

Therefore, while Shannon's equation remains a powerful special case of entropy scaling in 3D Euclidean systems, it does not fully describe entropy behavior in higher-dimensional structured information systems.

4. The Role of π in the Transition from 4D to 3D

A crucial insight emerges when considering how structured information transitions from a 4D hyperbolic framework to a 3D representation. π naturally governs 4D hyperbolic spaces, suggesting that wavefunctions, harmonics, and structured information flow emerge more naturally in 4D.

Mathematically, π plays a central role in volume scaling laws in hyperbolic space, as seen in:

$$V(d) \sim e^{\alpha d}$$

where π arises in curvature terms that define optimal projection structures. This may indicate that information naturally encodes within 4D hyperbolic constraints, with π acting as a fundamental structuring factor in entropy corrections.

4. Final Takeaways:

- Shannon entropy remains valid in 3D, but structured information processing follows 4D constraints that require entropy correction.
- The correction term is necessary because structured information flow follows geodesics in hyperbolic space, which modify entropy scaling.
- π appears to play a key role in transitioning structured information from 4D to 3D, potentially explaining why harmonics and wavefunctions emerge in 4D but not in lower-dimensional classical descriptions.
- The optimal information processing domain may be 4D hyperbolic space, making it the best mathematical framework for AI, compression, and structured entropy minimization.

Future Work

- Further mathematical validation: Establish whether π explicitly governs the entropy correction term in structured information processing.
- Empirical tests: Examine whether AI learning models perform better when explicitly structured in 4D hyperbolic representations.
- Quantum implications: Investigate whether quantum wavefunctions follow 4D geodesic entropy structuring, reinforcing the connection between quantum mechanics and information theory.

F. Conclusion: Entropy as a Universal Projection Constraint

This section has proposed that:

- Shannon entropy and Boltzmann entropy are not separate concepts but two representations of the same structured information loss effect.
- Entropy scaling follows a universal projection law, making entropy growth a necessary outcome of dimensional reduction rather than a statistical tendency.
- The Second Law of Thermodynamics is a fundamental constraint on information encoding, not just a rule of physics.

This potentially redefines entropy as a first-principles mathematical constraint, unifying physics, computation, and possibly cognition under a single governing principle. Future

research should focus on extending this principle to optimize AI architectures, computational efficiency, and quantum information systems.

9. Harmonics in the RTA Framework for Information: A Geometric Projection Perspective

A. Introduction: The Role of Harmonics in Information Structuring

In structured information systems, harmonics arise naturally when information propagates (Kamran D, 2024). While harmonics are well-established in physics and wave-based systems, their role in information theory has been largely unexplored. This section extends the RTA framework by demonstrating how harmonics emerge in high-dimensional hyperbolic spaces and how they can be leveraged to optimize information structuring and projection efficiency.
My previous derivations established that entropy scales linearly with geodesic distance in hyperbolic space due to the exponential (geometric) scaling of volume. However, entropy scales logarithmically across dimensions with respect to the exponentially growing hyperbolic volume. Here, I analyze how these distinct scaling laws interact, leading to emergent harmonic structures within structured information propagation.

B. Harmonics as a Natural Consequence of Geometric Projection

In RTA, information is treated as a structured entity constrained by the geometry of its embedding space. When information is projected from a higher-dimensional space to a lower-dimensional subspace, certain structural properties must be preserved, while others are compressed or distorted. This projection process induces harmonic structuring in two ways:

1. **Harmonics in Distance-Based Information Flow (Geometric Scaling)**

   In hyperbolic space, entropy grows geometrically with distance, meaning that the amount of accessible information increases exponentially with increasing distance from a central reference point. However, as information propagates, certain discrete frequencies (harmonics) may emerge, forming optimal pathways for information flow in hyperbolic geodesics. If true, this would imply that certain structures in information processing naturally favor harmonic alignment, as seen in optimal routing, AI learning models, and quantum information flow.

2. **Harmonics in Dimensional Projection (Linear Scaling Across Projections)**

   When information is projected from a high-dimensional hyperbolic space to a lower-dimensional representation, the loss of information occurs in a structured manner. The retained information components may follow harmonic distributions corresponding to the projection eigenfunctions of the transformation matrix. If so, these harmonic

structures minimize entropy loss, ensuring that essential features of the high-dimensional structure are preserved in lower-dimensional representations.

Harmonics may emerge as the natural "filters" that preserve structured information flow during dimensional reduction and geodesic propagation.

B. Mathematical Derivation of Harmonic Structures in Information Projection

1. Hyperbolic Scaling and Harmonic Modulation

Entropy in structured information spaces is inherently constrained by geometric projection effects. In previous sections, we established that in hyperbolic space, the volume of an information region grows exponentially with geodesic distance d, following:

$$V(d) \sim e^{\alpha d}$$

where α is a curvature-dependent scaling factor. Since entropy is a function of accessible state space, the entropy associated with this volume follows:

$$S \sim \ln V(d) \sim \alpha d$$

This establishes a fundamental distinction in scaling laws:

- Entropy scales logarithmically with volume: $S \sim \ln V(d)$
- Entropy scales linearly with geodesic distance: $S \sim \alpha d$

This result is crucial in distinguishing hyperbolic entropy growth from Euclidean entropy, which follows polynomial volume scaling and slower entropy expansion. However, when information is projected across dimensions, entropy does not simply scale with distance. Instead, it follows a structured contraction rule dictated by the Jacobian determinant of the projection mapping:

$$S' = S - \log|J|$$

Where $|J|$ represents the volume distortion induced by projection from a higher-dimensional space. This projection process is not uniform but follows discrete harmonic structuring, as described in the next section.

2. Harmonics as a Consequence of Dimensional Projection

When information is projected from a higher-dimensional hyperbolic space to a lower-dimensional representation, the loss of information does not occur arbitrarily. Instead, it follows discrete harmonic structuring, dictated by the eigenfunctions of the projection matrix Λ.

Mathematically, the projected information state follows an eigenfunction expansion:

$$\Psi_k(x) = e^{ikx}$$

where:

- k represents the harmonic mode index, defining discrete information frequencies.
- x is the coordinate in the lower-dimensional projection space.
- Λ is the projection operator, determining how information maps onto discrete modes.

This harmonic structuring emerges naturally because projection acts as a Fourier-like decomposition, where information is preserved along dominant eigenmodes while higher-order terms are suppressed. This is analogous to wavefunctions in quantum mechanics, where only certain discrete modes remain after projection.

Thus, harmonic structuring in the RTA framework arises from two primary mechanisms:

1. Geometric Scaling in Hyperbolic Space: Information follows structured geodesic expansion, creating natural harmonic resonance points along geodesic distances.
2. Dimensional Projection Effects: Information loss is not uniform but follows eigenmode selection, meaning only structured harmonic components remain in lower-dimensional mappings.

This suggests that optimal information propagation follows harmonic geodesics, implying a deep connection between structured compression, AI learning, and quantum information flow.

3. Implications for Information Compression, AI, and Quantum Systems

If the emergence of harmonics in structured information spaces is correct, it has implications for multiple fields:

- Compression Theory:
    - Harmonic projection modes may provide an optimal basis for data compression, minimizing entropy loss while maximizing structure retention.
    - Existing algorithms (wavelets, Fourier transforms) may be seen as approximations of this fundamental harmonic encoding principle.

- AI Learning Models:
    - If structured information follows discrete harmonics, neural networks should naturally align their weight distributions along these harmonic modes for optimal learning.
    - APSP-based learning algorithms may benefit from harmonic-aware structuring, improving convergence efficiency.
- Quantum Information Flow:
    - If quantum states evolve along geodesic harmonic trajectories, this may explain why wavefunctions exhibit discrete quantization.
    - This suggests that quantum computation could benefit from explicitly leveraging hyperbolic harmonic structuring for optimized information propagation.

D. Key Takeaways and Next Steps

1. Entropy scales logarithmically with volume but linearly with distance in hyperbolic space.
2. Dimensional projection does not reduce information randomly but follows discrete harmonic structuring.
3. Harmonics arise naturally from eigenfunctions of the projection matrix, defining structured information retention.
4. These findings have major implications for compression, AI learning, and quantum information theory.

Next Steps:

- Verify the empirical presence of harmonic modes in AI learning models and structured datasets.
- Investigate harmonic constraints in quantum information and test whether state evolution aligns with RTA-derived geodesic harmonics.
- Develop optimized compression algorithms using harmonic structuring constraints derived from RTA principles.

Logarithmic Base and Computational Information Processing

In this paper, entropy was formulated generally using the logarithmic function without specifying base explicitly. Shannon's original definition commonly uses base-2 logarithms, aligning entropy directly with binary (Boolean) computational processes, as bits are the fundamental unit of

digital information. While log base 10 provides intuitive clarity for human-scale information, computational and AI architectures naturally favor log base 2 entropy, directly reflecting binary encoding and Boolean logic. Further research should explicitly investigate whether optimal computational information structuring—particularly within AI and digital systems—requires formulating the RTA Framework for Information explicitly in terms of log base 2.

Counterarguments and Responses to the RTA Framework for Information

While the RTA framework presented in this paper has broad explanatory power and mathematical consistence, several potential criticisms may arise regarding assumptions, applicability, and necessity. Here, I systematically address these criticisms.

1. Criticism of Geometric Foundations

Criticism:
Critics may argue that the assumption of a geometric structure underlying information theory is arbitrary or speculative without direct empirical evidence.

Response:
Geometry as a foundation for information theory is already rigorously established in the field of information geometry (Amari & Nagaoka, 2000; Amari, 2016). Information geometry provides a rigorous mathematical framework connecting probability theory, differential geometry, and statistical inference. My framework extends this established connection by demonstrating that entropy naturally arises from geometric constraints inherent to probability spaces. Thus, rather than being arbitrary, this assumption leverages an established and widely accepted mathematical discipline.

2. Entropy Scaling Assumptions

Criticism:
Some readers might question the justification of exponential scaling of volume and entropy in hyperbolic space, arguing that hyperbolic scaling may not universally apply to information systems.

Response:
The exponential scaling of volume in hyperbolic geometry is a well-established result in mathematics (Gromov, 1987; Ratcliffe, 2006). It is not an assumption but rather a direct mathematical consequence of negative curvature. My deductive reasoning explicitly shows how exponential volume scaling necessarily leads to logarithmic entropy scaling with respect to volume and linear scaling with distance, providing a rigorous justification firmly grounded in geometric principles.

3. Validity of the Entropy Correction Factor

Criticism:
Critics might challenge my introduction of the entropy correction term via the Jacobian determinant, suggesting it is unnecessary or unjustified within classical Shannon information theory.

Response:
In differential geometry, probability distributions transform non-trivially between manifolds. The Jacobian determinant quantifies volume element distortion under these transformations, making it a standard practice in geometry (Ratcliffe, 2006). Since my framework explicitly considers non-Euclidean manifolds, incorporating the Jacobian determinant as an entropy correction factor is mathematically necessary and justified, rather than arbitrary.

4. Applicability of APSP to Information Theory

Criticism:
Some readers may question whether the All-Pairs Shortest Path (APSP) algorithm, typically used in graph theory, directly generalizes to real-world information systems or AI processing.

Response:
Shortest-path algorithms, including APSP, already play central roles in network theory, neural architectures, and data optimization (Kleinberg, 2007; Nickel & Kiela, 2018). My proposal integrates APSP-based optimization explicitly with entropy minimization, logically extending this established usage. The structured flow of information in AI architectures and quantum states naturally aligns with APSP's efficient propagation framework.

5. Necessity and Uniqueness of the 4D Information Space

Criticism:
Some may challenge why a 4-dimensional hyperbolic space is optimal rather than another dimensional space, including the 5-dimensional space used in the RTA Framework for Physical Reality.

Response:
Although higher-dimensional spaces (5D) offer foundational constraints necessary for physical unification (as I propose in the RTA Framework for Physical Reality), practical constraints on information processing strongly favor 4D hyperbolic geometry. This dimension is uniquely optimal because π naturally governs 4D spaces, promoting harmonic structuring, optimal entropy minimization, and computational tractability. Higher dimensions add complexity without corresponding benefits in information propagation and efficiency

6. Distinction between Shannon and Projection-Corrected Entropy

Criticism:
Readers might question why classical Shannon entropy requires modification given its proven empirical effectiveness.

Response:
Shannon entropy is highly effective in traditional, low-dimensional, Euclidean spaces (Shannon, 1948). However, it has been demonstrated that its applicability diminishes in structured, non-Euclidean, high-dimensional probability spaces common in quantum systems, neural networks, and complex biological data (Hinton & Salakhutdinov, 2006; Tenenbaum et al., 2000). The proposed projection-corrected entropy model explicitly addresses these limitations, making it a necessary generalization rather than an arbitrary modification.

7. Empirical Validation and Practical Implementation

Criticism:
Some readers might challenge the lack of empirical validation or practical implementation in the current formulation of the framework.

Response:
Empirical validation is indeed critical, and my framework explicitly provides pathways for testing. Clearly outlined proposals for experimental validation across AI, quantum computing, and data compression are given, inviting the scientific community to test the practical implications and further extend the framework. This approach is common in foundational theoretical contributions, where empirical tests typically follow initial theoretical establishment.

Conclusion of Counterarguments

Each of these criticisms provides an opportunity to clarify and deepen the theoretical robustness of the RTA Framework for Information. Through explicit references to established mathematics and existing literature, I have demonstrated that each assumption and theoretical step within my framework is logically justified, mathematically rigorous, and built upon established scientific principles. The framework, while novel, aligns coherently with accepted knowledge, extending current theory logically rather than speculatively. These counterarguments reinforce the necessity for subsequent empirical validation and provide pathways for fruitful future research.

Conclusions

The analysis presented in this paper proposes a fundamental shift in how entropy, information structuring, and computational optimization should be understood. By deriving Shannon entropy from first principles using two distinct methods, I have reinforced its validity while also demonstrating that it emerges as a special case of a more general geometric framework. The functional equation approach confirmed that entropy must take a logarithmic form for additivity, while the geometric projection approach revealed that Shannon entropy is the Euclidean limit of

a broader entropy structuring principle. This dual derivation supports the idea that entropy is not just a statistical measure but a deeply rooted geometric constraint on information structuring.

Furthermore, by integrating the entropy framework with All-Pairs Shortest Path (APSP) optimization, I have proposed a deeper connection between optimal information flow and entropy minimization in structured spaces. This suggests that information naturally organizes itself along geodesic paths in curved manifolds, meaning that the structuring of data, AI learning processes, and even quantum information systems may be inherently constrained by geometric entropy principles.

While this paper primarily focuses on entropy corrections under geometric projection constraints, it is worth noting that similar non-extensive entropy formulations, such as Rényi and Tsallis entropy, introduce probability-weighted scaling factors. Our framework suggests that curvature effects in non-Euclidean probability spaces may naturally lead to such corrections, potentially providing a geometric foundation for these entropy generalizations. Future work may explore whether Rényi and Tsallis entropy emerge as limiting cases of the projection-corrected entropy framework proposed in this paper, suggesting that their probability-weighted corrections may be tied to underlying geometric constraints.

The projection-corrected entropy framework is particularly relevant to structured high-dimensional data, where entropy loss is not merely a statistical effect but emerges naturally from geometric projection constraints. This insight suggests that Shannon entropy remains valid only within Euclidean, low-dimensional probability spaces, whereas information-rich, structured systems with information propagation require a more general formulation that incorporates curvature effects.

Key Findings and Implications

- Shannon entropy was derived here using two independent approaches, reinforcing its necessity while also demonstrating that it is an approximation in higher-dimensional, non-Euclidean information spaces.
- The RTA framework validates Shannon entropy but necessarily extends it for more complex structured information spaces.
- Entropy is not merely an emergent statistical quantity; it is a geometric phenomenon that follows from projection constraints and information flow structuring.
- APSP-based optimization naturally aligns with entropy minimization, meaning that optimal learning, compression, and data structuring should be examined through the lens of hyperbolic geometry.
- Entropy may be a unified concept in both physics and information theory

- The concept of harmonics may be fundamental to higher dimensional information projection
- This framework suggests that AI architectures, information compression algorithms, and quantum information processing may all benefit from a reevaluation of entropy-based optimization strategies.

While these findings offer a compelling new theoretical approach, they require rigorous mathematical validation and experimental proof.

- Future work should seek to empirically test APSP-based entropy structuring in AI learning models and compression algorithms.
- Quantum entropy formulations should be compared against this projection-corrected entropy framework to determine whether physical systems naturally adhere to these constraints.
- Researchers across disciplines—including mathematics, information theory, computer science, and physics—may find further refinements or extensions of this theory that better align with observed reality.

This work suggests that geometry is not merely a descriptive tool but an intrinsic principle governing the structuring, transmission, and optimization of information in both natural and artificial systems. If validated, this could lead to a deeper understanding of how intelligence, learning, and computation emerge from fundamental mathematical constraints.
By reframing entropy as a geometric structuring principle, this framework attempts to redefine the fundamental laws governing intelligence, computation, and structured information flow.

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This work builds upon foundational mathematical and computational insights developed by some of the greatest minds in information theory, probability, optimization, and geometry. Their contributions have shaped modern understanding of entropy, information flow, and structured optimization, and without their pioneering efforts, this work would not have been possible.

Claude Shannon (1916–2001): The Birth of Information Theory

Claude Shannon laid the groundwork for modern information theory in his landmark 1948 paper *A Mathematical Theory of Communication*, where he introduced the Shannon entropy equation. His work formalized the concept of entropy as a measure of information uncertainty, leading to practical applications in data compression, communication theory, and cryptography. This paper rederived Shannon's entropy from first principles, reinforcing its fundamental necessity while also extending it to structured information spaces.

Solomon Kullback (1907–1994) & Richard Leibler (1914–2003): KL Divergence (1951)

Kullback and Leibler introduced KL divergence in their 1951 paper *On Information and Sufficiency*, providing a measure of information loss when approximating one probability distribution with another. KL divergence plays a central role in this work, as I showed that Shannon entropy can be obtained as a special case of KL divergence when comparing a distribution to the uniform measure. This insight allowed the reformulation of entropy as a geometric quantity, ultimately leading to the projection-corrected entropy framework.

Augustin-Louis Cauchy (1789–1857)

The Cauchy functional equation, originally studied in the early 19th century, states that for a function satisfying an additivity property, the only continuous solution is the logarithmic function. This mathematical principle became the foundation for one of my derivations of Shannon entropy, where I demonstrated that the logarithmic form of entropy is required for additivity in probability space.

Carl Gustav Jacob Jacobi (1804–1851): The Jacobian Determinant and Projection Scaling

The Jacobian determinant is a critical mathematical tool used to describe transformations between coordinate systems, originally developed by Jacobi in the 19th century for differential geometry. In this work, the Jacobian determinant was utilized to formalize how probability densities transform under projection constraints. By incorporating Jacobian scaling into entropy calculations, this paper proposes that dimensional projection leads to systematic entropy corrections, meaning that Shannon entropy must be adjusted when information is structured in non-Euclidean manifolds.

Algorithmic Pioneers of APSP: Floyd, Warshall, Dijkstra, and Bellman-Ford

The All-Pairs Shortest Path (APSP) problem has been extensively studied in computer science and graph theory, with major contributions from:

- **Robert Floyd (1936–2001) & Stephen Warshall (1935–2006): Floyd-Warshall Algorithm (1962)**
    - Provided a dynamic programming method for solving APSP efficiently in dense graphs.
- **Edsger Dijkstra (1930–2002): Dijkstra's Algorithm (1956)**
    - Developed a greedy algorithm for single-source shortest paths, forming the basis for modern network routing protocols.

- **Richard Bellman (1920–1984) & Lester Ford (1886–1967): Bellman-Ford Algorithm (1958)**
    - Provided a solution for shortest paths that accommodates negative weights, relevant for economic and machine learning applications.

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